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Identification and characterization of supercritical fluid extracts from herbs

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Abstract

Supercritical carbon dioxide extraction is used to obtain extracts from the herbs: sage (*Salvia officinalis*), basil (*Ocimum basilicum*), oregano (*Origanum vulgare*), and lovage (*Levisticum officinale*). The influence of pressure and modifiers on the oil yield and content was studied. The extraction pressure ranged from 17.2 to 25.5 MPa at 45 °C. Ethanol was used as a modifier. Components in the extracts were analysed by gas-liquid chromatography and identified using an existing retention index database. Both extraction pressure and fluid modifier alter the extraction yield and its composition. The antimicrobial activity test by the Agar Well Diffusion method of the sage extract showed substantial difference between the essential oil and extract from supercritical fluid extraction. *To cite this article: A. Menaker et al., C. R. Chimie 7 (2004)*. © 2004 Académie des sciences. Published by Elsevier SAS. All rights reserved.

Résumé

L'extraction par du CO_2 supercritique est utilisée pour obtenir des extraits de plantes : sauge (*Salvia officinalis*), basilic (*Ocimum basilicum*), origan (*Origanum vulgare*) et livèche (*Levisticum officinale*). L'influence de la pression et de la présence d'un co-solvant sur le rendement et la composition de l'huile obtenue a été étudié. La pression d'extraction varie entre 17,2 et 25,5 MPa à 45 °C. L'éthanol est utilisé comme co-solvant. Les composés présents dans les extraits ont été analysés par GC ou HPLC et identifiés à l'aide d'une base de données. La pression d'extraction et le co-solvant modifient le rendement de l'extraction et la composition de l'extrait. L'activité antimicrobienne de l'extrait de sauge a été testée, par la méthode *Agar Well diffusion*. Elle montre une différence notable entre l'huile essentielle et l'extrait obtenu par extraction en milieu supercritique. *Pour citer cet article : A. Menaker et al., C. R. Chimie 7 (2004)*.

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1. Introduction

Extraction of natural products with supercritical carbon dioxide (SCCO₂) has gained increasing attention from the food and perfumery industries to obtain flavour and fragrance ingredients as well as the pharmaceutical industry, namely because the dissolving power of supercritical fluid can be adjusted by regulating its pressure and temperature [1-4]. Also, by using SCCO₂ instead of steam distillation or extraction with organic solvents (hexane, chloroform) eliminates the problem of toxic residual solvent in the products, and permits the use lower temperatures leading to lesser deterioration of the thermally labile components in the extract. Likewise, extraction with SCCO2 retains the organoleptic characteristics of the starting spice materials [5]. In addition, the modifier – a small amount of polar organic solvent added to the supercritical CO_2 –, will increase overall extractability of target analytes [6].

The leaves of plants such as sage, thyme and oregano have been added to meat, fish and food products for seasoning. It is known that in addition to improving flavour, certain spices and essential oils prolong the storage life of foods. The antimicrobial activities of various spices [7,8] and of essential oils obtained by hydrodistillation methods [9] have been reported.

In the present study, $SCCO_2$ was used to extract the volatile aroma components from four herbs: sage, basil, oregano and lovage. Since different targeted compounds have different diffusion rates inside the plant matrix [10], and the solubility of every substance in the supercritical fluid depends on extraction pressure, temperature and modifier, data about yield provide a diagnostic tool for further optimisation of the extraction process. We also report here the antimicrobial properties of supercritical extracts.

2. Materials and methods

2.1. Plant material and chemicals

The herbs: sage (*Salvia officinalis*), basil (*Ocimum basilicum*), oregano (*Origanum vulgare*), lovage (*Levisticum officinale*) were grown in Estonia and collected in July 2002. The raw material was dried at room

temperature (approximately 22 °C) and protected from direct light.

The carbon dioxide (Eesti AGA, Estonia) used in the experiments was 99.5% (w/w) pure. The ethanol as modifier used was spectroscopic grade (Liviko, Estonia) and added according to weight of the sample (from 2.5 to 7%).

2.2. Extraction of herbs

Supercritical fluid extractions from dried leaves or/and flowers of plants were performed on Sample Preparation Accessory apparatus (Milton Roy SPA, USA). Extractions were carried out at 45 °C, at pressures from 17.2 to 25.5 MPa. The flow rate of supercritical CO₂ was 1 ml min⁻¹ and extraction time for all experiments was 60 min. The extract was collected in chloroform. Extraction time (1 h) was chosen on the basis of previous studies [11]. There was estimated, that at this pressure range used the yield was ~85% of total yield able to achieve during 4-h extraction.

For comparison, a conventional steam distillation using a Clevenger apparatus for 3 h was utilized for the isolation of the essential oils. After steam distillation, the essential oil was isolated and kept refrigerated.

2.3. Gas chromatography (GC)

Chemical composition of extracts was determination by gas-liquid chromatography method using a fused silica capillary column with a bonded stationary phase NB 30 (HNU Nordion, Finland), and flame ionisation detector. The identification was performed by comparing their Kovats relative retention indexes (RI) with those of authentic compounds and from database collected from literature.

2.4. Assay for antimicrobial activity

The microorganisms: *Bacillus mesentericus, Staphylococcus albus, Escherichia coli, Aspergillus flavus* and *Penicillium* sp. were used in this study. Laboratory strains, kept on the solid agar at 4 °C, were used. Antimicrobial activity was tested by the filter paper disc diffusion method [12]. A 5.5-mm sterile paper disc was impregnated with a test material and set on agar using a micropipette. Plates were then inverted and incubated for bacteria – at 30 °C for 48 h, for

moulds – at 25 $^{\circ}$ C for 96 h. Following incubation, the zones of inhibition were measured (in mm s).

3. Results and discussion

3.1. Effect of pressure on the extract yield

Preferable extraction conditions were 17.2 MPa for sage and lovage, and 25.5 MPa for basil and oregano (Table 1). The total maximum yield of extract for 1-h extraction time for sage was 13.2 mg g⁻¹, for lovage 5.2 mg g^{-1} , for basil 4.4 mg g $^{-1}$, and for oregano 4.1 mg g^{-1} . A decrease in yields of the extracts for sage and lovage at increased pressures is hard to explain. It was found in all experiments made at the same conditions but in different time. The similar results, where system behaviour cannot be solely attributed to the effects of either temperature or pressure, have been reported in the previous study on SCCO₂ extraction of herbs [13], where it was found to be specific for sage only. Later from the literature, the same kind of unusual behaviour was found in case of extraction of stevia leaves [14]. Possibly, here could be some structure changes or textural transformations in the plant matrix at the higher extraction pressure, which would hinder the diffusion, and practically it means that longer extraction times are needed. Another explanation could be in some cumulative effect of extract components solubility changes, but this needs further study on solubility data in supercritical CO_2 of the main components in lovage extract, and estimation of phase equilibrium data is necessary for the system.

The important conclusion is that one must be very careful with extrapolating data from other experiments, and must check the yield dependence from extraction time at higher pressures for certain plants.

3.2. Effect of ethanol as modifier

Although carbon dioxide is a relatively good solvent for the extractions, it has some limitations for the extraction of polar substances from plant matrix. Ethanol was used as modifier in this study, because it is environmentally benign and relatively safe to human health. The amount of ethanol added initially composed 2.5, 5 and 7.5% of the total sample weight.

Fig. 1 shows that an increased ethanol amount increases the extraction yield from the plants, however, for lovage again, the yield decreased with increase the amount of the modifier. It points that processes during the extraction at higher pressures and with solvent mixtures are complicated, and the interaction of the co-solvent with the cellulosic structure or solid matrix of the plant cannot be neglected [14], but more likely, the components in lovage extract cumulatively might not be as soluble in the mixture of SCCO₂ and ethanol at higher pressures and modifier concentrations.

Table 1

Total yield (mg g^{-1}) and relative peak area (%) from GC analysis of main constituents of plant extracts at different pressures (17.2 and 25.5 MPa, at 45 °C, extraction time 60 min, each experiment repeated three times)

Sage		Basil		Oregano		Lovage	
17.2 MPa	25.5 MPa	17.2 MPa	25.5 MPa	17.2 MPa	25.5 MPa	17.2 MPa	25.5 MPa
Total yield (mg	g ⁻¹)						
13.2 ± 1.0	7.6 ± 0.8	3.8 ± 0.4	4.4 ± 0.4	3.2 ± 0.4	4.1 ± 0.4	5.2 ± 0.4	4.8 ± 0.5
Main constituen	ts (area%)						
Camphene		Linalol		Thymol		α-Terpinyl ace	tate
6.1	5.1	52.0	42.5	7.2	11.2	64.1	41.3
β-Pinene		Methyl-euger	nol	Carvacrol		β-Phellandrene	e
9.5	0.1	22,5	15.1	8.0	10.9	12.4	0.1
1,8-Cineole		(E)a-Bergam	otene	Linalol		Myrcene	
9.7	12.9	6.5	4.6	3.7	5.6	2.3	0.1
α-Thujone		1,8-Cineole		Thymol aceta	te	Phthalide isom	er
27.1	18.6	4.5	10.3	0.1	12.4	7.6	5.9
Camphor							
15.6	18.9						
Other minor constituents (area%)							
32.0	44.4	14.5	27.5	81.0	59.9	13.6	52.6



Fig. 1. Influence of modifier addition on the total yield of extract (45 $^{\circ}$ C, 25.5 MPa) for different herbs.

3.3. Comparative analysis of plant components at different extraction pressures

The chemical composition of the $SCCO_2$ extracts from these plants was determined by GC. The total yield of extracts and relative content of main compounds are presented in Table 1. It confirms that every plant has its own unique extract composition.

GC analyses revealed that the main constituent of the extract from sage at 17.2 MPa is α -thujone, but its relative content decreases at 25.5 MPa, as well as its β-pinene content. Thymol and carvacrol are the main components in the oregano extract. Lovage extract is characterized by the relatively high content of α -terpinyl acetate and β -phellandrene. Linalol is the main constituent in the basil extract. Despite the higher total yield of basil at 25.5 MPa compared to pressure 17.2 MPa, the percentage of the major components (except 1.8-cineole), is less. It means that at higher pressures the additional components are extracted and content of extract is changing substantially. Some of them are difficult to analyse by GC methods normally used for essential oils, and additional chromatographic methods might be instructive.

In conclusion, the compositions of these extracts depends on the extraction conditions, hence $SCCO_2$ extracts cannot be identified with the essential oils from the plants. Some researchers have recommended temperatures between 40 and 50 °C and extraction

pressures lower than 100 bar (9.9 MPa) for obtaining extracts that are similar to essential oils from plant materials [15]. Our results confirm that for each aromatic plant, specific extraction conditions are needed to obtain, especially for an extract similar to that essential oil by conventional methods, and higher extraction pressures and addition of modifier increase the yield of the extract with changed proportion of components.

3.4. Antimicrobial activity of supercritical extract

There is not very much data about the antimicrobial activity of supercritical extracts from herbs, and those that exist concern extracts obtained using traditional methods, such as hydrodistillation [10]. In this study, the antimicrobial activity was tested with extracts from sage; the studies with extracts from other plants are ongoing. Here sage showed the highest inhibitory effect against gram-positive bacteria and a weakly inhibitory effect against moulds. Sage showed no effect against gram-negative *E. coli*.

For sage it was possible to compare the activity of SFE extract and that from the essential oil obtained using steam distillation/extraction method (Fig. 2a and b). One explanation for this different behaviour is the difference in composition of extracts, as shown for sage in Table 2.

There is more α - and β -pinene, and also α -thujone in the sage SCCO₂ extract, than in the essential oil. It may be supposed that these compounds have higher antimicrobial activity against gram-positive bacteria, or that there is some synergy between the extract components resulting in the observed antimicrobial activity.



Fig. 2. Comparison diagram of antimicrobial activity of sage essential oil and sage SCCO₂ extracts (17,2 MPa, 45 $^{\circ}$ C) for five different microorganisms.

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Table 2 Comparison table of chemical composition of sage essential oil and SFE extract (%)

Component	Essential oil	SFE extract		
-		(17.2 MPa, 45 °C)		
α-Pinene	4.9	5.3		
Camphene	5.0	6.1		
β-Pinene	3.4	9.5		
1,8-Cineole	12.1	9.7		
α-Thujone+linalool	21.2	27.1		
β-Thujone	4.4	4.4		
Camphor	23.6	15.6		
Borneol	5.6	2.1		
(E)-β-Caryophyllene	2.7	2.2		
α-Humulene	5.2	4.9		
Viriflorol	3.0	1.6		
Other	8.5	7.9		
Total	99.6	96.4		

4. Conclusions

Extraction conditions: CO_2 pressure and amount of modifier should be optimised to obtain extracts with attractive properties. Extraction pressure is a very significant parameter; however, an increase of the pressure and addition of a modifier does not always has a positive effect on the yield of extracts (over the same extraction time). Specific studies on the extract of sage showed a difference between the SCCO₂ extract and the native essential oils concerning antimicrobial activity. Further research is needed in order to obtain more reliable results on determination of antimicrobial activity of supercritical extracts.

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