



INSTITUT DE FRANCE  
Académie des sciences

# *Comptes Rendus*

---

## *Mathématique*

Xi Chen, James D. Lewis and Gregory Pearlstein

**Indecomposable  $K_1$  classes on a Surface and Membrane Integrals**

Volume 358, issue 4 (2020), p. 511-513

Published online: 28 July 2020

<https://doi.org/10.5802/crmath.69>



This article is licensed under the  
CREATIVE COMMONS ATTRIBUTION 4.0 INTERNATIONAL LICENSE.  
<http://creativecommons.org/licenses/by/4.0/>



*Les Comptes Rendus. Mathématique* sont membres du  
Centre Mersenne pour l'édition scientifique ouverte  
[www.centre-mersenne.org](http://www.centre-mersenne.org)  
e-ISSN : 1778-3569



Algebraic Geometry / Géométrie algébrique

# Indecomposable $K_1$ classes on a Surface and Membrane Integrals

Xi Chen<sup>a</sup>, James D. Lewis<sup>\*, a</sup> and Gregory Pearlstein<sup>b</sup>

<sup>a</sup> Department of Mathematics, 632 Central Academic Building, University of Alberta, Edmonton, Alberta T6G 2G1, Canada

<sup>b</sup> Department of Mathematics, Texas A&M University, College Station, TX 77843-3368, USA.

E-mails: [xichen@math.ualberta.ca](mailto:xichen@math.ualberta.ca), [lewisjd@ualberta.ca](mailto:lewisjd@ualberta.ca), [gpearl@math.tamu.edu](mailto:gpearl@math.tamu.edu).

**Abstract.** Let  $X$  be a projective algebraic surface. We recall the  $K$ -group  $K_{1,\text{ind}}^{(2)}(X)$  of indecomposables and provide evidence that membrane integrals are sufficient to detect these indecomposable classes.

**Résumé.** Soit  $X$  une surface algébrique projective. Nous rappelons le groupe  $K$ ,  $K_{1,\text{ind}}^{(2)}(X)$  indécomposables et apporter la preuve que les intégrales membranaires sont suffisantes pour détecter ces classes indécomposables.

**2020 Mathematics Subject Classification.** 14C25, 14C30, 14C35.

**Funding.** X. Chen and J. D. Lewis partially supported by a grant from the Natural Sciences and Engineering Research Council of Canada.

*Manuscript received 9th December 2019, accepted 7th May 2020.*

## 1. Introduction

Let  $X/\mathbb{C}$  be a smooth projective surface, and consider a class  $\{\xi\} \in K_1^{(2)}(X)$  which can be represented in the form

$$\xi = \sum_{j=1}^N (f_j, D_j), \quad f_j \in \mathbb{C}(D_j)^\times, \quad \sum_{j=1}^N \text{div}_{D_j}(f_j) = 0 \text{ in } X,$$

and where  $D_j$  is irreducible, with  $\text{codim}_X D_j = 1$ .  $\xi$  is said to be decomposable if  $f_j \in \mathbb{C}^\times$  for  $j = 1, \dots, N$ . A class  $\{\xi\}$  is said to be indecomposable if, modulo the tame symbol image  $T(K_2(\mathbb{C}(X)))$ ,  $\xi$  is not decomposable. The quotient space of indecomposables is denoted by  $K_{1,\text{ind}}^{(2)}(X)$ . There is a Betti cycle class map  $\text{cl}_{2,1} : K_{1,\text{ind}}^{(2)}(X) \rightarrow H^3(X, \mathbb{Z}(2))$ , evidently with torsion image (due to Hodge theory), and whose kernel is denoted by  $K_{1,\text{ind}}^{(2)}(X)^\circ$ . The map  $\text{cl}_{2,1}$  is defined as follows. Put  $\gamma_j := f_j^{-1}[-\infty, 0]$  and  $\gamma := \sum_{j=1}^N \gamma_j$ . Then we have  $\partial\gamma = \sum_{j=1}^N \text{div}_{D_j}(f_j) = 0$ , hence  $\gamma$  defines a

\* Corresponding author.

class  $\{\gamma\} \in H_1(X, \mathbb{Z}) \simeq H^3(X, \mathbb{Z}(2))$  (Poincaré duality). We now assume that  $\xi \in K_{1,\text{ind}}^{(2)}(X)^\circ$ . Then  $\gamma$  bounds a real 2-chain  $\zeta$ . There is the *integrally defined* transcendental Abel–Jacobi map,

$$\underline{\Phi} : K_{1,\text{ind}}^{(2)}(X)^\circ \rightarrow \frac{H^{2,0}(X)^\vee}{H_2(X, \mathbb{Z})}, \quad \underline{\Phi}(\xi)(\omega) = \int_\zeta \omega.$$

It is our belief that  $\underline{\Phi}$  is injective. This is the subject matter of our paper where we provide some evidence in support of this. Our main results are stated in Theorem 4 and Corollary 5.

**2. Notation**

We assume that the reader is familiar with mixed Hodge structures (MHS). Let  $V$  be a  $\mathbb{Z}$ -MHS and  $\mathbb{Z}(r)$  the Tate twist. We put

$$\Gamma V = \text{hom}_{\text{MHS}}(\mathbb{Z}(0), V), \text{ and } JV = \text{Ext}_{\text{MHS}}(\mathbb{Z}(0), V).$$

**3. The full Abel–Jacobi map on indecomposables**

Let  $H_{\text{tr}}^2(X, \mathbb{Z}) := H^2(X, \mathbb{Z})/\text{NS}(X)$  be transcendental cohomology, where NS stands for the Neron–Severi group. There is a the full Abel–Jacobi map [3] on indecomposables,

$$\Phi : K_{1,\text{ind}}^{(2)}(X)^\circ \rightarrow J(H_{\text{tr}}^2(X, \mathbb{Z}(2))) = \frac{(H^{2,0}(X) \oplus H_{\text{tr}}^{1,1}(X))^\vee}{H_2(X, \mathbb{Z})},$$

given by (for  $\omega \in H^{2,0}(X) \oplus H_{\text{tr}}^{1,1}(X)$ ):

$$\{\xi\} \mapsto \Phi(\xi)(\omega) := \frac{1}{2\pi i} \left( \sum_{j=1}^N \int_{D_j} \log(f_j) \omega - 2\pi i \int_\zeta \omega \right),$$

where  $\log$  has the principal branch. It turns out that we can say something about this map. For this we recall the (limit) Betti cycle class map

$$K_2(\mathbb{C}(X)) \xrightarrow{\text{dlog}_2} \Gamma H^2(\mathbb{C}(X), \mathbb{Z}(2)), \{f, g\} \mapsto \text{dlog } f \wedge \text{dlog } g,$$

where

$$\Gamma H^2(\mathbb{C}(X), \mathbb{Z}(2)) = \varinjlim_{\bar{U}} \Gamma H^2(U, \mathbb{Z}(2)), U \subset X \text{ Zariski open.}$$

**Theorem 1.**  $\Phi$  is injective iff  $\text{dlog}_2$  is surjective.

**Proof.** See [2, Corollary 6.5], where it should also be pointed out that  $H^2(\mathbb{C}(X), \mathbb{Z}(2))$  is torsion free. □

The following conjecture seems to have survived critical examination (see [2] and the references cited there).

**Conjecture 2 (Beilinson–Milnor–Hodge conjecture).**  $\text{dlog}_2$  is surjective.

**Remark 3.** As argued in [2], this conjecture is *equivalent* to the corresponding conjecture with  $\mathbb{Q}$ -coefficients.

Let  $X = \mathcal{X}_0 := \rho^{-1}(0)$  be a very general<sup>1</sup> member of a family of surfaces  $\rho : \mathcal{X} \rightarrow S, 0 \in S$ . Here  $\rho$  is a smooth and proper morphism of smooth quasi-projective varieties. We recall the Kodaira–Spencer map:

$$\kappa : T_0(S) \rightarrow H^1(X, \Theta_X),$$

and put  $H_{\text{alg}}^1(X, \Theta_X) := \kappa(T_0(S))$ . We prove the following:

---

<sup>1</sup>Very general in this context means outside of a countable union of proper analytic subsets.

**Theorem 4.** *Assume that  $H_{\text{alg}}^1(X, \Theta_X) \otimes H^{2,0}(X) \xrightarrow{\cup} H_{\text{tr}}^{1,1}(X)$  is surjective. Then the correspondence  $\Phi(\xi) \mapsto \underline{\Phi}(\xi)$  is injective.*

**Proof.** This proof takes inspiration from [1]. First of all, the assumptions in the theorem do not change if we shrink  $S$  and/or replace  $S$  by a finite cover  $S' \rightarrow S$ , which will be unramified over  $0 \in S$  by Sard’s lemma, together with  $0$  a very general point of  $S$ . Indeed a very general point of  $S'$  will map to a very general point of  $S$ , and we can just as easily work over  $S'$ . However we can assume  $0 \in S$  corresponds to a very general point of  $S'$  and instead work over a polydisk neighbourhood of  $0 \in S$ . So for simplicity, we will replace  $S$  by a polydisk, and we will assume this. Thus a cycle  $\xi \in K_{1,\text{ind}}^{(2)}(X)^\circ$  lifts to a relative spread cycle  $\tilde{\xi} \in K_1^{(2)}(\mathcal{X}/S)^\circ$ , and corresponding normal function  $v_{\tilde{\xi}}$ , where  $v_{\tilde{\xi}}(0) = \Phi(\xi)$ . Let  $\nabla = \partial \otimes 1$  be the Gauss–Manin connection associated to the Hodge bundle  $\mathcal{H} := \mathcal{O}_S \otimes R^2\rho_*\mathbb{C}$ ,  $\mu \in H^0(S, \Theta_S)$  a linear differential operator, which we identify with the corresponding operator  $\nabla_\mu$  on  $\mathcal{H}$ . If  $\omega \in H^{2,0}(X)$ , there is a variational  $\tilde{\omega} \in \mathcal{K}(\mathcal{X}/S)$  (relative canonical sheaf), with  $\tilde{\omega}_0 = \omega$ . Now suppose that  $\Phi(\xi) \neq 0$ , and yet  $\langle v_{\tilde{\xi}}, \tilde{\omega} \rangle = 0$  for all  $\tilde{\omega} \in \mathcal{K}(\mathcal{X}/S)$ . This translates to saying that  $\langle v_{\tilde{\xi}}, \tilde{\omega} \rangle = \langle \gamma, \tilde{\omega} \rangle$ , for some period  $\gamma \in H^0(S, R^2\rho_*\mathbb{Z}(2))$ . Now for all  $\mu \in H^0(S, \Theta_S)$ , we arrive at:

$$\langle \gamma, \nabla_\mu \tilde{\omega} \rangle = \mu \langle \gamma, \tilde{\omega} \rangle = \mu \langle v_{\tilde{\xi}}, \tilde{\omega} \rangle = \langle \nabla_\mu v_{\tilde{\xi}}, \tilde{\omega} \rangle + \langle v_{\tilde{\xi}}, \nabla_\mu \tilde{\omega} \rangle = \langle v_{\tilde{\xi}}, \nabla_\mu \tilde{\omega} \rangle,$$

where we use the well-known fact that  $v_{\tilde{\xi}}$  is quasi-horizontal, implying that  $\langle \nabla_\mu v_{\tilde{\xi}}, \tilde{\omega} \rangle = 0$  for Hodge type reasons. By our assumption on the Kodaira–Spencer map, we have  $v_{\tilde{\xi}} \equiv 0$ , a fortiori  $\Phi(\xi) = 0$ , a contradiction. This tells us that  $\underline{\Phi}(\tilde{\xi}_t) \neq 0$  for very general  $t \in S$ . Since  $0 \in \Delta$  already corresponds to a very general  $X = \mathcal{X}_0$ , we can assume that  $t = 0$ , and hence  $\underline{\Phi}(\xi) \neq 0 \Rightarrow \underline{\Phi}(\xi) \neq 0$ . □

**Corollary 5.** *Same assumptions as given in the above theorem. Further, let us assume Conjecture 2. Then the correspondence  $\Phi \mapsto \underline{\Phi}$  is injective. In particular,  $\underline{\Phi}$  is injective.*

**Proof.** Beilinson rigidity and Conjecture 2, imply that  $K_{1,\text{ind}}^{(2)}(X)^\circ$  is countable (Voisin’s conjecture). Let  $\xi \in K_{1,\text{ind}}^{(2)}(X)^\circ$ . A spread of  $\xi$  will involve a finite cover  $S'_\xi \rightarrow S$  and the very general points of  $S'_\xi$  map to a dense subset  $S_\xi$  of  $S$ , being a countable intersection of open dense subsets (Baire). But again by Baire, the countable intersection

$$\bigcap_{\xi \in K_{1,\text{ind}}^{(2)}(X)^\circ} S_\xi$$

is likewise dense in  $S$ ; indeed and more explicitly, it amounts to the complement of a countable union of proper analytic subsets of  $S$ . Since  $0 \in S$  is already very general, it belongs to that intersection. Therefore if  $\Phi \mapsto \underline{\Phi}$  were not injective, then it would fail to be injective for some  $\xi$  as well. Now apply the above theorem. □

**References**

[1] X. Chen, C. Doran, M. Kerr, J. D. Lewis, “Normal Functions, Picard-Fuchs Equations, and Elliptic Fibrations on K3 Surfaces”, *J. Reine Angew. Math.* **721** (2016), p. 43-80.  
 [2] R. de Jeu, J. D. Lewis, “Beilinson’s Hodge conjecture for smooth varieties, with an appendix by Masanori Asakura”, *J. K-Theory* **11** (2013), no. 2, p. 243-282.  
 [3] M. Kerr, J. D. Lewis, S. Müller-Stach, “The Abel-Jacobi map for higher Chow groups”, *Compos. Math.* **142** (2006), no. 2, p. 374-396.