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Differential Geometry

Remarks on surfaces of large mean curvature

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Abstract

Let M be a complete embedded H-surface of bounded curvature in N^3 , a homogeneously regular 3-manifold. We prove that if H is large (in terms of the scalar curvature of N) then M is properly embedded. The proof follows from two theorems. First, if M is a complete stable immersed H-surface in N and H is large, then M is topologically a sphere. Secondly, a theorem of Meeks, Perez and Ros is used: limit leaves of *CMC*-laminations are stable. *To cite this article: H. Rosenberg, C. R. Acad. Sci. Paris, Ser. I* 347 (2009).

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Résumé

Remarques sur les surfaces de courbure moyenne grande. Soit M une surface complète de courbure moyenne constante H dans N^3 , de courbure bornée. On montre que si H est grand (par rapport à la courbure scalaire de N) alors M est proprement plongée. La preuve utilise deux théorèmes. Le premier est que si M est est une surface de courbure moyenne constante stable dans N (complète) et si H est grand, alors M est topologiquement une sphère. Le second est un théorème de Meeks, Perez et Ros : Les feuilles limites d'une lamination *CMC* sont stables. *Pour citer cet article : H. Rosenberg, C. R. Acad. Sci. Paris, Ser. I 347 (2009).* © 2009 Académie des sciences. Published by Elsevier Masson SAS. All rights reserved.

1. Introduction

Let N be an orientable homogeneously regular 3-manifold. This mean there is some positive R so that the geodesic balls of N of radius R, centered at any point of N are embedded, and in these balls, the sectional curvatures are bounded by a constant independent of the point of N where the balls are centered. We will prove:

Theorem 1.1. Let c > 0 and H be constants satisfying

 $3H^2 + S(x) \ge c,$

where *S* is the scalar curvature function of *N*. Then a complete embedded *H*-surface *M* in *N*, of bounded curvature, is properly embedded.

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Remark 1. A hypothesis on the size of *H* is necessary; consider a foliation of a flat 3-torus by dense planes (H = 0). Also notice that when *N* has positive Ricci curvature bounded away from zero (so *N* is compact) then the theorem implies a complete embedded *H*-surface in *N* of bounded curvature is compact.

The proof is an application of two theorems (more precisely, of one theorem and the proof of the second theorem). The author proved in [2], the following theorem:

Theorem 1.2. Let N be an orientable homogeneously regular 3-manifold and let M be a complete orientable surface immersed in N of constant mean curvature H. Suppose M is a (strongly) stable H-surface and

 $3H^2 + S(x) \ge c > 0.$

Then M is topologically a sphere.

Remark 2. The above theorem is an immediate consequence of the proof of Theorem 1 of [2].

We now explain this. The exact statement of Theorem 1 of [2], is that for surfaces M as in Theorem 1.2, and allowing ∂M to be non-empty (until now, M complete meant empty boundary), then for $p \in M$,

$$\operatorname{dist}_M(p,\partial M) \leqslant \frac{2\pi}{\sqrt{3c}}.$$

In particular, when $\partial M = \emptyset$, then *M* is compact. The proof of Theorem 1 of [2] involves studying the metric $d\tilde{s}^2 = u ds^2$, where ds^2 is the metric on *M* and *u* is a Jacobi function on *M* coming from stability, i.e., u > 0 is in the kernel of the linearized operator

$$L = \Delta + |A|^2 + \operatorname{Ric}(n),$$

where Δ is the Laplacian of the metric M, A the second fundamental form, and n a unit normal to M. It is shown in [2], that the metric $d\tilde{s}^2$ has positive intrinsic curvature, so M is an immersed sphere when M is compact.

The second theorem we need for the proof of Theorem 1.1, says that a limit leaf of a *CMC* lamination, is (strongly) stable [1].

Now we can prove Theorem 1.1. If M is not properly embedded, then the closure of M is a *CMC* lamination with a limit leaf M_0 , and M_0 is stable [1]. By Theorem 1.2, M_0 is an immersed sphere.

Now *M* spirals towards M_0 ($M_0 \subset \overline{M}$ and $M_0 \neq M$), so one can lift paths on M_0 into paths of *M*. Since M_0 is compact and simply connected, lifting paths defines an immersion of M_0 into *M*. The image of M_0 is open and closed in *M* so *M* is compact; a contradiction. This proves Theorem 1.1.

We remark that M_0 may not be embedded, there may be isolated points of M_0 where two sheets of M_0 touch on their mean concave sides. But this poses no obstacle to lifting paths of M_0 to M.

Remark 3. Suppose *N* is a compact 3-manifold with positive Ricci curvature. Then if *M* is a complete embedded *H*-surface in *N*, of bounded curvature, we have *M* is compact. Otherwise the lamination \overline{M} would have a stable leaf M_0 by the theorem of Meeks, Perez and Ros. Then M_0 is a compact sphere by Theorem 1.2. Stability means $-\int_{M_0} fL(f) \ge 0$, for all *f* of compact support on M_0 . Take f = 1 on M_0 , then

$$L(f) = \Delta f + \left(|A|^2 + \operatorname{Ric}\right)(n) > 0;$$

a contradiction.

References

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