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Algebra On the genus of a division algebra

Sur le genre d'un corps gauche

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ABSTRACT

We define the genus gen(D) of a finite-dimensional central division algebra D over a field K as the set of all classes [D'] in the Brauer group Br(K) that are represented by central division K-algebras D' having the same maximal subfields as D. We give examples where gen(D) is reduced to a single element, and other examples where it is finite.

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RÉSUMÉ

Nous définissons le genre gen(D) d'un corps gauche central D de dimension finie sur un corps K comme l'ensemble des classes [D'] dans le groupe de Brauer Br(K) qui sont représentées par des corps gauches D' de centre K ayant les mêmes sous-corps maximaux que D. Nous donnons des exemples où **gen**(D) est réduit à un seul élément, ainsi que d'autres où **gen**(D) est fini.

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Version française abrégée

Soit *K* un corps et Br(K) son groupe de Brauer. Pour une algèbre centrale simple *A* sur *K*, on note [*A*] sa classe dans Br(K). On définit le genre **gen**(*D*) d'un corps gauche central *D* sur *K* comme l'ensemble des classes $[D'] \in Br(K)$, où *D'* est un corps gauche central sur *K* ayant les mêmes sous-corps maximaux que *D*. Dans cette note, on étudie les deux questions suivantes :

Question 1. Quand est-ce que le genre est réduit à un seul élément?

Question 2. Quand est-ce que **gen**(*D*) est fini?

On observe que gen(D) peut être réduit à un seul élément seulement si [D] est d'exposant deux dans Br(K); en effet, dans cette situation, gen(D) consiste d'un seul élément si K est un corps global. On prouve, en particulier, que si K est un corps de car. $\neq 2$ qui a la propriété que |gen(D)| = 1 pour tout corps gauche D sur K d'exposant deux, alors le corps de fractions rationelles K(x) a la même propriété. Par conséquent, |gen(D)| = 1 pour tout corps gauche D d'exposant deux sur $K = k(x_1, \dots, x_r)$, où k est soit un corps de nombres soit un corps fini de car. $\neq 2$.

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On établit aussi le résultat de finitude suivant : soit K un corps de type fini sur son sous-corps premier, soit D un corps gauche central sur K de degré n, $(n, \operatorname{car} K) = 1$. Alors $|\mathbf{gen}(D)|$ est fini. La preuve est réduite à la démonstration de la finitude du sous-groupe de n-torsion ${}_{n}\operatorname{Br}(K)_{V}$ du groupe de Brauer de K non-ramifié par rapport à un ensemble convenable de valuations discrètes de K. On donne un exemple d'une borne explicite pour $|\mathbf{gen}(D)|$ dans le cas où D est une algèbre de quaternions sur le corps de fractions k(E) d'une courbe elliptique définie sur un corps de nombres k.

1. Introduction

Let *K* be a field, Br(K) be its Brauer group, and for any integer n > 1 let ${}_{n}Br(K)$ be the subgroup of Br(K) annihilated by *n*. For a finite-dimensional central simple algebra *A* over *K*, we let [*A*] denote the corresponding class in Br(K), and we then define the *genus* **gen**(*D*) of a central division *K*-algebra *D* of degree *n* to be the set of classes $[D'] \in Br(K)$ where *D'* is a central division *K*-algebra having the same maximal subfields as *D* (in more precise terms, this means that *D'* has the same degree *n*, and a field extension *P*/*K* of degree *n* admits a *K*-embedding $P \hookrightarrow D$ if and only if it admits a *K*-embedding $P \hookrightarrow D'$).¹ One can ask the following two questions about the genus of a central division *K*-algebra *D* of degree *n*:

Question 1. When does **gen**(*D*) consist of a single class?

Question 2. When is **gen**(*D*) finite?

We note that since the opposite algebra D^{op} has the same maximal subfields as D, the genus **gen**(D) can reduce to a single element only if $[D^{op}] = [D]$, i.e. if D has exponent 2 in the Brauer group. On the other hand, as follows from the theorem of Artin–Hasse–Brauer–Noether (AHBN), **gen**(D) does reduce to a single element for any algebra D of exponent 2 over a global field K (in which case D is necessarily a quaternion algebra).² The following theorem (which for quaternion algebras was established earlier in [16]) expands the class of fields with this property:

Theorem 1 (*Stability Theorem*). Let *K* be a field of characteristic $\neq 2$.

- (1) If K satisfies the following property:
 - (*) if D and D' are central division K-algebras of exponent 2 having the same maximal subfields then $D \simeq D'$ (in other words, for any D of exponent 2, $|gen(D) \cap _2Br(K)| = 1$),
 - then the field of rational functions K(x) also satisfies (*).
- (2) |f||gen(D)| = 1 for any central division K-algebra D of exponent 2, then the same is true for any central division K(x)-algebra of exponent 2.

Corollary 2. Let *k* be either a finite field of characteristic $\neq 2$ or a number field, and $K = k(x_1, ..., x_r)$ be a finitely generated purely transcendental extension of *k*. Then for any central division *K*-algebra *D* of exponent 2 we have $|\mathbf{gen}(D)| = 1$.

While Question 1 makes sense only for division algebras of exponent 2, Question 2 can be asked for arbitrary division algebras. As above, it follows from (ABHN) that **gen**(*D*) is finite for any finite-dimensional central division algebra *D* over a global field *K*. For fields other than global, the finiteness question was investigated in [10] for the genus **gen**'(*D*) defined in terms of all finite-dimensional splitting fields (note that **gen**'(*D*) \subset **gen**(*D*)) for division algebras *D* of arbitrary prime exponent *p* over the field K = k(x) of rational functions, with $p \neq \operatorname{char} k$. In particular, it was shown in [10] that if **gen**'(Δ) is finite for any central division algebra Δ of exponent *p* over a field *k*, then **gen**'(*D*) is finite for any central division algebra D of exponent *p* over a field *k*. At the same time, a direct generalization of the construction described in [8, §2] enables one to provide an example of a quaternion division algebra *D* over an infinitely generated field *K* with infinite genus **gen**(*D*). So, the following finiteness result seems to cover the most general situation:

Theorem 3. Let *K* be a finitely generated field (i.e., a finitely generated extension of its prime field). If *D* is a central division *K*-algebra of exponent prime to char *K*, then gen(D) is finite.

2. The genus and the unramified Brauer group

We will now describe a general set-up that allows one to estimate the size of **gen**(*D*), and will then apply it to proving Theorems 1 and 3. Given a discrete valuation v of K, we let $\mathcal{O}_{K,v}$ and \overline{K}_v denote its valuation ring and residue field,

¹ At the end of this note, we will discuss a generalization of this notion to absolutely almost simple algebraic *K*-groups in which maximal subfields are replaced with maximal *K*-tori. We observe in this respect that only *separable* maximal subfields of *D* give rise to maximal *K*-tori of $G = SL_{1,D}$. So, in order to make our definitions fully compatible, one should define **gen**(*D*) in terms of maximal separable subfields. In the current note, however, the degree *n* of *D* will always be assumed to be coprime to the characteristic of *K*, so the issue of separability will not arise.

² Indeed, (ABHN) implies that a quaternion algebra over a global field is uniquely determined by its set of ramified places; on the other hand, if two quaternion division algebras have the same maximal subfields, they necessarily have the same ramified places.

respectively. Fix an integer n > 1 (which will later be either the degree or the exponent of *D*) and suppose that *V* is a set of discrete valuations of *K* that satisfies the following three conditions:

- (A) For any $a \in K^{\times}$, the set $V(a) := \{v \in V \mid v(a) \neq 0\}$ is finite;
- (B) There exists a finite subset $V' \subset V$ such that the field of fractions of

$$\mathcal{O} := \bigcap_{v \in V \setminus V'} \mathcal{O}_{K,v}$$

coincides with K;

(C) For any $v \in V$, the characteristic of \overline{K}_v is prime to n.

(We note that if K is finitely generated, then (B) is an automatic consequence of (A).) Due to (C), for each $v \in V$ one can define the residue map

$$\rho_{\nu}: {}_{n}\mathrm{Br}(K) \to \mathrm{Hom}(\mathcal{G}^{(\nu)}, \mathbb{Z}/n\mathbb{Z}).$$

where $\mathcal{G}^{(\nu)}$ is the absolute Galois group of \overline{K}_{ν} (cf., for example, [17, §10] or [18, Ch. II, Appendix]). As usual, a class $[A] \in {}_{n}Br(K)$ (or a central simple K-algebra A representing this class) is said to be *unramified* at ν if $\rho_{\nu}([A]) = 1$, and *ramified* otherwise. We let Ram_V(A) (or Ram_V([A])) denote the set of all $\nu \in V$ where A is ramified.

Proposition 4. If V satisfies conditions (A), (B), and (C), then for any $[A] \in {}_{n}Br(K)$, the set Ram_V([A]) is finite.

Proposition 5. Let D and D' be central division K-algebras such that $[D] \in {}_{n}Br(K)$ and $[D'] \in gen(D) \cap {}_{n}Br(K)$. Given $v \in V$, we let χ_{v} and $\chi'_{v} \in Hom(\mathcal{G}^{(v)}, \mathbb{Z}/n\mathbb{Z})$ denote the images under ρ_{v} of the classes [D] and [D'], respectively. Then

Ker $\chi_{\nu} = \text{Ker } \chi'_{\nu}$

for all $v \in V$. In particular, if D is unramified at v then so is D'.

We define the unramified part of ${}_{n}Br(K)$ relative to V as follows:

$${}_{n}\mathrm{Br}(K)_{V} := \bigcap_{\nu \in V} \operatorname{Ker} \rho_{\nu}.$$

The following statement relates the size of the genus to the size of $_{n}Br(K)_{V}$:

Theorem 6. Assume that $_{n}Br(K)_{V}$ is finite. Then for any finite-dimensional central division K-algebra D of exponent n, the intersection $gen(D) \cap _{n}Br(K)$ is finite, of size

$$|\mathbf{gen}(D) \cap_n \mathrm{Br}(K)| \leq |_n \mathrm{Br}(K)_V| \cdot \varphi(n)^r, \quad \text{with } r = |\mathrm{Ram}_V(D)|,$$

where φ is the Euler function. In particular, if D has degree n then

$$|\mathbf{gen}(D)| \leq |_n \mathrm{Br}(K)_V| \cdot \varphi(n)^r$$
.

We will now specialize to the situation where K = k(C) is the field of rational functions on a smooth absolutely irreducible projective curve *C* over a field *k*. Set *V* to be the set of all geometric places of *K*, i.e. those discrete valuations of *K* that are trivial on *k*. Then the corresponding unramified Brauer group $_n Br(K)_V$ will be denoted by $_n Br(K)_{ur}$ (this is precisely the *n*-torsion subgroup of the Brauer group of the curve *C*). Applying the techniques outlined above, in conjunction with some considerations involving specialization, we obtain the following:

Theorem 7. Let n > 1 be an integer prime to char k. Assume that

- the set *C*(*k*) of rational points is infinite;
- $|_{n}\operatorname{Br}(K)_{\operatorname{ur}}/\iota_{k}({}_{n}\operatorname{Br}(k))| =: M < \infty$, where $\iota_{k} : \operatorname{Br}(k) \to \operatorname{Br}(K)$ is the canonical map.

Then

(1) if there exists $N < \infty$ such that

 $|\mathbf{gen}(\Delta) \cap_n \mathrm{Br}(k)| \leq N$

for any central division k-algebra Δ of exponent n, then for any central division K-algebra D of exponent n we have

 $|\mathbf{gen}(D) \cap_n \mathrm{Br}(K)| \leq M \cdot N \cdot \varphi(n)^r$,

where $r = |\operatorname{Ram}_V(D)|$;

(2) if $gen(\Delta) \cap_n Br(k)$ is finite for any central division k-algebra Δ of exponent n, then $gen(D) \cap_n Br(K)$ is finite for any central division K-algebra D of exponent n.

One notable case where Theorem 7 applies is $C = \mathbb{P}_k^1$ over an infinite field k (which we can assume without loss of generality). It is well-known that in this case ${}_n\text{Br}(K)_{\text{ur}} = \iota_k({}_n\text{Br}(k))$ (cf. [9, Corollary 6.4.6]), i.e. one can take M = 1. Now, let n = 2 and assume that k satisfies condition (*) of Theorem 1, i.e. $|\mathbf{gen}(\Delta) \cap_2 \text{Br}(k)| = 1$ for any central division k-algebra Δ of exponent 2. The latter means that one can take N = 1. We then obtain from Theorem 7 that $|\mathbf{gen}(D) \cap_2 \text{Br}(K)| = 1$ for any central division K-algebra D of exponent 2, proving part (1) of Theorem 1. The proof of part (2) is similar.

Furthermore, it follows from Theorem 6 that in order to prove Theorem 3, it is enough to establish the following:

Theorem 8. Let *K* be a finitely generated field, and let n > 1 be an integer coprime to char *K*. Then there exists a set *V* of discrete valuations of *K* that satisfies conditions (A), (B) and (C), and for which the unramified Brauer group $_n Br(K)_V$ is finite.

We originally proved Theorem 8 by a method related to the proof of the Weak Mordell–Weil Theorem (cf. [11, Ch. VI]), which in principle can be used to obtain some estimates on the size of $_{n}Br(K)_{V}$, hence of **gen**(*D*) (see below). It was later pointed out to us by J.-L. Colliot-Thélène [4] that a (nonconstructive) proof of the finiteness of $_{n}Br(K)_{V}$ can be derived from the following general statement:

Theorem 9. Let X be a scheme of finite type over U = Spec A, where A is either a finite field or the ring of S-integers in a number field (with S finite). For any integer n invertible in A and any n-torsion constructible sheaf \mathfrak{F} on X, the étale cohomology groups $H^{i}_{\acute{e}t}(X,\mathfrak{F})$ are finite for all $i \ge 0$.

Given a finitely generated field *K* and an integer n > 1 prime to char *K*, we can pick a smooth affine integral scheme *X* as in Theorem 9 with the field of rational functions *K*. Applying Theorem 9 to the étale sheaf associated with the group scheme μ_n of *n*th roots of unity, we obtain the finiteness of $H_{\acute{e}t}^2(X, \mu_n)$. Then the Kummer sequence yields the finiteness of *n*Br(*X*). On the other hand, it follows from the absolute purity conjecture proved by O. Gabber (see [7] for an exposition of Gabber's proof, and also [5, p. 153] and [3, discussion after Theorem 4.2] regarding the history of the question) that the latter coincides with $_nBr(K)_V$, where *V* is the set of discrete valuations of *K* associated with the divisors of *X*, cf. [7], hence the required fact (obviously, this *V* satisfies our conditions (A), (B) and (C)).

Since the proof of Theorem 9 is not readily available in the existing literature, we reproduce below an outline of the argument kindly explained to us by J.-L. Colliot-Thélène in [4] (with his permission). Since for our purposes we only need to consider the smooth case, in the situation where A is a finite field the required fact follows from Corollary 4.5 or Corollary 5.5 in [12, Ch. VI] in conjunction with the Hochschild–Serre spectral sequence (cf. [12, Ch. III, Theorem 2.20]).

Let now *A* be a ring of *S*-integers in some number field *k*, where *S* is a finite set of places of *k*. Applying to the structure morphism $f: X \to U$ Theorem 1.1 of the chapter "Théorèmes de finitude" in Deligne's book [6, p. 233], we obtain that the direct images $\mathbb{R}^q f_*\mathfrak{F}$ are constructible *n*-torsion sheaves on *U*. Combining Proposition 2.9 in [13, Ch. II] with Theorem 8.3.19 in [14], we obtain that the groups $H^p_{\acute{e}t}(U, \mathbb{R}^q f_*\mathfrak{F})$ are finite for all $p \ge 0$. Then the Leray spectral sequence $H^p_{\acute{e}t}(U, \mathbb{R}^q f_*\mathfrak{F}) \Rightarrow H^{p+q}_{\acute{e}t}(X, \mathfrak{F})$ [12, Ch. III, Theorem 1.18] shows that the groups $H^i_{\acute{e}t}(X, \mathfrak{F})$ are all finite.

3. An example

We will now show how the methods involved in our original proof of Theorem 8 can actually be used to estimate the size of the unramified Brauer group, and hence of the genus of a division algebra, in certain situations. Because of space limitation, we will focus on the following example. Let k be a number field, and let E be an elliptic curve over k given by a Weierstrass equation

$$y^2 = f(x)$$
 where $f(x) = x^3 + \alpha x^2 + \beta x + \gamma$.

Without loss of generality, we may assume that all the coefficients lie in the ring of integers \mathcal{O}_k . We will also assume that *E* splits over *k*, i.e. *f* has three roots in *k*. Let $\delta \neq 0$ be the discriminant of *f*, and set

$$S = V_{\infty}^k \cup V^k(2) \cup V^k(\delta)$$

where V^k denotes the set of all valuations of k, V_{∞}^k the subset of archimedean valuations, and for $a \in k^{\times}$ we set $V^k(a) = \{v \in V^k \setminus V_{\infty}^k \mid v(a) \neq 0\}$. Let

$$K := k(E) = k(x, y).$$

For a nonarchimedean $v \in V^k$, let \tilde{v} denote its extension to F := k(y) given by

$$\tilde{\nu}(a_m y^m + \dots + a_0) = \min_{a_i \neq 0} \nu(a_i)$$

(cf. [1, Ch. VI, §10]). It can be shown that for $v \in V^k \setminus S$, the valuation \tilde{v} has a unique extension to K, which we will denote by w = w(v). We now introduce the following set of discrete valuations of K:

$$V = V_0 \cup V_1,$$

where V_0 is the set of all geometric places of K (i.e., those discrete valuations that are trivial on k), and V_1 consists of the valuations w = w(v) for all $v \in V^k \setminus S$. It is easy to see that V satisfies conditions (A), (B) and (C).

Theorem 10. The unramified Brauer group $_2Br(K)_V$ is finite of order dividing

$$2^{|S|-t} \cdot |2 \operatorname{Cl}_{S}(k)|^{2} \cdot |U_{S}(k)/U_{S}(k)^{2}|^{2},$$

where t = c + 1 with c being the number of complex places of k, and $Cl_S(k)$ and $U_S(k)$ are the class group and the group of units of the ring of S-integers $\mathcal{O}_k(S)$, respectively.

Sketch of proof. We will use the following description of the 2-torsion $_2Br(K)_{V_0}$ in the geometric Brauer group [2]: *If E splits* over *k*, *i.e.* f(x) = (x-a)(x-b)(x-c) with $a, b, c \in k$, then $_2Br(K)_{V_0} = _2Br(k) \oplus I$, where $I \subset _2Br(K)_{V_0}$ is a subgroup such that every element of *I* is represented by a bi-quaternion algebra $(r, x - b)_K \otimes_K (s, x - c)_K$ for some $r, s \in k^{\times}$. Let $[D] \in _2Br(K)_V$. Then $[D] = [\Delta' \otimes_K \Delta'']$ where $\Delta' = \Delta_0 \otimes_k K$ for some central division *k*-algebra Δ_0 of exponent 2, and $\Delta'' = (r, x - b)_K \otimes_K (s, x - c)_K$ for some $r, s \in k^{\times}$. Using the corestriction map $cor_{K/F}$, one shows that Δ_0 is unramified at all $v \in V^k \setminus S$, and hence Δ'' is unramified at all $w \in V_1$. The latter implies that $v(r), v(s) \equiv 0 \pmod{2}$ for all $v \in V^k \setminus S$. Let

$$\tilde{\Gamma} = \left\{ x \in k^{\times} \mid v(x) \equiv 0 \pmod{2} \text{ for all } v \in V^k \setminus S \right\},\$$

and let Γ be the image of $\tilde{\Gamma}$ in $k^{\times}/k^{\times 2}$. Then there is an exact sequence

$$0 \rightarrow U_{S}(k)/U_{S}(k)^{2} \rightarrow \Gamma \rightarrow {}_{2}Cl_{S}(k) \rightarrow 0$$

(cf. [11, §6.1]), hence $|\Gamma| = |_2 Cl_S(k)| \cdot |U_S(k)/U_S(k)^2|$.

Our previous discussion shows that there are at most $|\Gamma|^2$ possibilities for Δ'' . On the other hand, it follows from (ABHN) that $_2\text{Br}(k)_{V^k\setminus S}$ has order $2^{|S|-t}$, which bounds the number of possibilities for Δ' . Combining this with the above computation of $|\Gamma|$, we obtain our claim. \Box

Example. Consider an elliptic curve *E* over \mathbb{Q} given by $y^2 = x^3 - x$. We have $\delta = 4$, so $S = \{\infty, 2\}$. Furthermore,

$$|S| - t = 1$$
, $Cl_S(\mathbb{Q}) = 1$ and $U_S(\mathbb{Q}) = \{\pm 1\} \times \mathbb{Z}$.

So, by Theorem 10, for $K = \mathbb{Q}(E)$ and the set *V* constructed above, the group $_2\text{Br}(K)_V$ has order dividing $2 \cdot 4^2 = 32$. Combining this with Theorem 6, we obtain that for any quaternion algebra *D* over *K*, we have $|\mathbf{gen}(D)| \leq 32$.

4. Concluding remarks

The questions considered in this note for division algebras can be analyzed in the broader context of arbitrary absolutely almost simple simply connected (or adjoint) K-groups. In this set-up, one can define the genus of such a K-group G as the collection of K-forms G' of G that have the same isomorphism classes of maximal K-tori (as a variation, one can base the notion only on *generic* tori). We note that questions about groups in the same genus arise in the analysis of weak commensurability of Zariski-dense subgroups which in turn is related to some problems in differential geometry, cf. [15]. In view of our Theorem 3, it seems natural to propose the following:

Conjecture. Let *G* be an absolutely almost simple simply connected algebraic group over a finitely generated field *K* of characteristic zero (or of "good" characteristic relative to *G*). Then there exists a finite collection G_1, \ldots, G_r of *K*-forms of *G* such that if *H* is a *K*-form of *G* having the same isomorphism classes of maximal *K*-tori as *G*, then *H* is *K*-isomorphic to one of the G_i 's.

Our proof of Theorem 3 yields in fact a proof of this conjecture for inner forms of type A_{ℓ} .

Theorem 11. Let *G* be an absolutely almost simple simply connected algebraic group of inner type A_{ℓ} over a finitely generated field *K* whose characteristic is either zero or does not divide $\ell + 1$. Then the above conjecture is true for *G*.

(In this regard, we note that if central simple *K*-algebras $A_1 = M_{\ell_1}(D_1)$ and $A_2 = M_{\ell_2}(D_2)$, where D_1 and D_2 are division algebras, have the same maximal étale *K*-subalgebras, then $\ell_1 = \ell_2$ and D_1 and D_2 have the same maximal separable subfields, cf. [16, Lemma 2.3].)

We plan to address the general case of the conjecture in our subsequent publications.

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