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Partial Differential Equations

On the existence of weak solutions to a model problem for the unsteady turbulent pipe-flow



Sur l'existence des solutions faibles pour un modèle d'écoulement non stationnaire turbulent dans une conduite

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ABSTRACT

We consider a coupled system of PDEs for the scalar functions u and k in a cylinder $\Omega \times]0, T[$ ($\Omega \subset \mathbb{R}^2$ bounded domain, $0 < T < +\infty$). This system represents a simplified version of Prandtl's (1945) model of turbulence in the case of an unsteady motion of a fluid through a pipe with cross-section Ω (u = one-dimensional velocity, k = turbulent kinetic energy). We prove the existence of weak solutions to the problem under consideration with homogeneous Dirichlet conditions on u and homogeneous Neumann conditions on k along $\partial\Omega \times]0, T[$, and initial conditions on u and k in $\Omega \times \{0\}$.

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R É S U M É

On considère un système couplé d'équations aux dérivées partielles pour des fonctions scalaires u et k dans un cylindre $\Omega \times]0, T[$ ($\Omega \subset \mathbb{R}^2$ domaine borné, $0 < T < +\infty$). Ce système représente une version simplifiée du modèle de turbulence de Prandtl (1945) dans le cas de l'écoulement non stationnaire d'un liquide dans une conduite de section Ω (u = vitesse à une dimension, k = énergie cinétique de la turbulence). Nous démontrons l'existence de solutions faibles pour le système envisagé avec des conditions homogènes de Dirichlet pour u et des conditions de Neumann pour k sur $\partial\Omega \times]0, T[$, et des conditions initiales pour des fonctions u et k dans $\Omega \times \{0\}$.

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Version française abrégée

Dans un cylindre $Q = \Omega \times]0, T[$ ($\Omega \subset \mathbb{R}^2$ domaine borné de Lipschitz, $0 < T < +\infty$), on étudie le système :

$$\frac{\partial u}{\partial t} - \operatorname{div}(\sqrt{k}\nabla u) = f, \quad \frac{\partial k}{\partial t} - \operatorname{div}((\mu + \sqrt{k})\nabla k) = \sqrt{k}|\nabla u|^2 - k\sqrt{k}, \quad (1)$$

où la fonction $f = f(t)$ (= gradient de la pression de l'écoulement) est donnée dans $]0, T[$, et $\mu = \text{const} > 0$. Ce système est une version simplifiée du modèle de turbulence de Prandtl (1945) [18] pour l'écoulement d'un liquide dans une conduite de section Ω . La fonction $u = u(x, t)$ représente la vitesse à une dimension et $k = k(x, t)$ dénote l'énergie cinétique de la

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turbulence ($x = (x_1, x_2) \in \Omega$, $t \in]0, T[$; voir [5,16,17,20] pour les notions fondamentales). On considère les conditions aux limites et les conditions initiales suivantes :

$$u = \frac{\partial k}{\partial \mathbf{n}} = 0 \text{ sur } \partial\Omega \times]0, T[, \quad u = u_0, \quad k = k_0 \text{ dans } \Omega \times \{0\} \tag{2}$$

(\mathbf{n} = normale extérieure).

L'objet de cette Note est la continuation des études qui ont commencé avec [14,15] pour les cas non stationnaires. Pour simplifier les raisonnements, nous nous limitons au cas où $f = 0$. On démontre alors l'existence de solutions faibles pour le problème (1), (2), de sorte que $k \geq 0$ p.p. dans Q et $k > 0$ p.p. dans $Q^* \subset Q$, où $\text{mes } Q^* > 0$. La démonstration se fait en trois étapes :

1. On prouve l'existence d'une solution faible $(u_\varepsilon, k_\varepsilon)$ ($k_\varepsilon \geq 0$ p.p. dans Q) au système régularisé

$$\frac{\partial u}{\partial t} - \text{div}((\varepsilon + [k]_\varepsilon)^{1/2} \nabla u) = 0, \quad \frac{\partial k}{\partial t} - \text{div}((\mu + [k]_\varepsilon^{1/2}) \nabla k) = (\varepsilon + [k]_\varepsilon)^{1/2} |\nabla u|^2 - k^{3/2}$$

sous les conditions (2), ($[\xi]_\varepsilon := \min\{\frac{1}{\varepsilon}, \xi\}$, $0 \leq \xi < +\infty$, $0 < \varepsilon < +\infty$).

2. On établit des estimations a priori pour $(u_\varepsilon, k_\varepsilon)$.
3. On effectue le passage à la limite pour $(u_\varepsilon, k_\varepsilon)$ lorsque $\varepsilon \rightarrow 0$. Pour cette étape, l'outil principal est l'équation d'énergie locale suivante pour la solution faible (u, k) de (1), (2) :

$$\frac{1}{2} \int_{\Omega} u^2(x, t) \zeta(x) \, dx + \int_0^t \int_{\Omega} k^{1/2} (|\nabla u|^2 \zeta + u \nabla u \cdot \nabla \zeta) = \frac{1}{2} \int_{\Omega} u_0^2 \zeta \quad \forall t \in [0, T], \quad \forall \zeta \in C_c^1(\Omega)$$

qui a un intérêt indépendant (voir aussi (23)).

1. Introduction

Let $\Omega \subset \mathbb{R}^2$ be a bounded domain with Lipschitz boundary $\partial\Omega$, let $0 < T < +\infty$ and put $Q = \Omega \times]0, T[$. We consider the following system of PDEs:

$$\frac{\partial u}{\partial t} - \text{div}(\sqrt{k} \nabla u) = f, \quad \frac{\partial k}{\partial t} - \text{div}((\mu + \sqrt{k}) \nabla k) = \sqrt{k} |\nabla u|^2 - k \sqrt{k} \text{ in } Q. \tag{1}$$

This system represents a simplified version of Prandtl's 1945 one-equation model of turbulence for the unsteady motion of an incompressible fluid through the "pipe" $\Omega \times]a, b[$ ($-\infty < a < b < +\infty$). The simplification we made in [18, Eq. (1) on p. 11] consists in assuming that the mixing length l is constant, say 1 (cf. [16,17,20] for details concerning the concept of Prandtl's mixing length l ; cf. also [15]). Finally, $f(t)$ denotes the given pressure gradient, i.e. $f(t) = -\frac{\partial p}{\partial x_3}(x_3, t)$, where $p(x_3, t) = (a - x_3)f(t)$, $x_3 \in]a, b[$, $f \geq 0$ (cf. [1, pp. 179–180], [5, pp. 54–56], [15]). Detailed discussions of turbulence modeling can be found in [5,16,17,20].

We complete (1) by the following boundary and initial conditions:

$$u = \frac{\partial k}{\partial \mathbf{n}} = 0 \text{ on } \partial\Omega \times]0, T[, \quad u = u_0, \quad k = k_0 \text{ in } \Omega \times \{0\}, \tag{2}$$

where \mathbf{n} denotes the exterior unit normal to $\partial\Omega$, and u_0 and k_0 denote given functions in Ω .

In [10, pp. 203–204], the author considers a system of PDEs for two scalar functions, which is more complex than (1), but does not include a degenerate PDE like the u -equation in (1). In [4,9], the authors prove the existence of weak solutions to a general class of turbulent-viscosity models in three dimensions of space, with eddies of the type $\nu_0 + \nu(k)$ ($\nu_0 = \text{const} > 0$, $0 \leq \nu(k) \leq c_0(1 + k^\alpha)$ for all $k \in [0, +\infty[$).

The aim of the present Note is to continue the discussion in [14,15]. We state an existence theorem for weak solutions to (1), (2) and sketch its proof. For the sake of simplicity of the discussion, in what follows we suppose that $f = 0$.

2. Statement of the main result

Let X denote a real normed vector space with norm $|\cdot|_X$ and let X^* be its dual. By $\langle x^*, x \rangle_{X^*, X}$ we denote the dual pairing of $x^* \in X^*$ and $x \in X$. The symbol $C_w([0, T]; X)$ stands for the vector space of all mappings $u : [0, T] \rightarrow X$ such that, for every $x^* \in X^*$, the function $t \mapsto \langle x^*, u(t) \rangle_{X^*, X}$ is continuous on $[0, T]$. Next, by $L^p(0, T; X)$ ($1 \leq p \leq +\infty$) we denote the vector space of all equivalence classes of measurable mappings $u : [0, T] \rightarrow X$ such that the function $t \mapsto |u(t)|_X$ is in $L^p(0, T)$ (cf. [2, Chap. III, §3, Chap. IV, §3], [3, Appendice], [6] for the basics of $L^p(0, T; X)$).

Let $W^{1,p}(\Omega)$ ($1 \leq p \leq +\infty$) denote the usual Sobolev space and let:

$$W_0^{1,p}(\Omega) := \{u \in W^{1,p}(\Omega); u = 0 \text{ a.e. on } \partial\Omega\}, \quad W^{-1,p'}(\Omega) := \text{dual of } W_0^{1,p}(\Omega) \quad \left(1 < p < +\infty, p' = \frac{p}{p-1}\right).$$

The main result of our paper is the following:

Theorem. Let $u_0 \in L^\infty(\Omega)$ and let $k_0 \in L^1(\Omega)$, $k_0 \geq 0$ a.e. in Ω . Then there exists a pair (u, k) such that:

$$\left. \begin{aligned} \min\left\{0, \operatorname{ess\,inf}_\Omega u_0\right\} \leq u \leq \max\left\{0, \operatorname{ess\,sup}_\Omega u_0\right\}, \quad k \geq 0 \text{ a.e. in } Q, \\ u \in C_w([0, T]; L^2(\Omega)) \cap L^2(0, T; W_0^{1,2}(\Omega)), \quad u' \in L^2(0, T; W^{-1,4/3}(\Omega)), \end{aligned} \right\} \tag{3}$$

$$k \in L^\infty(0, T; L^1(\Omega)) \cap \left(\bigcap_{1 \leq q < \frac{16}{7}} L^q(Q) \right), \quad \nabla k \in \bigcap_{1 \leq r < \frac{4}{3}} [L^r(Q)]^2, \quad k' \in \bigcap_{8 < s < +\infty} L^1(0, T; W^{-1,s'}(\Omega)), \tag{4}$$

$$\int_Q k^{1/2} |\nabla u|^2 < +\infty, \quad \mu \int_Q \frac{|\nabla k|^2}{(1+k)^{1+\delta}} \leq C_1 \quad \forall \delta \in]0, 1[, \quad \int_Q |\nabla k^{3/2}|^{\bar{s}} \leq C_2 \quad \forall \bar{s} \in \left[1, \frac{8}{7}\right], \tag{5}$$

where $C_1 = C_1(\delta) \rightarrow +\infty$ as $\delta \rightarrow 0$ or $\delta \rightarrow 1$, respectively, and $C_2 = C_2(\bar{s}) \rightarrow +\infty$ as $\bar{s} \rightarrow \frac{8}{7}$,

$$\int_0^T \langle u'(t), v(t) \rangle_{W^{-1,2}, W_0^{1,2}} dt + \int_Q k^{1/2} \nabla u \cdot \nabla v = 0 \quad \forall v \in L^2(0, T; W_0^{1,4}(\Omega)), \tag{6}$$

$$\left. \begin{aligned} \text{for every } s \in]8, +\infty[, \int_0^T \langle k'(t), w(t) \rangle_{W^{-1,s'}, W_0^{1,s}} dt + \int_Q \left(\mu \nabla k + \frac{2}{3} \nabla k^{3/2} \right) \cdot \nabla w \\ = \int_Q (k^{1/2} |\nabla u|^2 - k^{3/2}) w \quad \forall w \in L^\infty(0, T; W_0^{1,s}(\Omega)), \end{aligned} \right\} \tag{7}$$

and $u(0) = u_0$ a.e. in Ω , $k(0) = k_0$ in $(W^{1,s}(\Omega))^*$ for any $s \in]8, +\infty[$. In addition, (u, k) satisfies:

$$\frac{1}{2} \int_\Omega u^2(x, t) z(x) dx + \int_0^t \int_\Omega k^{1/2} (|\nabla u|^2 z + u \nabla u \cdot \nabla z) = \frac{1}{2} \int_\Omega u_0^2 z \quad \forall t \in [0, T], \quad \forall z \in W_0^{1,4}(\Omega), \tag{8}$$

$$2 \int_\Omega k_0^{1/2} + \int_0^t \int_\Omega |\nabla u|^2 \leq 2 \int_\Omega k^{1/2}(x, t) dx + \int_0^t \int_\Omega k \quad \text{for a.e. } t \in [0, T], \tag{9}$$

$$\int_\Omega \left(\frac{1}{2} u^2(x, t) + k(x, t) \right) dx + \int_0^t \int_\Omega k^{3/2} \leq \int_\Omega \left(\frac{1}{2} u_0^2 + k_0 \right) dx \quad \text{for a.e. } t \in [0, T]. \tag{10}$$

Remarks.

- The derivatives u' in (3) and k' in (4) have to be understood in the sense of distributions from $]0, T[$ into the vector spaces $W^{-1,4/3}(\Omega)$ and $(W^{1,s}(\Omega))^*$, respectively (cf. [3, Appendix], [6]). We notice that (6) represents a weak formulation of the u -equation in (1) with boundary condition $u = 0$ on $\partial\Omega \times]0, T[$ (cf. [8] for a different weak formulation of the steady case of (1), (2) with boundary conditions $u = k = 0$ on $\partial\Omega$). Next, for the weak formulation of the k -equation in (1) with boundary condition $\frac{\partial k}{\partial n} = 0$ on $\partial\Omega \times]0, T[$, it is natural to use test functions that are not subjected to boundary conditions. In the present paper, however, the use of the test function $w \in L^\infty(0, T; W_0^{1,s}(\Omega))$ in (7) is dictated by the local energy equality (8) (see part 4 of the sketch of proof).
- Let be (u, k) as in the theorem. Then there exists $0 < t_0 \leq T$ such that $\|u_0\|_{L^2(\Omega)} \leq \frac{c_0}{t_0^2} \int_0^{t_0} \int_\Omega |\nabla u|^2$ ($c_0 = \text{const} > 0$). We may assume that (9) holds for t_0 . Hence, for any $k_0 \in L^1(\Omega)$,

$$\|u_0\|_{L^2(\Omega)} \leq \frac{c_0}{t_0^2} \max\{2, t_0\} \int_0^{t_0} \int_\Omega k^{1/2} (1 + k^{1/2}).$$

Thus, even in case of $\|k_0\|_{L^1(\Omega)} = 0$, from $\|u_0\|_{L^2(\Omega)} > 0$ it follows that there exists a measurable set $Q^* \subset \Omega \times]0, t_0[$ such that $\text{mes } Q^* > 0$ and $k > 0$ a.e. in Q^* .

- We conjecture that there exists a weak solution (u, k) to (1), (2) for which equality holds in (10) (cf. [13]).

3. Sketch of proof

For $0 \leq \xi < +\infty$ and $0 < \varepsilon < +\infty$, define $[\xi]_\varepsilon := \min\{\frac{1}{\varepsilon}, \xi\}$.

1° *Existence of approximate solutions.* Without loss of generality, we may assume $u_0 \in W_0^{1,2}(\Omega)$ and $k_0 \in W^{1,2}(\Omega)$, $k_0 \geq 0$ a.e. in Ω .

For every $\varepsilon > 0$ there exists a pair $(u_\varepsilon, k_\varepsilon) \in L^4(0, T; W_0^{1,4}(\Omega)) \times L^2(0, T; W^{1,2}(\Omega))$ such that:

$$\begin{aligned} \min\left\{0, \operatorname{ess\,inf}_\Omega u_0\right\} &\leq u_\varepsilon \leq \max\left\{0, \operatorname{ess\,sup}_\Omega u_0\right\} \quad \text{a.e. in } Q, & k_\varepsilon &\geq 0 \quad \text{a.e. in } Q, \\ u_\varepsilon, k_\varepsilon &\in C([0, T]; L^2(\Omega)), & u'_\varepsilon &\in L^2(0, T; W^{-1,2}(\Omega)), & k'_\varepsilon &\in L^2(0, T; (W^{1,2}(\Omega))^*), \\ \langle u'_\varepsilon(t), v \rangle_{W^{-1,2}W_0^{1,2}} &+ \int_\Omega ((\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} + \varepsilon |\nabla u_\varepsilon|^2) \nabla u_\varepsilon \cdot \nabla v = 0 & \text{for a.e. } t \in [0, T], & \forall v \in W_0^{1,4}(\Omega), \end{aligned} \tag{11}$$

$$\left. \begin{aligned} \langle k'_\varepsilon(t), z \rangle_{(W^{1,2})^*, W^{1,2}} &+ \int_\Omega (\mu + [k_\varepsilon]_\varepsilon^{1/2}) \nabla k_\varepsilon \cdot \nabla z + \int_\Omega k_\varepsilon^{3/2} z \\ &= \int_\Omega (\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} |\nabla u_\varepsilon|^2 z \quad \text{for a.e. } t \in [0, T], & \forall z \in W^{1,2}(\Omega) \end{aligned} \right\} \tag{12}$$

and $u_\varepsilon(0) = u_0, k_\varepsilon(0) = k_0$ a.e. in Ω .

This result can be deduced from [11, Chap. 3, 1.4, Théorème 1.2]. For details of this reasoning we refer to the proof of the proposition in [12, pp. 1889–1897].

2° *A priori estimates on $u_\varepsilon, k_\varepsilon$.* In (11) and (12), we write s in place of t , insert $v = u(\cdot, s)$ into (11), $z = 1$ into (12) and integrate over the interval $[0, t]$ ($0 < t \leq T$). This gives

$$\left. \begin{aligned} \max_{t \in [0, T]} \int_\Omega u_\varepsilon^2(x, t) \, dx &+ \int_Q ((\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} + \varepsilon |\nabla u_\varepsilon|^2) |\nabla u_\varepsilon|^2 \leq \frac{3}{2} \int_\Omega u_0^2, \\ \max_{t \in [0, T]} \int_\Omega k_\varepsilon(x, t) \, dx &+ \int_Q k_\varepsilon^{3/2} \leq \int_\Omega \left(\frac{3}{2} u_0^2 + k_0\right), \end{aligned} \right\} \tag{13}$$

$$\int_\Omega \left(\frac{1}{2} u_\varepsilon^2(x, t) + k_\varepsilon(x, t)\right) \, dx + \int_0^t \int_\Omega k_\varepsilon^{3/2} \leq \int_\Omega \left(\frac{1}{2} u_0^2 + k_0\right) \quad \text{for a.e. } t \in [0, T]. \tag{14}$$

We take $z = \frac{1}{(\varepsilon + [k_\varepsilon(\cdot, s)]_\varepsilon)^{1/2}}$ in (12) and obtain, for a.e. $t \in [0, T]$

$$\int_\Omega \left(\int_0^{k_0(x)} \frac{d\sigma}{(\varepsilon + [\sigma]_\varepsilon)^{1/2}}\right) \, dx + \int_0^t \int_\Omega |\nabla u_\varepsilon|^2 \leq 2 \int_\Omega k_\varepsilon^{1/2}(x, t) \, dx + \int_0^t \int_\Omega k_\varepsilon (1 + \varepsilon^{1/2} (\varepsilon + k_\varepsilon)^{1/2}). \tag{15}$$

Next, given $0 < \delta < 1$, we insert $z = 1 - \frac{1}{(1 + k_\varepsilon(\cdot, s))^\delta}$ into (12). An elementary but lengthy calculation gives:

$$\mu \int_Q \frac{|\nabla k_\varepsilon|^2}{(1 + k_\varepsilon)^{1+\delta}} \leq c_1, \quad \int_Q (k_\varepsilon^{3r/2} + |\nabla k_\varepsilon|^r) \leq c_2 \quad \forall r \in \left[1, \frac{4}{3}\right], \tag{16}$$

where $c_1 = c_1(\delta) \rightarrow +\infty$ as $\delta \rightarrow 0$ or $\delta \rightarrow 1$, respectively, and $c_2 = c_2(r) \rightarrow +\infty$ as $r \rightarrow \frac{4}{3}$.

We derive an integral estimate on $[k_\varepsilon]_\varepsilon^{1/2} \nabla k_\varepsilon$. To this end, define $\Phi_\varepsilon(\xi) := \int_0^\xi [\sigma]_\varepsilon^{1/2} \, d\sigma$, $0 \leq \xi < +\infty$. Given $0 < \gamma < 1$, we test (12) by $z = 1 - \frac{1}{[1 + \Phi_\varepsilon(k_\varepsilon(\cdot, s))]^\gamma}$ (cf. [7]). Analogously as above, we obtain:

$$\int_Q |[k_\varepsilon]_\varepsilon^{1/2} \nabla k_\varepsilon|^{\tilde{s}} \leq c_3 \quad \forall \tilde{s} \in \left[1, \frac{8}{7}\right], \quad \int_Q k_\varepsilon^q \leq c_4 \quad \forall q \in \left[1, \frac{16}{7}\right], \tag{17}$$

where $c_3 = c_3(\tilde{s}) \rightarrow +\infty$ as $\tilde{s} \rightarrow \frac{8}{7}$, and $c_4 = c_4(q) \rightarrow +\infty$ as $q \rightarrow \frac{16}{7}$ (notice that the estimate on k_ε^q follows by combining (13), (16) with the estimate on $[k_\varepsilon]_\varepsilon^{1/2} \nabla k_\varepsilon$ and an elementary interpolation property of the spaces $L^\rho(0, T; L^\sigma(\Omega))$).

3° *A priori estimates on $u'_\varepsilon, k'_\varepsilon$.* We have, for all $0 < \varepsilon \leq 1$,

$$\|u'_\varepsilon\|_{L^{4/3}(0, T; W^{-1,4/3})} \leq c_5, \quad \|k'_\varepsilon\|_{L^1(0, T; W^{-1, s'})} \leq c_6 \quad \forall s \in]8, +\infty[, \tag{18}$$

where $c_6 = c_6(s) \rightarrow +\infty$ as $s \rightarrow 8$. Indeed, the estimate on u'_ε can be easily deduced from (11) and (13), whereas the estimate on k'_ε follows from (12), (13), (16) and (17). We notice that in this reasoning the derivatives u'_ε resp. k'_ε are understood in the sense of distributions from $]0, T[$ into $W^{-1,4/3}(\Omega)$ resp. $W^{-1,s'}(\Omega)$.

4° *Passage to the limit $\varepsilon \rightarrow 0$.* First, we notice that (16) and the estimate on k'_ε in (18) imply the existence of a subsequence of (k_ε) (not relabeled) such that:

$$k_\varepsilon \rightarrow k \quad \text{strongly in } L^r(0, T; L^2(\Omega)) \quad \left(1 < r < \frac{4}{3}\right) \text{ as } \varepsilon \rightarrow 0. \tag{19}$$

Indeed, we have $W^{1,r}(\Omega) \subset L^2(\Omega)$ compactly. Identifying $L^2(\Omega)$ with its dual $(L^2(\Omega))^*$, it follows that $L^2(\Omega) \subset W^{-1,s'}(\Omega)$ ($8 < s < +\infty$) continuously. The compactness result [19, Corollary 4] may therefore be applied to $X = W^{1,r}(\Omega)$, $B = L^2(\Omega)$, $Y = W^{-1,s'}(\Omega)$ and the family of mappings $\{k_\varepsilon; 0 < \varepsilon \leq 1\}$. Whence (19).

From (13), (15), (16), (17) and the estimate on u'_ε in (18) we obtain (again by passing to subsequences if necessary):

$$\left. \begin{aligned} u_\varepsilon &\rightarrow u \quad \text{weakly in } L^2(0, T; W_0^{1,2}(\Omega)), \quad \text{weakly}^* \text{ in } L^\infty(0, T; L^2(\Omega)), \\ u'_\varepsilon &\rightarrow u' \quad \text{weakly in } L^{4/3}(0, T; W^{-1,4/3}(\Omega)), \\ u_\varepsilon &\rightarrow u \quad \text{strongly in } L^2(0, T; L^2(\Omega)) \text{ (cf. [11, Chap. 1, 5.2], [19, Corollary 4])}, \end{aligned} \right\} \tag{20}$$

$$\left. \begin{aligned} k_\varepsilon &\rightarrow k \quad \text{weakly in } L^q(Q) \quad \left(1 < q < \frac{16}{7}\right), \quad \text{weakly in } L^r(0, T; W^{1,r}(\Omega)) \quad \left(1 < r < \frac{4}{3}\right), \\ (\varepsilon + [k_\varepsilon]_\varepsilon)^{1/4} \nabla u_\varepsilon &\rightarrow k^{1/4} \nabla u \quad \text{weakly in } [L^2(Q)]^2, \\ \frac{\nabla k_\varepsilon}{(1+k_\varepsilon)^{(1+\delta)/2}} &\rightarrow \frac{\nabla k}{(1+k)^{(1+\delta)/2}} \quad \text{weakly in } [L^2(Q)]^2 \text{ for any } 0 < \delta < 1, \\ [k_\varepsilon]_\varepsilon^{1/2} \nabla k_\varepsilon &\rightarrow \frac{2}{3} \nabla k^{3/2} \quad \text{weakly in } [L^{\tilde{s}}(Q)]^2 \quad \left(1 < \tilde{s} < \frac{8}{7}\right) \end{aligned} \right\} \tag{21}$$

as $\varepsilon \rightarrow 0$. Then the properties of u and k stated in (3), are readily seen. The passage to the limit $\varepsilon \rightarrow 0$ in (14) and (15) is easily carried out by using standard arguments from integration theory.

Let $v \in L^4(0, T; W_0^{1,4}(\Omega))$. We insert $v = v(\cdot, t)$ into (11), integrate over $[0, T]$ and carry out the passage to the limit $\varepsilon \rightarrow 0$. With the help of (20) and (21), we find (6). Since $k \in L^\infty(0, T; L^1(\Omega))$, we have indeed $u' \in L^2(0, T; W^{-1,4/3}(\Omega))$. By a routine argument, $u(0) = u_0$ a.e. in Ω .

The basic problem of the passage to the limit $\varepsilon \rightarrow 0$ in (12) is embodied in the term $(\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} |\nabla u_\varepsilon|^2$. To obtain its L^1 -convergence when $\varepsilon \rightarrow 0$, we write (6) in the form:

$$\int_0^T \langle u'(t), v(t) \rangle_{W^{-1,4/3}, W_0^{1,4}} dt + \int_Q (a+b) \nabla u \cdot \nabla v = 0 \quad \forall v \in L^4(0, T; W_0^{1,4}(\Omega)), \tag{22}$$

where $a := k^{1/2} - (1+k)^{1/2}$, $b := (1+k)^{1/2}$ a.e. in Q . Observing that $\frac{\nabla k}{(1+k)^{3/4}} \in [L^2(Q)]^2$ ($\delta = \frac{1}{2}$ in (5)), we obtain

$$k^{1/2} = a + b, \quad -1 \leq a \leq 0 \text{ a.e. in } Q, \quad b^2 \in L^\infty(0, T; L^1(\Omega)), \quad \nabla b^{1/2} \in [L^2(Q)]^2.$$

Then from (22) it follows that:

$$\frac{1}{2} \int_\Omega u^2(x, t) z(x) dx + \int_0^t \int_\Omega (a+b) (|\nabla u|^2 z + u \nabla u \cdot \nabla z) = \frac{1}{2} \int_\Omega u_0^2 z \quad \text{for a.e. } t \in [0, T], \quad \forall z \in W_0^{1,4}(\Omega), \tag{23}$$

i.e. (8) holds. We remark that the representation $k^{1/2} = (k^{1/2} - (1+k)^{1/2}) + (1+k)^{1/2}$ has been already used in [14]. We notice that the local energy equality (23) holds if a and b in (22) are measurable functions in Q such that

$$|a| \leq C = \text{const}, \quad b \geq 0 \text{ a.e. in } Q, \quad b^2 \in L^\infty(0, T; L^1(\Omega)), \quad \nabla b^{1/2} \in [L^2(Q)]^n, \quad \int_Q b |\nabla u|^2 < +\infty$$

($n = 2$ or $n = 3$). The proof of this result will be the object of a forthcoming paper.

Let $z \in W_0^{1,4}(\Omega)$, $z \geq 0$ a.e. in Ω . We insert $v = u_\varepsilon(\cdot, t)z$ into (11). With the help of (20) and (21) we obtain:

$$\begin{aligned} \limsup_{\varepsilon \rightarrow 0} \int_Q (\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} |\nabla u_\varepsilon|^2 z &\leq -\frac{1}{2} \int_\Omega u^2(x, T) z(x) dx + \frac{1}{2} \int_\Omega u_0^2 z - \int_Q k^{1/2} u \nabla u \cdot \nabla z \stackrel{(8)}{=} \int_Q k^{1/2} |\nabla u|^2 z \\ &\leq \liminf_{\varepsilon \rightarrow 0} \int_Q (\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} |\nabla u_\varepsilon|^2 z. \end{aligned}$$

Hence, by routine arguments,

$$\lim_{\varepsilon \rightarrow 0} \int_Q (\varepsilon + [k_\varepsilon]_\varepsilon)^{1/2} |\nabla u_\varepsilon|^2 z \alpha = \int_Q k^{1/2} |\nabla u|^2 z \alpha \quad \forall z \in W_0^{1,4}(\Omega), \forall \alpha \in L^\infty(Q). \quad (24)$$

We now insert $z \in W_0^{1,s}(\Omega)$ ($8 < s < +\infty$) into (12), multiply by $\alpha \in C^1([0, T])$, $\alpha(T) = 0$, integrate over $[0, T]$, integrate by parts the term involving k'_ε and then let $\varepsilon \rightarrow 0$. Observing (24) we find:

$$-\int_Q k z \alpha' + \int_Q \left(\mu \nabla k + \frac{2}{3} \nabla k^{3/2} \right) \cdot \nabla z \alpha + \int_Q k^{3/2} z \alpha = \int_\Omega k_0 z \alpha(0) + \int_Q k^{1/2} |\nabla u|^2 z \alpha. \quad (25)$$

To establish the existence of $k' \in L^1(0, T; W^{-1,s'}(\Omega))$, we define $F :]0, T[\rightarrow W^{-1,s'}(\Omega)$ ($8 < s < +\infty$) by

$$\langle F(t), z \rangle_{W^{-1,s'}, W_0^{1,s}} := - \int_\Omega \left(\mu \nabla k(t) + \frac{2}{3} \nabla k^{3/2}(t) \right) \cdot \nabla z + \int_\Omega (-k^{3/2}(t) + k^{1/2}(t) |\nabla u(t)|^2) z, \quad z \in W_0^{1,s}(\Omega).$$

By a well-known theorem of Pettis, the mapping F is measurable. It is readily seen that $F \in L^1(0, T; W^{-1,s'}(\Omega))$. Hence, for any $\alpha \in C_c^1(]0, T[)$, (25) can be equivalently written in the form:

$$\left\langle - \int_0^T k(t) \alpha'(t) dt, z \right\rangle_{W^{-1,s'}, W_0^{1,s}} = \left\langle \int_0^T F(t) \alpha(t) dt, z \right\rangle_{W^{-1,s'}, W_0^{1,s}}, \quad z \in W_0^{1,s}(\Omega).$$

From [3, Appendix, Proposition A.6], we conclude that there exists $k' \in L^1(0, T; W^{-1,s'}(\Omega))$. Thus,

$$\langle k'(t), z \rangle_{W^{-1,s'}, W_0^{1,s}} = \langle F(t), z \rangle_{W^{-1,s'}, W_0^{1,s}} \quad \text{for a.e. } t \in [0, T], \quad \forall z \in W_0^{1,s}(\Omega), \quad (26)$$

where the Lebesgue null set of those $t \in [0, T]$ for which (26) fails, does not depend on z .

To finish the sketch of proof, let $w \in L^\infty(0, T; W_0^{1,s}(\Omega))$ ($8 < s < +\infty$). Inserting $z = w(\cdot, t)$ into (26) and integrating over $[0, T]$, we obtain (7). Finally, we multiply (26) by $\alpha \in C^1([0, T])$, $\alpha(T) = 0$ and $\alpha(0) = 1$, integrate over $[0, T]$ and integrate by parts the term involving k' . Comparing the result with (25) we arrive at:

$$\langle k(0), z \rangle_{W^{-1,s'}, W_0^{1,s}} = \int_\Omega k_0 z \quad \forall z \in W_0^{1,s}(\Omega).$$

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