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## The essential spectrum of $N$ -body systems with asymptotically homogeneous order-zero interactions



*Le spectre essentiel des systèmes à  $N$ -corps avec interactions asymptotiquement homogènes d'ordre zéro*

Vladimir Georgescu<sup>a</sup>, Victor Nistor<sup>b,c</sup>

<sup>a</sup> Département de mathématiques, Université de Cergy-Pontoise, 95000 Cergy-Pontoise, France

<sup>b</sup> Université de Lorraine, UFR MIM, île du Saulcy, CS 50128, 57045 Metz cedex 01, France

<sup>c</sup> Pennsylvania State University, Mathematics Department, University Park, PA 16802, USA

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### ABSTRACT

We study the essential spectrum of  $N$ -body Hamiltonians with potentials defined by functions that have radial limits at infinity. The results extend the HVZ theorem which describes the essential spectrum of usual  $N$ -body Hamiltonians. The proof is based on a careful study of algebras generated by potentials and their cross-products. We also describe the topology on the spectrum of these algebras, thus extending to our setting a result of A. Mageira. Our techniques apply to more general classes of potentials associated with translation invariant algebras of bounded uniformly continuous functions on a finite-dimensional vector space  $X$ .

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### RÉSUMÉ

Nous étudions le spectre essentiel des hamiltoniens des systèmes à  $N$  corps avec potentiels définis par des fonctions qui ont des limites radiales à l'infini. Les résultats étendent le théorème HVZ, qui décrit le spectre essentiel des hamiltoniens des systèmes à  $N$  corps usuels. La preuve de notre théorème principal est basée sur une étude approfondie des algèbres générées par les potentiels avec des limites radiales à l'infini et de leurs produits croisés. Nous décrivons également la topologie sur le spectre de ces algèbres, étendant ainsi à notre cas un résultat de A. Mageira. Nos techniques s'appliquent à des classes plus générales de potentiels associées à des algèbres de fonctions uniformément continues bornées invariantes par translation.

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E-mail addresses: [vladimir.georgescu@math.cnrs.fr](mailto:vladimir.georgescu@math.cnrs.fr) (V. Georgescu), [victor.nistor@univ-lorraine.fr](mailto:victor.nistor@univ-lorraine.fr) (V. Nistor).

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Soit  $X$  un espace vectoriel réel de dimension finie et  $\mathbb{S}_X := (X \setminus \{0\})/\mathbb{R}_+$  la sphère à l'infini de  $X$ . On dit qu'une fonction  $v : X \rightarrow \mathbb{C}$  a des limites radiales uniformes à l'infini si  $v(\hat{a}) := \lim_{r \rightarrow \infty} v(ra)$  existe uniformément en  $\hat{a} \in \mathbb{S}_X$ . Soit  $V_Y : X/Y \rightarrow \mathbb{R}$  une fonction borélienne ayant des limites radiales uniformes à l'infini, pour chaque sous-espace linéaire  $Y \subset X$ . Nous supposons  $V_Y = 0$ , sauf pour un nombre fini d'espaces  $Y$ . On note  $\pi_Y$  la surjection canonique  $X \rightarrow X/Y$  et on garde la notation  $V_Y$  pour la fonction  $V_Y \circ \pi_Y$ . Dans cet article, nous utilisons des produits croisés de  $C^*$ -algèbres pour étudier le spectre essentiel des opérateurs de la forme  $H := h(P) + \sum_Y V_Y$ . Ici,  $h : X^* \rightarrow [0, \infty[$  est une fonction continue et propre et  $P$  est l'observable moment (formellement  $P = -i\nabla$ ). Soit  $v : X \rightarrow \mathbb{C}$  et  $a \neq 0$  tel que  $\lim_{r \rightarrow \infty} v(ra + x)$  existe pour tout  $x \in X$ . Cette limite est une fonction de  $x \in X$ , qui ne dépend que de la classe  $\alpha = \hat{a}$  de  $a$  dans  $\mathbb{S}_X$ , que nous noterons  $\tau_\alpha(v)$ . Par exemple, si  $v = V_Y$  avec  $V_Y$  comme plus haut, alors  $\tau_\alpha(V_Y) = V_Y$  si  $\alpha \subset Y$  et  $\tau(V_Y) = V_Y(\pi_Y(\alpha)) \in \mathbb{R}$  si  $\alpha \not\subset Y$ , où  $\pi(\alpha) \in \mathbb{S}_{X/Y}$  est naturellement défini. Plus tard (voir le Théorème 3.1), nous définirons  $\tau_\alpha(S)$  pour une classe générale d'opérateurs  $S$ , en particulier pour  $S = H$ , ce qui donnera une nouvelle signification à la définition de  $\tau_\alpha$ .

Nous énonçons maintenant un cas particulier de notre résultat principal : si les fonctions  $V_Y : X/Y \rightarrow \mathbb{R}$  sont bornées et ont des limites radiales uniformes à l'infini et si, pour chaque  $\alpha \in \mathbb{S}_X$ , on pose  $\tau_\alpha(H) = h(P) + \sum_{Y \supset \alpha} V_Y + \sum_{Y \not\supset \alpha} V_Y(\pi_Y(\alpha))$ , alors le spectre essentiel de  $H$  est  $\sigma_{\text{ess}}(H) = \overline{\bigcup_{\alpha \in \mathbb{S}_X} \sigma(\tau_\alpha(H))}$ .

**1. Introduction**

Let  $X$  be a finite dimensional real vector space and, for each linear subspace  $Y$  of  $X$ , let  $V_Y : X/Y \rightarrow \mathbb{R}$  be a Borel function. We assume  $V_Y = 0$ , except for a finite number of  $Y$ . We keep the notation  $V_Y$  for the function on  $X$  given by  $V_Y \circ \pi_Y$ , where  $\pi_Y : X \rightarrow X/Y$  is the natural map. In this paper, we use crossed-products of  $C^*$ -algebras to study the essential spectrum of Hamiltonians of the form  $H := h(P) + \sum_Y V_Y$ , under certain conditions on the potentials  $V_Y$ . Here  $h : X^* \rightarrow [0, \infty[$  is a continuous, proper function and  $P$  is the momentum observable (recall that *proper* means that  $\lim_{|k| \rightarrow \infty} h(k) = +\infty$ ). More precisely,  $h(P) = \mathcal{F}^{-1}M_h\mathcal{F}$ , where  $\mathcal{F} : L^2(X) \rightarrow L^2(X^*)$  is the Fourier transform and  $M_h$  is the operator of multiplication by  $h$  (formally  $P = -i\nabla$ ). Operators of this form cover the Hamiltonians that are currently the most interesting (from a physical point of view) Hamiltonians of  $N$ -body systems. Here are two main examples. In a generalized version of the non-relativistic case, a scalar product is given on  $X$ , so, by taking  $h(\xi) = |\xi|^2$ , we get  $h(P) = \Delta$ , the positive Laplacian. In the simplest relativistic case,  $X = (\mathbb{R}^3)^N$  and, writing the momentum  $P = (P_1, \dots, P_N)$ , we have  $h(P) = \sum_{k=1}^N (P_k^2 + m_k^2)^{1/2}$  for some real numbers  $m_k$ . We refer to [3] for a thorough introduction to the subject and study of these systems.

Let  $\mathbb{S}_X := (X \setminus \{0\})/\mathbb{R}_+$  be the sphere at infinity of  $X$ , i.e. the set of all half-lines  $\hat{a} := \mathbb{R}_+a$ . A function  $v : X \rightarrow \mathbb{C}$  is said to have uniform radial limits at infinity if  $v(\hat{a}) := \lim_{r \rightarrow \infty} v(ra)$  exists uniformly in  $\hat{a} \in \mathbb{S}_X$ . From the definition of the topology on  $\mathbb{S}_X$ , we get  $v(\hat{a}) = \lim_{r \rightarrow \infty} v(ra + x)$ ,  $\forall x \in X$ . More generally, we are interested in functions  $v$  such that  $\lim_{r \rightarrow \infty} v(ra + x)$  exists for all  $x \in X$ . The limit may depend on  $x$  and defines a function  $\tau_\alpha(v) : X \rightarrow \mathbb{C}$ , where  $\alpha := \hat{a}$ . For example, let us consider  $v = V_Y$ . Then  $\tau_\alpha(V_Y)(x) = \lim_{r \rightarrow \infty} V_Y(r\pi_Y(a) + \pi_Y(x))$ . In particular,  $\tau_\alpha(V_Y) = V_Y$  whenever  $\alpha := \hat{a} \subset Y$  (i.e.  $a \in Y$ ). On the other hand, if  $V_Y : X/Y \rightarrow \mathbb{C}$  has uniform radial limits at infinity and  $\hat{a} = \alpha \not\subset Y$ , then  $\pi_Y(\alpha) := \mathbb{R}_+\pi_Y(a) \in \mathbb{S}_{X/Y}$  is well defined and  $\tau_\alpha(V_Y)(x) = V_Y(\pi_Y(\alpha))$  turns out to be a constant.

**Theorem 1.1.** Let  $V_Y : X/Y \rightarrow \mathbb{R}$  be bounded with uniform radial limits at infinity. If  $\alpha \in \mathbb{S}_X$  set

$$\tau_\alpha(H) = h(P) + \sum_Y \tau_\alpha(V_Y) = h(P) + \sum_{Y \supset \alpha} V_Y + \sum_{Y \not\supset \alpha} V_Y(\pi_Y(\alpha)). \tag{1}$$

Then  $\sigma(\tau_\alpha(H)) = [c_\alpha, \infty)$  for some real  $c_\alpha$  and  $\sigma_{\text{ess}}(H) = \overline{\bigcup_{\alpha \in \mathbb{S}_X} \sigma(\tau_\alpha(H))} = [\inf_\alpha c_\alpha, \infty)$ .

Here  $\overline{\bigcup_\alpha}$  is the closure of the union. Sometimes the union is already closed [11]. Unbounded potentials are considered in Theorem 3.2. If all the radial limits are zero, which is the case of the usual  $N$ -body potentials, then the terms corresponding to  $\alpha \not\subset Y$  are dropped in Eq. (1). Consequently, if  $h(P) = \Delta$  is the non-relativistic kinetic energy, we recover the Hunziker, van Winter, Zhislin (HVZ) theorem. Descriptions of the essential spectrum of various classes of self-adjoint operators in terms of limits at infinity of translates of the operators have already been obtained before, see for example [7,12,8] (in historical order). Our approach is based on the "localization at infinity" technique developed in [5,6] in the context of crossed-product  $C^*$ -algebras.

Let us sketch the main idea of this approach. Let  $\mathcal{C}_b^u(X)$  be the algebra of bounded uniformly continuous functions,  $\mathcal{C}_0(X)$  the ideal of functions vanishing at infinity, and  $\mathcal{C}(X^+) = \mathbb{C} + \mathcal{C}_0(X)$ . Consider a translation invariant  $C^*$ -subalgebra  $\mathcal{A} \subset \mathcal{C}_b^u(X)$  containing  $\mathcal{C}(X^+)$  and let  $\hat{\mathcal{A}}$  be its character space. Note that  $\hat{\mathcal{A}}$  is a compact topological space that naturally contains  $X$  as an open dense subset and  $\delta(\mathcal{A}) = \hat{\mathcal{A}} \setminus X$  can be thought of as a boundary of  $X$  at infinity. Recall that a self-adjoint operator  $H$  on a Hilbert space  $\mathcal{H}$  is said to be affiliated to a  $C^*$ -algebra  $\mathcal{A}$  of operators on  $\mathcal{H}$  if one has  $(H + i)^{-1} \in \mathcal{A}$ . Then with each self-adjoint operator  $H$  affiliated to the crossed product  $\mathcal{A} \rtimes X$  of  $\mathcal{A}$  by the action of  $X$ , one may associate a family of self-adjoint operators  $H_\chi$  affiliated to  $\mathcal{A} \rtimes X$  indexed by the characters  $\chi \in \delta(\mathcal{A})$ . This family

completely describes the image of  $H$  (in the sense of affiliated operators) in the quotient of  $\mathcal{A} \rtimes X$  with respect to the ideal of compact operators. In particular, the essential spectrum of  $H$  is the closure of the union of the spectra of the operators  $H_x$ . These operators are the localizations at infinity of  $H$ , more precisely,  $H_x$  is the localization of  $H$  at point  $x$ .

Once chosen the algebra  $\mathcal{A}$ , in order to use these techniques of this paper, we also need: (1) to have a good description of the character space of the Abelian algebra  $\mathcal{A}$ , and (2) to have an efficient criterion for affiliation to the crossed product  $\mathcal{A} \rtimes X$ . We also indicate how to achieve (1) and (2).

### 2. Crossed products and localizations at infinity

For  $p \in X^*$  and  $q \in X$  let  $(S_p f)(x) = e^{i(x|p)} f(x)$  and  $(T_q f)(q) = f(x + q)$ . We say that  $A \in \mathcal{B}(L^2(X))$  has the position-momentum limit property if  $\lim_{p \rightarrow 0} \|[S_p, A]\| = 0$  and  $\lim_{q \rightarrow 0} \|(T_q - 1)A^{(*)}\| = 0$  (where  $A^{(*)}$  means that the relation holds for  $A$  and  $A^*$ ). The set of such operators is a  $C^*$ -algebra equal to the crossed product  $\mathcal{C}_b^u(X) \rtimes X$  [5]. Note that if  $\mathcal{A}$  is a translation invariant  $C^*$ -subalgebra of  $\mathcal{C}_b^u(X)$ , then there is a natural realization of the abstract crossed product  $\mathcal{A} \rtimes X$  as a  $C^*$ -algebra of operators on  $L^2(X)$  and we do not distinguish the two algebras. We describe this concrete version of  $\mathcal{A} \rtimes X$  below.

If  $\varphi : X \rightarrow \mathbb{C}$  and  $\psi : X^* \rightarrow \mathbb{C}$  are measurable functions, then  $\varphi(Q)$  and  $\psi(P)$  are the operators on  $L^2(X)$  defined as follows:  $\varphi(Q) := M_\varphi$  acts as multiplication by  $\varphi$  and  $\psi(P) = \mathcal{F}^{-1}M_\psi\mathcal{F}$ , where  $\mathcal{F}$  is the Fourier transform  $L^2(X) \rightarrow L^2(X^*)$  and  $M_\psi$  is the operator of multiplication by  $\psi$ . Then  $\psi \mapsto \psi(P)$  is an isomorphism between  $\mathcal{C}_0(X^*)$  and the group  $C^*$ -algebra  $C^*(X)$  and  $\mathcal{A} \rtimes X$  is the norm closed linear space of bounded operators on  $L^2(X)$  generated by the products  $\varphi(Q)\psi(P)$  with  $\varphi \in \mathcal{A}$  and  $\psi \in \mathcal{C}_0(X^*)$ . In particular,  $\mathcal{A} \rtimes X$  consists of operators that have the position-momentum limit property.

We recall the definition of localizations at infinity for such operators. Assume  $\mathcal{C}(X^+) \subset \mathcal{A}$ , so  $\hat{\mathcal{A}}$  is a compactification of  $X$  and  $\delta(\mathcal{A}) = \hat{\mathcal{A}} \setminus X$  is a compact. If  $q \in X$  and  $\varphi$  is a function on  $X$  then  $T_q\varphi$  is its translation by  $q$ . We extend this definition of  $T_q$  by replacing  $q \in X$  with  $x \in \hat{\mathcal{A}}$ :  $(T_x\varphi)(x) = x(T_x\varphi)$ , for any  $\varphi \in \mathcal{A}$ ,  $x \in \hat{\mathcal{A}}$ , and,  $x \in X$ . It is clear that  $T_x\varphi \in \mathcal{C}_b^u(X)$  and that its definition coincides with the previous one if  $x = q \in X$ . Moreover, we also get “translations at infinity” of  $\varphi \in \mathcal{A}$  by elements  $x \in \delta(\mathcal{A})$ ; note however that such a translation does not belong to  $\mathcal{A}$  in general. Also, the function  $x \mapsto T_x\varphi \in \mathcal{C}_b^u(X)$  defined on  $\hat{\mathcal{A}}$  is continuous if  $\mathcal{C}_b^u(X)$  is equipped with the topology of local uniform convergence, hence  $T_x\varphi = \lim_{q \rightarrow x} T_q\varphi$  in this topology for any  $x \in \delta(\mathcal{A})$ . If  $A$  is an operator on  $L^2(X)$ , let  $\tau_q(A) = T_qAT_q^*$  be its translation by  $q \in X$ . Clearly  $\tau_q(\varphi(Q)) = (T_q\varphi)(Q)$ . If  $A \in \mathcal{A} \rtimes X$ , then we may also consider “translations at infinity” by elements of the boundary  $\delta(\mathcal{A})$  of  $X$  in  $\hat{\mathcal{A}}$  and we get a useful characterization of the compact operators. The following are mainly consequences of [6, Theorem 1.15]:

(i) For each  $x \in \hat{\mathcal{A}}$ , there is a unique morphism  $\tau_x : \mathcal{A} \rtimes X \rightarrow \mathcal{C}_b^u(X) \rtimes X$  such that  $\tau_x(\varphi(Q)\psi(P)) = (T_x\varphi)(Q)\psi(P)$ ,  $\varphi \in \mathcal{C}_b^u(X)$ ,  $\psi \in \mathcal{C}_0(X)$ . (ii)  $\bigcap_{x \in \delta(\mathcal{A})} \ker \tau_x = \mathcal{C}_0(X) \rtimes X \equiv \mathcal{K}(X)$  = ideal of compact operators on  $L^2(X)$ . (iii) If  $H$  is a self-adjoint operator on  $L^2(X)$  affiliated to  $\mathcal{A}$  then for each  $x \in \delta(\mathcal{A})$  the limit  $\tau_x(H) := s\text{-}\lim_{q \rightarrow x} T_qHT_q^*$  exists and  $\sigma_{\text{ess}}(H) = \bigcup_{x \in \delta(\mathcal{A})} \sigma(\tau_x(H))$ .

To be precise, the last strong limit means:  $\tau_x(H)$  is a self-adjoint operator (not necessarily densely defined) on  $L^2(X)$  and  $s\text{-}\lim_{q \rightarrow x} \theta(T_qHT_q^*) = \theta(\tau_x(H))$  for all  $\theta \in \mathcal{C}_0(\mathbb{R})$ . It is clear that in the last three statements above one may replace  $\delta(\mathcal{A})$  by a subset  $\pi$  if for each  $A \in \mathcal{A} \rtimes X$  we have:  $\tau_x(A) = 0 \forall x \in \pi \Rightarrow \tau_x(A) = 0 \forall x \in \delta(\mathcal{A})$ . In the case of groupoid (pseudo)differential algebras (that is, when  $\hat{\mathcal{A}}$  is a manifold with corners), the morphisms  $\tau_x$  can be defined using restrictions to fibers, as in [9], and the last three statements above (i)–(iii) remain valid.

### 3. Main results

As a warm-up and in order to introduce some general notation, we treat first the two-body case, where complete results may be obtained by direct arguments. The algebra of interactions in the standard two-body case is  $\mathcal{C}(X^+)$ , and hence the Hamiltonian algebra is

$$\mathcal{C}(X^+) \rtimes X = \mathbb{C} \rtimes X + \mathcal{C}_0(X) \rtimes X = C^*(X) + \mathcal{K}(X) \tag{2}$$

where the sums are direct. Thus  $\mathcal{C}(X^+) \rtimes X / \mathcal{K}(X) = C^*(X)$ , which finishes the theory. Another elementary case, which has been considered as an example in [5], is  $X = \mathbb{R}$  with  $\mathcal{C}(\mathbb{R}^+)$  replaced by the algebra  $\mathcal{C}(\overline{\mathbb{R}})$  of continuous functions that have limits (distinct in general) at  $\pm\infty$ . Then there is no natural direct sum decomposition of  $\mathcal{C}(\overline{\mathbb{R}}) \rtimes \mathbb{R}$  as in (2), but one has, by standard arguments,  $\mathcal{C}(\overline{\mathbb{R}}) \rtimes \mathbb{R} / \mathcal{K}(\mathbb{R}) \simeq C^*(\mathbb{R}) \oplus C^*(\mathbb{R})$ . Our purpose in this section is to extend this equation to arbitrary  $X$ .

Let  $\mathcal{C}(\overline{X})$  be the closure in  $\mathcal{C}_b(X)$  of the subalgebra of functions homogeneous of degree zero outside a compact set. Then  $\mathcal{C}(\overline{X}) = \{u \in \mathcal{C}(X) \mid \lim_{\lambda \rightarrow +\infty} u(\lambda a)$  exists uniformly in  $\hat{a} \in \mathbb{S}_X\}$ , where, we recall,  $\hat{a} := \mathbb{R}_+ a$  and  $\mathbb{S}_X := (X \setminus \{0\}) / \mathbb{R}_+$ , so  $\hat{a} \in \mathbb{S}_X$ . As a set, the character space of  $\mathcal{C}(\overline{X})$  can be identified with the disjoint union  $\overline{X} = X \cup \mathbb{S}_X$ . The topology induced by the character space on  $X$  is the usual one and the intersections with  $X$  of the neighborhoods of some  $\alpha \in \mathbb{S}_X$  are the sets that contain a truncated cone  $C$  such that there is  $a \in \alpha$  such  $\lambda a \in C$  if  $\lambda \geq 1$ . The set of such subsets is a filter  $\tilde{\alpha}$  on  $X$  and, if  $Y$  is a Hausdorff space and  $u : X \rightarrow Y$ , then  $\lim_{\tilde{\alpha}} u = y$  means that  $u^{-1}(V) \in \tilde{\alpha}$  for any neighborhood  $V$  of  $y$ . We shall

write  $\lim_{X \rightarrow \alpha} u(x)$  instead of  $\lim_{\bar{\alpha}} u$ . We have that  $\mathcal{C}(\bar{X})$  is a translation invariant  $C^*$ -subalgebra of  $C_b^u(X)$  and so the crossed product  $\mathcal{C}(\bar{X}) \rtimes X$  is well defined. We have the following explicit description of this algebra.

**Proposition 3.1.** *The algebra  $\mathcal{C}(\bar{X}) \rtimes X$  acting on  $L^2(X)$  consists of bounded operators  $A$  that have the position-momentum limit property and are such that the limit  $\tau_\alpha(A) = s\text{-}\lim_{a \rightarrow \alpha} T_a A T_a^*$  exists for each  $\alpha = \hat{a} \in \mathbb{S}_X$ . If  $A \in \mathcal{C}(\bar{X}) \rtimes X$  and  $\alpha \in \mathbb{S}_X$ , then  $\tau_\alpha(A) \in C^*(X)$  and  $\tau(A) : \alpha \mapsto \tau_\alpha(A)$  is norm continuous. The map  $\tau : \mathcal{C}(\bar{X}) \rtimes X \rightarrow C(\mathbb{S}_X) \otimes C^*(X)$  is a surjective morphism whose kernel is the set of compact operators on  $L^2(X)$ , which gives  $\mathcal{C}(\bar{X}) \rtimes X / \mathcal{K}(X) \cong C(\mathbb{S}_X) \otimes C^*(X)$ . If  $H$  is a self-adjoint operator affiliated to  $\mathcal{C}(\bar{X}) \rtimes X$  then  $\tau_\alpha(H) = s\text{-}\lim_{a \rightarrow \alpha} T_a H T_a^*$  exists for all  $\alpha \in \mathbb{S}_X$  and  $\sigma_{\text{ess}}(H) = \bigcup_\alpha \sigma(\tau_\alpha(H))$ .*

In the next two examples  $H = h(P) + V$  with  $h : X^* \rightarrow [0, \infty[$  continuous and proper. We denote by  $|\cdot|$  a fixed norm on  $X^*$  and by  $\mathcal{H}^s$  we denote the usual Sobolev spaces on  $X$  ( $s \in \mathbb{R}$ ).

**Example 1.** Let  $V$  be a bounded symmetric operator satisfying: (1)  $\lim_{p \rightarrow 0} \|[S_p, V]\| = 0$  and (2) the limit  $\tau_\alpha(V) = s\text{-}\lim_{a \rightarrow \alpha} T_a V T_a^*$  exists for each  $\alpha \in \mathbb{S}_X$ . Then  $H$  is affiliated to  $\mathcal{C}(\bar{X}) \rtimes X$  and  $\tau_\alpha(H) = h(P) + \tau_\alpha(V)$ . Moreover, if  $V$  is a function, then  $\tau_\alpha(V)$  is a number, but in general we have  $\tau_\alpha(V) = v_\alpha(P)$  for some function  $v_\alpha \in C_b^u(X^*)$ .

**Example 2.** Assume that  $h$  is locally Lipschitz and that there exist  $c, s > 0$  such that, for all  $p$  with  $|p| > 1$ ,  $|\nabla h(p)| \leq c(1 + h(p))$  and  $c^{-1}|p|^s \leq (1 + h(p))^{1/2} \leq c|p|^s$ . Let  $V : \mathcal{H}^s \rightarrow \mathcal{H}^{-s}$  such that  $\pm V \leq \mu h(P) + \nu$  for some numbers  $\mu, \nu$  with  $\mu < 1$  and satisfying the next two conditions: (1)  $\lim_{p \rightarrow 0} \|[S_p, V]\|_{\mathcal{H}^s \rightarrow \mathcal{H}^{-s}} = 0$ , (2)  $\forall \alpha \in \mathbb{S}_X$  the limit  $\tau_\alpha(V) = s\text{-}\lim_{a \rightarrow \alpha} T_a V T_a^*$  exists strongly in  $\mathcal{B}(\mathcal{H}^s, \mathcal{H}^{-s})$ . Then  $h(P) + V$  and  $h(P) + \tau_\alpha(V)$  are symmetric operators  $\mathcal{H}^s \rightarrow \mathcal{H}^{-s}$  that induce self-adjoint operators  $H$  and  $\tau_\alpha(H)$  in  $L^2(X)$  affiliated to  $\mathcal{C}(\bar{X}) \rtimes X$  and  $\sigma_{\text{ess}}(H) = \bigcup_\alpha \sigma(\tau_\alpha(H))$ .

We now treat the  $N$ -body case. We first indicate a general way of constructing  $N$ -body Hamiltonians. For each linear subspace  $Y \subset X$ , let  $\mathcal{A}(X/Y) \subset C_b^u(X/Y)$  be a translation invariant  $C^*$ -subalgebra containing  $\mathcal{C}_0(X/Y)$  with  $\mathcal{A}(X/X) = \mathcal{A}(0) = \mathbb{C}$ . We embed  $\mathcal{A}(X/Y) \subset C_b^u(X)$  as usual by identifying  $v$  with  $v \circ \pi_Y$ . Then the  $C^*$ -algebra  $\mathcal{A}$  generated by these algebras is a translation invariant  $C^*$ -subalgebra of  $C_b^u(X)$  containing  $\mathcal{C}(X^+)$  and thus we may consider the crossed product  $\mathcal{A} \rtimes X$  which is equal to the  $C^*$ -algebra generated by the crossed products  $\mathcal{A}(X/Y) \rtimes X$ . The operators affiliated to  $\mathcal{A} \rtimes X$  are  $N$ -body Hamiltonians. The standard  $N$ -body algebra corresponds to the minimal choice  $\mathcal{A}(X/Y) = \mathcal{C}_0(X/Y)$  and has remarkable properties, which makes its study relatively easy (it is graded by the lattice of subspaces of  $X$ ). Our purpose in this paper is to study what could arguably be considered to be the simplest extension of the classical  $N$ -body obtained by choosing  $\mathcal{A}(X/Y) = \mathcal{C}(\bar{X}/\bar{Y})$  for all  $Y$ . The next more general case would correspond to the choice  $\mathcal{A}(X/Y) = \mathcal{V}(X/Y)$  (slowly oscillating functions, i.e. the closure in sup norm of the set of bounded functions of class  $C^1$  with derivatives tending to zero at infinity).

**Definition 3.2.** Let  $\mathcal{E}(X)$  be the  $C^*$ -subalgebra of  $C_b^u(X)$  generated by  $\bigcup_Y \mathcal{C}(\bar{X}/\bar{Y})$ .

Clearly  $\mathcal{E}(X)$  is a translation invariant  $C^*$ -subalgebra of  $C_b^u(X)$  containing  $\mathcal{C}(X^+) := \mathcal{C}_0(X) + \mathbb{C}$ . If  $Y$  is a linear subspace of  $X$  then the  $C^*$ -algebra  $\mathcal{E}(X/Y) \subset C_b^u(X/Y)$  is well defined and naturally embedded in  $\mathcal{E}(X)$ : it is the  $C^*$ -algebra generated by  $\bigcup_{Z \supset Y} \mathcal{C}(\bar{X}/\bar{Z})$ . We have  $\mathbb{C} = \mathcal{E}(0) = \mathcal{E}(X/X) \subset \mathcal{E}(X/Y) \subset \mathcal{E}(X/Z) \subset \mathcal{E}(X)$ . If  $\alpha \in \mathbb{S}_X$ , we shall denote by abuse of notation  $X/\alpha$  be the quotient  $X/[\alpha]$  of  $X$  by the subspace  $[\alpha] := \mathbb{R}\alpha$  generated by  $\alpha$  and let us set  $\pi_\alpha = \pi_{[\alpha]}$ . It is clear that  $\tau_\alpha(u)(x) = \lim_{r \rightarrow +\infty} u(rx + x)$  exists  $\forall u \in \mathcal{E}(X)$  and that the resulting function  $\tau_\alpha(u)$  belongs to  $\mathcal{E}(X)$ . The map  $\tau_\alpha$  is an endomorphism of  $\mathcal{E}(X)$  and a linear projection of  $\mathcal{E}(X)$  onto the  $C^*$ -subalgebra  $\mathcal{E}(X/\alpha)$ .

If  $\alpha \in \mathbb{S}_X$  and  $\beta \in \mathbb{S}_{X/\alpha}$ , then  $\beta$  generates a one-dimensional linear subspace  $[\beta] := \mathbb{R}\beta \subset X/\alpha$ , as above, and hence  $\pi_\alpha^{-1}([\beta])$  is a two-dimensional subspace of  $X$  that we shall denote  $[\alpha, \beta]$ . We shall identify  $(X/\alpha)/\beta$  with  $X/[\alpha, \beta]$ . Then we have two idempotent morphisms  $\tau_\alpha : \mathcal{E}(X) \rightarrow \mathcal{E}(X/\alpha)$  and  $\tau_\beta : \mathcal{E}(X/\alpha) \rightarrow \mathcal{E}(X/[\alpha, \beta])$ . Thus  $\tau_\beta \tau_\alpha : \mathcal{E}(X) \rightarrow \mathcal{E}(X/[\alpha, \beta])$  is an idempotent morphism. This construction extends in an obvious way to families  $\vec{\alpha} = (\alpha_1, \dots, \alpha_n)$  with  $n \leq \dim X$  and  $\alpha_1 \in \mathbb{S}_X, \alpha_2 \in \mathbb{S}_{X/\alpha_1}, \alpha_3 \in \mathbb{S}_{X/[\alpha_1, \alpha_2]}, \dots$  (we allow  $n = 0$  and denote  $A$  the set of all such families). The endomorphism  $\tau_{\vec{\alpha}}$  of  $\mathcal{E}(X)$  is defined by induction:  $\tau_{\vec{\alpha}} = \tau_{\alpha_n} \dots \tau_{\alpha_1}$ . We also define  $[\alpha_1, \alpha_2, \dots, \alpha_n]$  by induction, so this is an  $n$ -dimensional subspace of  $X$  associated with  $(\alpha_1, \alpha_2, \dots, \alpha_n)$  and we denote  $X/\vec{\alpha}$  the quotient of  $X$  with respect to it. Thus  $\tau_{\vec{\alpha}}$  is an endomorphism of  $\mathcal{E}(X)$  and a projection of  $\mathcal{E}(X)$  onto  $\mathcal{E}(X/\vec{\alpha})$ .

**Proposition 3.3.** *If  $\vec{\alpha} \in A$  and  $a \in X/\vec{\alpha}$ , then  $\varkappa(u) = (\tau_{\vec{\alpha}} u)(a)$  defines a character of  $\mathcal{E}(X)$ . Conversely, each character of  $\mathcal{E}(X)$  is of this form.*

**Remark 1.** A natural Abelian  $C^*$ -algebra in the present context is the set  $\mathcal{R}(X)$  of all bounded uniformly continuous functions  $v : X \rightarrow \mathbb{C}$  such that  $\lim_{r \rightarrow \infty} v(ra + x)$  exists locally uniformly in  $x \in X$  for each  $a \in X$ . It would be interesting to find an explicit description of its spectrum.

This description of the spectrum of  $\mathcal{E}(X)$  extends [10]. We now state our main results.

**Theorem 3.1.** Let  $H$  be a self-adjoint operator on  $L^2(X)$  affiliated to  $\mathcal{E}(X) \rtimes X$ . Then for any  $a \in X \setminus \{0\}$  the limit  $s\text{-}\lim_{r \rightarrow +\infty} T_{ra} H T_{ra}^* =: \tau_a(H)$  exists and  $\sigma_{\text{ess}}(H) = \bigcup_{\alpha \in \mathbb{S}_X} \sigma(\tau_\alpha(H))$ .

**Theorem 3.2.** Let  $h$  be as in Example 2 and  $V = \sum V_Y$  with  $V_Y : \mathcal{H}^s \rightarrow \mathcal{H}^{-s}$  symmetric operators such that  $V_Y = 0$  but for a finite number of  $Y$  and satisfying: (i)  $\exists \mu_Y, \nu_Y \geq 0$  with  $\sum_Y \mu_Y < 1$  such that  $\pm V_Y \leq \mu_Y h(P) + \nu_Y$ , (ii)  $\lim_{p \rightarrow 0} \|[S_p, V_Y]\|_{\mathcal{H}^s \rightarrow \mathcal{H}^{-s}} = 0$ , (iii)  $[T_Y, V_Y] = 0$  for all  $Y \in Y$ , (iv)  $\tau_\alpha(V_Y) := s\text{-}\lim_{a \rightarrow \alpha} T_a V_Y T_a^*$  exists in  $B(\mathcal{H}^s, \mathcal{H}^{-s})$  for all  $\alpha \in \mathbb{S}_{X/Y}$ . Then the maps  $\mathcal{H}^s \rightarrow \mathcal{H}^{-s}$  given by  $h(P) + V$  and  $h(P) + \sum_Y \tau_\alpha(V_Y)$  induce self-adjoint operators  $H$  and  $\tau_\alpha(H)$  in  $L^2(X)$  affiliated to  $\mathcal{E}(X)$  and  $\sigma_{\text{ess}}(H) = \bigcup_{\alpha \in \mathbb{S}_X} \sigma(\tau_\alpha(H))$ .

**Example 3.** Using [2], we also obtain that Theorem 3.2 covers uniformly elliptic operators of the form  $H = \sum_{|k|, |\ell| \leq s} p^k a_{k\ell} p^\ell$ , where  $a_{k\ell}$  are finite sums of functions of the form  $\nu_Y \circ \pi_Y$  with  $\nu_Y : X/Y \rightarrow \mathbb{R}$  bounded measurable such that  $\lim_{z \rightarrow \alpha} \nu_Y(z)$  exists uniformly in  $\alpha \in \mathbb{S}_{X/Y}$ . The fact that we allow  $a_{k\ell}$  to be only bounded measurable for  $|k| = |\ell| = s$  is not trivial.

In addition to the above-mentioned results, we also use general results on cross-product  $C^*$ -algebras, their ideals, and their representations [4,13]. The maximal ideal spectrum of the algebra  $\mathcal{E}(X)$  is of independent interest and can be used to study the regularity properties of the eigenvalues of the  $N$ -body Hamiltonian [1]. Its relation to the constructions of Vasy in [14] will be studied elsewhere.

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