



Analytic geometry

Logarithmic residues along plane curves



Résidus logarithmiques des courbes planes

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ABSTRACT

Let $(D, 0) \subset (\mathbb{C}^2, 0)$ be a plane curve germ defined by a reduced equation f . We prove that a fractional ideal I of D satisfies a symmetry property with its dual, and then apply it to study the behavior of the module of logarithmic residues of D in equisingular deformations.

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R É S U M É

Soit $(D, 0) \subset (\mathbb{C}^2, 0)$ un germe de courbe plane défini par une équation réduite f . On démontre qu'un idéal fractionnaire I de D vérifie une propriété de symétrie avec son dual, et on applique ce résultat à l'étude du comportement du module des résidus logarithmiques de D dans le cas de déformations équisingulières.

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1. Introduction

Let $(D, 0) \subset (\mathbb{C}^2, 0)$ be a plane curve germ defined by a reduced equation $f \in \mathbb{C}\{x, y\}$, with the ring of functions $\mathcal{O}_D := \mathbb{C}\{x, y\}/(f)$. Let us denote by $\mathcal{O}_{\tilde{D}} = \bigoplus_{i=1}^p \mathbb{C}\{t_i\}$ its normalization, where p is the number of irreducible components of D , and $Q(\mathcal{O}_D) = \bigoplus_{i=1}^p \mathbb{C}\{t_i\} \left[\frac{1}{t_i} \right]$ its total ring of fractions.

The normalization gives a parameterization $\varphi_i(t_i) = (x_i(t_i), y_i(t_i))$ of each irreducible component of D ; therefore, for a non-zero divisor $g \in Q(\mathcal{O}_D)$, we can define a valuation $\text{val}_i(g)$ along D_i as the order in t_i of $g \circ \varphi_i$. The element $\text{val}(g) = (\text{val}_1(g), \dots, \text{val}_p(g)) \in \mathbb{Z}^p$ is called the value of g . Then, for a fractional ideal $I \subseteq Q(\mathcal{O}_D)$, that is to say a finite \mathcal{O}_D -submodule that contains a non-zero divisor, we define $\text{val}(I) = \{\text{val}(g); g \in I \text{ non-zero divisor}\} \subseteq \mathbb{Z}^p$.

For an irreducible plane curve, the conductor is the minimal c with $c + \mathbb{N} \subseteq \text{val}(\mathcal{O}_D)$. It is well known that the semigroup $\text{val}(\mathcal{O}_D)$ satisfies the following property (see [3, Exc. 5.2.25]):

$$v \in \text{val}(\mathcal{O}_D) \iff c - v - 1 \notin \text{val}(\mathcal{O}_D) \quad (1)$$

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For reducible plane curves, an analogous property of $\text{val}(\mathcal{O}_D)$ is proved in [5]. Our main [Theorem 2.4](#) is a generalization of this symmetry to fractional ideals $I \subseteq \mathcal{O}_D$. We then apply it to the Jacobian ideal and the module of logarithmic residues in order to study their behavior in equisingular deformations.

2. Preliminaries

As in [5], let us define the following sets.

Let $\mathcal{M} \subseteq \mathbb{Z}^p$ and $v \in \mathbb{Z}^p$. For $i \in \{1, \dots, p\}$, we define:

$$\Delta_i(v, \mathcal{M}) = \{\alpha \in \mathcal{M}; \alpha_i = v_i \text{ and } \forall j \neq i, \alpha_j > v_j\}$$

and $\Delta(v, \mathcal{M}) = \bigcup_{i=1}^p \Delta_i(v, \mathcal{M})$. We consider the partial product order on \mathbb{Z}^p , so that for $\alpha, \beta \in \mathbb{Z}^p$, $\text{inf}(\alpha, \beta) = (\min(\alpha_1, \beta_1), \dots, \min(\alpha_p, \beta_p))$. We set $\alpha - \underline{1} = (\alpha_1 - 1, \dots, \alpha_p - 1)$.

We denote by \mathcal{C}_D the conductor ideal of D , which is equal to $\text{Ann}_{\mathcal{O}_D} \mathcal{O}_{\tilde{D}} / \mathcal{O}_D$. There exists a $\gamma \in \mathbb{N}^p$, called the conductor, such that $\mathcal{C}_D = t^\gamma \mathcal{O}_{\tilde{D}}$, where $t^\gamma = (t_1^{\gamma_1}, \dots, t_p^{\gamma_p})$. Similarly, for a fractional ideal I , we denote by $\mathcal{C}_I = \text{Ann}_{\mathcal{O}_D} \mathcal{O}_{\tilde{D}} / I$, and $v \in \mathbb{Z}^p$ the “conductor of I ” defined by $\mathcal{C}_I = t^v \mathcal{O}_{\tilde{D}}$.

The two following properties will be useful (see [5, 1.1.2 and 1.1.3]).

Proposition 2.1. *For a fractional ideal $I \subseteq Q(\mathcal{O}_D)$, if $v, v' \in \text{val}(I)$, then $\text{inf}(v, v') \in \text{val}(I)$.*

Proposition 2.2. *Let $v \neq v' \in \text{val}(I)$. If there exists $i \in \{1, \dots, p\}$ such that $v_i = v'_i$, then there exists $v'' \in \text{val}(I)$ such that $v''_i > v_i$, and for $j \neq i$, $v''_j \geq \min(v_j, v'_j)$ with equality if $v_j \neq v'_j$.*

We will also need the following result, which is in fact a consequence of the previous ones:

Proposition 2.3. *Let $\alpha \in \mathbb{Z}^p$. Assume that all $v \geq \alpha$ are in $\text{val}(I)$. Then an element $v \in \mathbb{Z}^p$ is in $\text{val}(I)$ if and only if $\text{inf}(v, \alpha) \in \text{val}(I)$.*

Proof. For the implication \Leftarrow , we use [Proposition 2.2](#) several times, starting with α and $\text{inf}(v, \alpha)$ in order to obtain an element $v' \in \text{val}(I)$ such that $v'_i = v_i$ if $v_i < \alpha_i$, and $v'_i \geq v_i$ otherwise. We then use [Proposition 2.1](#) with v' and an element $\beta \geq \alpha$ satisfying $\beta_i = v_i$ if $v_i \geq \alpha_i$. \square

Our main result is the following generalization of [Theorem 2.8](#) of [5], where I^\vee stands for the \mathcal{O}_D -dual of I , namely, $I^\vee = \text{Hom}_{\mathcal{O}_D}(I, \mathcal{O}_D) \simeq \{m \in Q(\mathcal{O}_D); mI \subseteq \mathcal{O}_D\}$:

Theorem 2.4. *For a fractional ideal $I \subseteq \mathcal{O}_D$, $v \in \text{val}(I^\vee)$ if and only if $\Delta(\gamma - v - \underline{1}, I) = \emptyset$.*

3. Proof of the main theorem

Let us prove the first implication \Rightarrow . Let $v \in \text{val}(I^\vee)$ and assume that $\Delta(\gamma - v - \underline{1}, I) \neq \emptyset$. Let $w \in \Delta(\gamma - v - \underline{1}, I)$. Then, by duality, we obtain $v + w \in \text{val}(\mathcal{O}_D)$. In fact, $v + w \in \Delta(\gamma - \underline{1}, \mathcal{O}_D)$, which is impossible from [Corollary 1.9](#) of [5], whose statement is $\Delta(\gamma - \underline{1}, \mathcal{O}_D) = \emptyset$. Hence the first implication.

The implication \Leftarrow is more subtle, and needs more preparation. With the first implication, we can define a set $\mathcal{V} \subseteq \mathbb{Z}^p$ by $\mathcal{V} = \{v \in \mathbb{Z}^p; \Delta(\gamma - v - \underline{1}, I) = \emptyset\}$. It contains $\text{val}(I^\vee)$, but it could be bigger. In particular, it is not obvious that \mathcal{V} is the set of values of a \mathcal{O}_D -module.

In [5], a way to compute the dimension of some quotients from the values is given. Let $J \subseteq Q(\mathcal{O}_D)$ be a fractional ideal, and $\alpha \in \mathbb{Z}^p$. We define $\ell(\alpha, J) = \dim_{\mathbb{C}} J / \{g \in J, \text{val}(g) \geq \alpha\}$.

Let (e_1, \dots, e_p) denote the canonical basis of \mathbb{Z}^p . For $\mathcal{M} \subseteq \mathbb{Z}^p$ and $v \in \mathbb{Z}^p$, let

$$\Lambda_i(v, \mathcal{M}) = \{\alpha \in \mathcal{M}; \alpha_i = v_i \text{ and } \forall j \neq i, \alpha_j \geq v_j\}$$

We then have (see [5, [Proposition 1.11](#)]):

Proposition 3.1. *For all $\alpha \in \mathbb{Z}^p$, $\ell(\alpha + e_i, J) - \ell(\alpha, J) \in \{0, 1\}$ and $\ell(\alpha + e_i, J) = \ell(\alpha, J) + 1$ if and only if $\Lambda_i(\alpha, \text{val}(J)) \neq \emptyset$.*

From this proposition, we can prove the implication \Leftarrow of [Theorem 2.4](#) in three steps.

First step

Let $I \subseteq \mathcal{O}_D$ be a fractional ideal, whose conductor ideal is \mathcal{C}_I and whose conductor is v . Notice that we have the following sequences of inclusions: $\mathcal{C}_I \subseteq I \subseteq \mathcal{O}_D \subseteq \mathcal{O}_{\tilde{D}}$ and $\mathcal{C}_D \subseteq \mathcal{O}_D \subseteq I^\vee$.

Proposition 3.2. Assume that $\mathcal{V} \neq \text{val}(I^\vee)$. Then there exists $w^{(0)} \in \mathcal{V} \setminus \text{val}(I^\vee)$ such that $w^{(0)} \leq \gamma$. Moreover, there exists $j \in \{1, \dots, p\}$ such that $\Lambda_j(w^{(0)}, \text{val}(I^\vee)) = \emptyset$ and $w_j^{(0)} < \gamma_j$.

We need the following lemma, which is analogous to Proposition 2.1:

Lemma 3.3. Let $w, w' \in \mathcal{V}$. Then $\inf(w, w') \in \mathcal{V}$.

Proof. Let $v = \gamma - w - \mathbf{1}$, $v' = \gamma - w' - \mathbf{1}$, $v'' = \gamma - \inf(w, w') - \mathbf{1} = \sup(v, v')$. It is then easy to see that $\Delta(v'', I) \subseteq \Delta(v, I) \cup \Delta(v', I)$. The result comes from the definition of \mathcal{V} . \square

Proof of Proposition 3.2. Let $w \in \mathcal{V} \setminus \text{val}(I^\vee)$. Then using Lemma 3.3, we obtain $w^{(0)} := \inf(w, \gamma) \in \mathcal{V}$. It follows from Proposition 2.3 that $\inf(w, \gamma) \notin \text{val}(I^\vee)$ since all $v \geq \gamma$ are in $\text{val}(I^\vee)$. Then, from Proposition 2.1, there exists $j \in \{1, \dots, p\}$ such that $\Lambda_j(w^{(0)}, \text{val}(I^\vee)) = \emptyset$. Since $\gamma \in \text{val}(I^\vee)$, necessarily, $w_j^{(0)} < \gamma_j$. \square

Second step

Assume from now on that $\mathcal{V} \neq \text{val}(I^\vee)$, and let $w^{(0)}$ be given by Proposition 3.2. For the sake of simplicity, assume that $\Lambda_p(w^{(0)}, \text{val}(I^\vee)) = \emptyset$. Let us define the following sequence $(\alpha^{(j)})_{0 \leq j \leq n_0}$ with $n_0 = \sum_{i=1}^p v_i$:

$$\begin{array}{c} \underbrace{\gamma - v}_{=\alpha^{(0)}} \xrightarrow{(+e_1)^\bullet} \underbrace{(w_1^{(0)}, \gamma_2 - v_2, \dots, \gamma_p - v_p)}_{=\alpha^{(k_1)}} \xrightarrow{(+e_2)^\bullet} \dots \xrightarrow{(+e_{p-1})^\bullet} \underbrace{(w_1^{(0)}, \dots, w_{p-1}^{(0)}, \gamma_p - v_p)}_{=\alpha^{(k_{p-1})}} \\ \xrightarrow{(+e_p)^\bullet} \underbrace{(w_1^{(0)}, \dots, w_{p-1}^{(0)}, \gamma_p)}_{=\alpha^{(k_p)}} \xrightarrow{(+e_{p-1})^\bullet} \underbrace{(w_1^{(0)}, \dots, w_{p-2}^{(0)}, \gamma_{p-1}, \gamma_p)}_{=\alpha^{(k_{p+1})}} \xrightarrow{(+e_{p-2})^\bullet} \dots \xrightarrow{(+e_1)^\bullet} \underbrace{\gamma}_{=\alpha^{(k_{2p-1})}} \end{array}$$

where $k_{2p-1} = n_0$. More precisely, $k_1 = w_1^{(0)} - (\gamma_1 - v_1)$ and for $j \in \{0, \dots, k_1 - 1\}$, $\alpha^{(j+1)} = \alpha^{(j)} + e_1$, and so on.

Since $t^v \mathcal{O}_{\bar{D}} \subseteq I$, the smallest value that can appear in \mathcal{V} is $\gamma - v$. Then from Proposition 3.1, we have:

$$\dim_{\mathbb{C}} I^\vee / \mathcal{C}_D = \text{Card} \left(\{j \in \{0, \dots, n_0 - 1\}; \Lambda_i(\alpha^{(j)}, \text{val}(I^\vee)) \neq \emptyset, \text{ where } \alpha^{(j+1)} = \alpha^{(j)} + e_i\} \right) \tag{2}$$

We define a number ℓ'_α by changing $\text{val}(I^\vee)$ into \mathcal{V} in (2). This number may depend on the chosen sequence α . For the sequence α defined above, since $\Lambda_p(w^{(0)}, \text{val}(I^\vee)) = \emptyset$ and $\Lambda_p(w^{(0)}, \mathcal{V}) \neq \emptyset$, we have the following inequality:

$$\ell'_\alpha \geq 1 + \dim_{\mathbb{C}} I^\vee / \mathcal{C}_D \tag{3}$$

Third step

For the third step, we need the following property (see [3, proof of Lemma 5.2.8]):

$$\dim_{\mathbb{C}} J_1 / J_2 = \dim_{\mathbb{C}} J_2^\vee / J_1^\vee \quad J_1, J_2 \text{ fractional ideals} \tag{4}$$

With the same notations, let us consider the sequence $(\beta^{(j)})_{0 \leq j \leq n_0}$ defined by $\beta^{(j)} = \gamma - \alpha^{(n_0-j)}$.

As for $(\alpha^{(j)})$, the sequence $(\beta^{(j)})$ can be used to compute $\dim_{\mathbb{C}} I / \mathcal{C}_I$ in the same way as (2). From the relation between the two sequences, it can be proved that for $0 \leq j \leq n_0 - 1$, $\Lambda_i(\alpha^{(j)}, \mathcal{V}) \neq \emptyset$ implies $\Lambda_i(\beta^{(n_0-(j+1))}, \text{val}(I)) = \emptyset$, which provides us with the following inequality:

$$\sum_{i=1}^p v_i - \dim_{\mathbb{C}} I / \mathcal{C}_I \geq \ell'_\alpha \tag{5}$$

However, from (4), $\dim_{\mathbb{C}} \mathcal{O}_{\bar{D}} / I = \dim_{\mathbb{C}} I^\vee / \mathcal{C}_D$ therefore we have:

$$\dim_{\mathbb{C}} I^\vee / \mathcal{C}_D = \dim_{\mathbb{C}} \mathcal{O}_{\bar{D}} / \mathcal{C}_I - \dim_{\mathbb{C}} I / \mathcal{C}_I = \sum_{i=1}^p v_i - \dim_{\mathbb{C}} I / \mathcal{C}_I \tag{6}$$

Therefore, $\ell'_\alpha \leq \dim_{\mathbb{C}} I^\vee / \mathcal{C}_D$, which is a contradiction with (3). Thus, the set \mathcal{V} cannot be bigger than $\text{val}(I^\vee)$, which gives us the implication \Leftarrow of Theorem 2.4.

4. Application: logarithmic residues and equisingular deformations

Let $\text{Der}(-\log D)$ and $\Omega^1(\log D)$ be respectively the $\mathbb{C}\{x, y\}$ -modules of logarithmic vector fields and of logarithmic 1-forms along D at the origin. Since we consider a plane curve, these two modules are free. Let us recall some results from [7]. A meromorphic 1-form ω is logarithmic if and only if there exist a holomorphic 1-form η , and $\xi, g \in \mathbb{C}\{x, y\}$ where g does not induce a zero divisor in \mathcal{O}_D , such that $g\omega = \xi \frac{df}{f} + \eta$. In fact, for g one can choose every linear combination of the derivatives of f that does not induce a zero divisor in \mathcal{O}_D . The residue of ω is $\text{res}(\omega) = \frac{\xi}{g} \in Q(\mathcal{O}_D)$, and we define $\mathcal{R}_D = \text{res}(\Omega^1(\log D))$. This module is called the module of logarithmic residues, and is a finite-type \mathcal{O}_D -submodule of $Q(\mathcal{O}_D)$, generated by the residues of a basis of $\Omega^1(\log D)$. We always have the inclusion $\mathcal{O}_{\tilde{D}} \subseteq \mathcal{R}_D$.

Let $\mathcal{J}_D \subseteq \mathcal{O}_D$ be the Jacobian ideal. The following result is proved in [6]: $\mathcal{J}_D^\vee = \mathcal{R}_D$. Therefore, from Theorem 2.4, we deduce that $v \in \text{val}(\mathcal{R}_D)$ if and only if $\Delta(\gamma - v - \underline{1}, \mathcal{J}_D) = \emptyset$.

Another consequence of this duality is:

$$\dim_{\mathbb{C}} \mathcal{R}_D / \mathcal{O}_{\tilde{D}} = \tau - \delta \tag{7}$$

with τ the Tjurina number and $\delta = \dim_{\mathbb{C}} \mathcal{O}_{\tilde{D}} / \mathcal{O}_D$. Indeed, from (4), $\dim_{\mathbb{C}} \mathcal{R}_D / \mathcal{O}_D = \dim_{\mathbb{C}} \mathcal{O}_D / \mathcal{J}_D = \tau$.

Our purpose is to study the behavior of logarithmic residues in an equisingular deformation of a plane curve germ D . Consider a deformation $F(x, s)$ of $f(x)$ with base space $(S, 0) = (\mathbb{C}^k, 0)$ for a $k \in \mathbb{N}$. Denote for $s \in S$, $F_s = F(\cdot, s)$, and $D_s = F_s^{-1}(0)$. Equisingularity means that all fibers $(D_s, 0) \subseteq (\mathbb{C}^2, 0)$ have the same Milnor number μ . From the theorem of equisingularity for plane curves (see [8, §3.7]), a parameterization $(x(t), y(t))$ of D gives rise to a deformation of the parametrization $(x_s(t), y_s(t))$.

Let us denote by \mathcal{R}_s the module of logarithmic residues of D_s .

Definition 4.1. The stratification by logarithmic residues is the partition $S = \bigcup_{\mathcal{V} \subseteq \mathbb{Z}^p} S_{\mathcal{V}}$, where $s \in S_{\mathcal{V}}$ if and only if $\text{val}(\mathcal{R}_s) = \mathcal{V}$.

Proposition 4.2.

- (i) If s, s' do not belong to the same stratum of the stratification by τ , they do not belong to the same stratum for the stratification by logarithmic residues. In other words, the stratification by logarithmic residues is finer than the stratification by τ .
- (ii) The stratification by logarithmic residues is finite.
- (iii) Each stratum $S_{\mathcal{V}}$ is locally analytic and locally closed.

Sketch of the proof. The first point follows easily from (7). For the second point, since $\tau \leq \mu$, it is clear that the stratification by the Tjurina number τ is finite. Therefore, it is sufficient to consider the behavior of logarithmic residues in a τ -constant stratum. When τ is constant, it is an admissible deformation in the sense of [9], so that there exist $\delta_i(x, y, s) = a_i(x, y, s)\partial_x + b_i(x, y, s)\partial_y$, $i = 1, 2$, such that for every s , $(\delta_1(\cdot, \cdot, s), \delta_2(\cdot, \cdot, s))$ is a basis of $\text{Der}(-\log D_s)$. Then, for a convenient choice of $\alpha(s), \beta(s)$, the residues of D_s are generated over \mathcal{O}_{D_s} by:

$$\rho_i = \frac{-\beta(s)a_i(s) + \alpha(s)b_i(s)}{\alpha(s)F'_x(s) + \beta(s)F'_y(s)}, \quad i = 1, 2$$

In fact, thanks to the equisingularity condition, it is possible to choose $\alpha, \beta \in \mathbb{C}^2$ such that the value of $\alpha F'_x(s) + \beta F'_y(s)$ is independent of s . To prove this, one can use the theorem of equisingularity (see [8, §3.7]), Teissier's lemma (see [2, 2.3]) and Theorem 2.7 of [4]. All values of \mathcal{R}_s are then greater than $\text{val}(\alpha F'_x(0) + \beta F'_y(0))$, and the finiteness follows from this and from Proposition 2.3, since $\mathcal{O}_{\tilde{D}_s} \subseteq \mathcal{R}_s$. For the third point, recall from the appendix by Teissier in [10] that the strata of the stratification by the Tjurina number are locally analytic and locally closed. Then the result about the stratification by logarithmic residues is also a consequence of the existence of this denominator. \square

Let us look at some examples.

Example 1. Consider $f(x, y) = x^5 - y^6$ and the equisingular deformation of f given by $F(x, y, s_1, s_2, s_3) = x^5 - y^6 + s_1x^2y^4 + s_2x^3y^3 + s_3x^3y^4$. The stratification by τ is composed of three strata, $S_{\tau=20} = \{0\}$, $S_{\tau=19} = \{(0, 0, s_3), s_3 \neq 0\}$ and $S_{\tau=18} = \{(s_1, s_2, s_3), (s_1, s_2) \neq (0, 0)\}$. The computation of the values of \mathcal{J}_{D_s} is quite easy in this case, and it can be seen that the stratum $S_{\tau=18}$ divides into two strata for the values of \mathcal{J}_{D_s} : $S_1 = \{(0, s_2, s_3), s_2 \neq 0\}$ and $S_2 = \{(s_1, s_2, s_3), s_1 \neq 0\}$, and the same goes for the stratification by logarithmic residues thanks to Theorem 2.4. Therefore, the stratification by logarithmic residues is not the same as the stratification by τ .

Example 2. The following proposition can be obtained by an explicit computation of $\text{val}(\mathcal{O}_D)$:

Proposition 4.3. Let $f(x, y) = \prod_{j=1}^p (x^a - \lambda_\ell y^b + \sum_{ib+ja>ab} a_{ij}^{(\ell)} x^i y^j)$ be a reduced equation, with the $\lambda_\ell \in \mathbb{C}$ pairwise distinct, $\gcd(a, b) = 1$ and $a_{ij}^{(\ell)} \in \mathbb{C}$. Let γ be the conductor of D . Then $\gamma + (\text{val}(\mathcal{O}_D) \setminus \{0\}) - \underline{1} \subseteq \text{val}(\mathcal{J}_D)$.

Let us consider the deformation $F(x, y, s_1, s_2) = x^{10} + y^8 + s_1 x^5 y^4 + s_2 x^3 y^6$. It is given in [1], as an example of the stratification by the b -function not satisfying the frontier condition. A stratification $S = \bigcup_\alpha S_\alpha$ satisfies the frontier condition if for $\alpha \neq \beta$, $S_\alpha \cap \overline{S_\beta} \neq \emptyset$ implies $S_\alpha \subseteq \overline{S_\beta}$, with $\overline{S_\beta}$ the closure of S_β .

A computation shows that there are three strata for the stratification by τ in a neighborhood of the origin of \mathbb{C}^2 : $S_{\tau=63} = \{(s_1, 0)\}$, $S_{\tau=54} = \{(0, s_2), s_2 \neq 0\}$ and $S_{\tau=53} = \{(s_1, s_2), s_1 s_2 \neq 0\}$. From Proposition 4.3, the semigroup of values of \mathcal{J}_{D_s} does not change in the stratum $S_{\tau=63}$, so that the latter is exactly a stratum of the stratification by logarithmic residues. However, there exists a stratum $S' \subseteq S_{\tau=54}$ whose closure contains the origin, but not the whole stratum $S_{\tau=63}$. Therefore, the stratification by logarithmic residues does not satisfy the frontier condition.

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