



Combinatorics

## An identity on pairs of Appell-type polynomials

*Une identité sur des paires de polynômes de type Appell*

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## ABSTRACT

In this paper, we define a sequence of polynomials  $P_n^{(\alpha)}(x|A, H)$  depending only on the choice of two analytic functions  $A$  and  $H$  in a neighborhood of zero. For a pair of compositional inverses  $A$  and  $B$ , we will show the identity  $P_n^{(\alpha)}(x|B, H \circ B) = P_n^{(n+1-\alpha)}(1-x|A, A'H)$ , which generalize the Carlitz's identity on Bernoulli polynomials.

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## R É S U M É

Dans ce papier, on définit une suite de polynômes  $P_n^{(\alpha)}(x|A, H)$  dépendant seulement du choix de deux fonctions analytiques dans un voisinage de zéro. Pour une paire de fonctions réciproques  $A$  et  $B$ , on montre l'identité  $P_n^{(\alpha)}(x|B, H \circ B) = P_n^{(n+1-\alpha)}(1-x|A, A'H)$ , qui généralise l'identité de Carlitz sur les polynômes de Bernoulli.

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## 1. Introduction

Let  $A$  and  $H$  be two analytic functions around zero with  $A(0) = 0$ ,  $A'(0) \neq 0$  and let  $P_n^{(\alpha)}(A, H)$  be defined by

$$\left(\frac{t}{A(t)}\right)^\alpha H(t) = \sum_{n \geq 0} P_n^{(\alpha)}(A, H) \frac{t^n}{n!}. \quad (1)$$

This definition is motivated by the works of Tempesta [8] and [10] on the generalized higher-order Bernoulli polynomials. The higher-order Bernoulli polynomials of the first kind  $B_n^{(\alpha)}(x)$  [4,9] correspond to the choice  $A(t) = \exp(t) - 1$ ,  $H(t) = \exp(xt)$ , the higher-order Bernoulli polynomials of the second kind  $b_n^{(\alpha)}(x)$  [7,6] correspond to the choice  $A(t) = \ln(1+t)$ ,  $H(t) = (1+t)^x$  and the degenerate Bernoulli polynomials  $\mathcal{B}_n^{(\alpha)}(x; \beta, \lambda)$  correspond to the choice  $A(t) = \frac{(1+\lambda t)^{\beta/\lambda} - 1}{\beta}$  and  $H(t) = (1+\beta t)^{(\lambda/\beta-1)x}$ , from which we have in particular  $B_n^{(\alpha)}(x) = \lim_{\lambda \rightarrow 0} \mathcal{B}_n^{(\alpha)}(x; 1, \lambda)$  and  $b_n^{(\alpha)}(x) = \lim_{\beta \rightarrow 0} \mathcal{B}_n^{(\alpha)}(x; \beta, 1)$ .

In this paper, we show that the Carlitz's identity  $B_n^{(n+1-\alpha)}(x) = n! b_n^{(\alpha)}(x-1)$  [2, Eqs. (2.11), (2.12)] can be generalized to a large class of polynomials  $P_n^{(\alpha)}(x|A, H)$  introduced below.

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## 2. The main identity

The main result of this paper is given by the following theorem.

**Theorem 2.1.** Let  $H, A, B$  be analytic functions around zero with  $(A \circ B)(t) = (B \circ A)(t) = t$ . Then

$$P_n^{(\alpha)}(B, H \circ B) = P_n^{(n+1-\alpha)}(A, A'H),$$

where  $(A'H)(z) := H(z)D_z A(z)$  and  $D_z = \frac{d}{dz}$ .

**Proof.** Since  $(A \circ B)(t) = t$ , then the equation  $t = A(z)$  gives  $z = B(t)$ . So, the equation  $z = t \left( \frac{z}{A(z)} \right)$  admits the unique solution  $z = B(t)$ , and on using the Lagrange inversion formula, for any function  $F$  analytic around  $z = 0$ , we get

$$F(z) = F(0) + \sum_{n \geq 1} \frac{t^n}{n!} D_{z=0}^{n-1} \left( F'(z) \left( \frac{z}{A(z)} \right)^n \right).$$

So, for the choice  $F(z) = \left( \frac{z}{A(z)} \right)^{-\alpha} H(z)$  and since  $fDg = D(fg) - gDf$ , we can write

$$\begin{aligned} F'(z) \left( \frac{z}{A(z)} \right)^n &= D_z \left( \left( \frac{z}{A(z)} \right)^{n-\alpha} H(z) \right) - n \left( \frac{z}{A(z)} \right)^{n-\alpha-1} \left( \frac{1}{A(z)} - z \frac{A'(z)}{(A(z))^2} \right) H(z) \\ &= D_z \left( \left( \frac{z}{A(z)} \right)^{n-\alpha} H(z) \right) - \frac{n}{z} \left( \left( \frac{z}{A(z)} \right)^{n-\alpha} H(z) - \left( \frac{z}{A(z)} \right)^{n-\alpha+1} A'(z) H(z) \right) \\ &= D_z \left( \left( \frac{z}{A(z)} \right)^{n-\alpha} H(z) \right) - n \sum_{j \geq 0} \left( \frac{P_{j+1}^{(n-\alpha)}(A, H) - P_{j+1}^{(n-\alpha+1)}(A, A'H)}{j+1} \right) \frac{z^j}{j!}, \end{aligned}$$

thus, upon using (1), the number  $D_{z=0}^{n-1} \left[ F'(z) \left( \frac{z}{A(z)} \right)^n \right]$  can be written as

$$\begin{aligned} D_{z=0}^{n-1} \left( \left( \frac{z}{A(z)} \right)^n F'(z) \right) &= D_{z=0}^n \left( \left( \frac{z}{A(z)} \right)^{n-\alpha} H(z) \right) - n D_{z=0}^{n-1} \sum_{j \geq 0} \left( \frac{P_{j+1}^{(n-\alpha)}(A, H) - P_{j+1}^{(n-\alpha+1)}(A, A'H)}{j+1} \right) \frac{z^j}{j!} \\ &= P_n^{(n-\alpha)}(A, H) - n \left( \frac{P_n^{(n-\alpha)}(A, H) - P_n^{(n-\alpha+1)}(A, A'H)}{n} \right) \\ &= P_n^{(n-\alpha+1)}(A, A'H). \end{aligned}$$

Then

$$\left( \frac{z}{A(z)} \right)^{-\alpha} H(z) = \sum_{n \geq 0} P_n^{(n-\alpha+1)}(A, A'H) \frac{t^n}{n!}. \quad (2)$$

On the other hand, since  $z = B(t)$  we also get

$$\left( \frac{z}{A(z)} \right)^{-\alpha} H(z) = \left( \frac{t}{B(t)} \right)^{\alpha} H(B(t)) = \sum_{n \geq 0} P_n^{(\alpha)}(B, H \circ B) \frac{t^n}{n!}. \quad (3)$$

So, the desired relation follows from (2) and (3).  $\square$

To present some applications of Theorem 2.1, we give the following definition.

**Definition 2.1.** Let  $A$  and  $H$  be two analytic functions around zero with  $A(0) = 0$ ,  $A'(0) \neq 0$  and let  $\alpha, x$  be real numbers. A sequence of polynomials  $(P_n^{(\alpha)}(x | A, H))$  is said to be of Appell type if

$$\left( \frac{t}{A(t)} \right)^{\alpha} (A'(t))^x H(t) = \sum_{n \geq 0} P_n^{(\alpha)}(x | A, H) \frac{t^n}{n!}.$$

When we replace  $H(t)$  with  $(A'(t))^x H(t)$  in [Theorem 2.1](#), we get:

**Corollary 2.2.** *Let  $\alpha, x$  be real numbers and let  $A, B$  be analytic functions around zero with  $(A \circ B)(t) = (B \circ A)(t) = t$ . Then, it holds*

$$P_n^{(\alpha)}(x | B, H \circ B) = P_n^{(n+1-\alpha)}(1-x | A, H), \quad n \geq 1.$$

In particular, for  $H(t) = 1$  in [Corollary 2.2](#), the polynomials  $P_n^{(\alpha)}(x | A)$  defined by

$$\left(\frac{t}{A(t)}\right)^\alpha (A'(t))^x = \sum_{n \geq 0} P_n^{(\alpha)}(x | A) \frac{t^n}{n!} \tag{4}$$

satisfy

$$P_n^{(\alpha)}(x | B) = P_n^{(n+1-\alpha)}(1-x | A), \tag{5}$$

and for  $H(t) = \left(\frac{t}{B(t)}\right)^\beta (B'(t))^y$  in [Corollary 2.2](#) with  $\beta, y$  real numbers, the polynomials  $P_n^{(\alpha, \beta)}(x, y | A, B)$  defined by

$$\left(\frac{t}{A(t)}\right)^\alpha (A'(t))^x \left(\frac{t}{B(t)}\right)^\beta (B'(t))^y = \sum_{n \geq 0} P_n^{(\alpha, \beta)}(x, y | A, B) \frac{t^n}{n!}$$

satisfy

$$P_n^{(\alpha, \beta)}(x, y | A, B) = P_n^{(n+1-\beta, -\alpha)}(1-y, -x | A, B) = P_n^{(-\beta, n+1-\alpha)}(-y, 1-x | A, B).$$

**3. Connection to the partial Bell polynomials**

Let  $B_{n,k}(x_1, x_2, \dots) := B_{n,k}(x_j)$  be the partial Bell polynomials (see for instance [\[1,3,5\]](#)) defined by

$$\sum_{n \geq k} B_{n,k}(x_1, x_2, \dots) \frac{t^n}{n!} = \frac{1}{k!} \left( \sum_{j \geq 1} x_j \frac{t^j}{j!} \right)^k.$$

**Proposition 3.1.** *The sequence  $(P_k^{(\alpha)}(A, H))$  satisfies the following recurrence relations*

$$P_n^{(n+1+\alpha)}(A, A'H) = \sum_{k=0}^n B_{n,k}(b_1, b_2, \dots) P_k^{(\alpha)}(A, H),$$

$$P_n^{(\alpha)}(A, A'H) = \sum_{k=0}^n \binom{n}{k} a_{n-k+1} P_k^{(\alpha)}(A, H),$$

where the sequences  $(a_n; n \geq 1)$  and  $(b_n; n \geq 1)$  are defined by

$$\sum_{n \geq 1} a_n \frac{t^n}{n!} = A(t) \quad \text{and} \quad \sum_{n \geq 1} b_n \frac{t^n}{n!} = B(t), \quad a_1 b_1 = 1.$$

**Proof.** With the above notation, from [\(1\)](#) and [\(3\)](#), we have:

$$\left(\frac{z}{A(z)}\right)^\alpha H(z) = \sum_{k \geq 0} P_k^{(\alpha)}(A, H) \frac{z^k}{k!} = \sum_{n \geq 0} P_n^{(-\alpha)}(B, H \circ B) \frac{t^n}{n!} \quad \text{with } z = B(t).$$

Then

$$\sum_{n \geq 0} P_n^{(-\alpha)}(B, H \circ B) \frac{t^n}{n!} = \sum_{k \geq 0} P_k^{(\alpha)}(A, H) \frac{(B(t))^k}{k!} = \sum_{n \geq 0} \frac{t^n}{n!} \sum_{k=0}^n B_{n,k}(b_1, b_2, \dots) P_k^{(\alpha)}(A, H),$$

which gives  $P_n^{(-\alpha)}(B, H \circ B) = \sum_{k=0}^n B_{n,k}(b_1, b_2, \dots) P_k^{(\alpha)}(A, H)$ . So, the first relation follows on using [Theorem 2.1](#). The second one follows from the definition of  $P_n^{(\alpha)}(A, H)$ .  $\square$

For  $H(t) = 1$  in [Proposition 3.1](#) we get the following corollary.

**Corollary 3.1.** Let  $A$  be an analytic function around zero with  $A(0) = 0$ ,  $A'(0) \neq 0$  and

$$\left(\frac{t}{A(t)}\right)^\alpha (A'(t))^x = \sum_{n \geq 0} P_n^{(\alpha)}(x|A) \frac{t^n}{n!}.$$

Then, if we denote by  $B$  for the compositional inverse of  $A$ , the sequence of polynomials  $(P_n^{(\alpha)}(x|A))$  satisfies the following recurrence relations

$$P_n^{(n+1+\alpha)}(x+1|A) = \sum_{k=0}^n B_{n,k}(b_1, b_2, \dots) P_k^{(\alpha)}(x|A),$$

$$P_n^{(\alpha)}(x+1|A) = \sum_{k=0}^n \binom{n}{k} a_{n-k-1} P_k^{(\alpha)}(x|A).$$

#### 4. Connection to the successive derivatives of a function

We show in this section some connections of [Theorem 2.1](#) to the successive derivatives of a function.

**Proposition 4.1.** Let  $B$  and  $H$  be two analytic functions around zero with  $B(0) \neq 0$  and let  $\alpha, x$  be real numbers. We have:

$$D_{t=0}^n ((B(t))^\alpha H(xtB(t))) = \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} ((B(t))^{k+\alpha}) D_{t=0}^k (H(t)) x^k.$$

**Proof.** Let  $V(t) = tB(t)$  and  $U$  be the compositional inverse of  $V$ . We can write, using [Theorem 2.1](#):

$$\begin{aligned} D_{t=0}^n ((B(t))^\alpha H(xtB(t))) &= D_{t=0}^n \left( \left( \frac{t}{V(t)} \right)^{-\alpha} H(xV(t)) \right) \\ &= D_{t=0}^n \left( \left( \frac{t}{U(t)} \right)^{n+1+\alpha} U'(t) H(xt) \right) \\ &= \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} \left( \left( \frac{t}{U(t)} \right)^{n+1+\alpha} U'(t) \right) D_{t=0}^k (H(xt)) \\ &= \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} \left( \left( \frac{t}{U(t)} \right)^{(n-k)+1+(\alpha+k)} U'(t) \right) D_{t=0}^k (H(t)) x^k \\ &= \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} \left( \left( \frac{t}{V(t)} \right)^{-\alpha-k} \right) D_{t=0}^k (H(t)) x^k \\ &= \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} \left( \left( \frac{t}{V(t)} \right)^{-\alpha-k} \right) D_{t=0}^k (H(t)) x^k \\ &= \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} ((B(t))^{k+\alpha}) D_{t=0}^k (H(t)) x^k, \end{aligned}$$

which completes the proof.  $\square$

For different choices for  $H$  and  $B$  in [Proposition 4.1](#), we get:

**Corollary 4.1.** Let  $B$  and  $H$  be two analytic functions around zero with  $B(0) \neq 0$ . We have:

$$D_{t=0}^n (\exp(\alpha t) H(xt \exp(t))) = \sum_{k=0}^n \binom{n}{k} (k+\alpha)^{n-k} D_{t=0}^k (H(t)) x^k,$$

$$D_{t=0}^n \left( (1-t)^{-\alpha} H\left(\frac{xt}{1-t}\right) \right) = \sum_{k=0}^n \frac{n!}{k!} \binom{\alpha+n-1}{n-k} D_{t=0}^k (H(t)) x^k,$$

$$D_{t=0}^n ((1+t)^\alpha H(xt(1+t))) = \sum_{k=0}^n \frac{n!}{k!} \binom{\alpha+k}{n-k} D_{t=0}^k (H(t)) x^k,$$

$$D_{t=0}^n ((B(t))^\alpha \exp(xtB(t))) = \sum_{k=0}^n \binom{n}{k} D_{t=0}^{n-k} ((B(t))^{k+\alpha}) x^k,$$

$$D_{t=0}^n \left( \frac{(B(t))^\alpha}{1-xtB(t)} \right) = \sum_{k=0}^n \frac{n!}{(n-k)!} D_{t=0}^{n-k} ((B(t))^{k+\alpha}) x^k,$$

where  $\binom{x}{k} := \frac{(x)_k}{k!}$ , and,  $(x)_k := x(x-1)\cdots(x-k+1)$  for  $k \geq 1$  and  $(x)_0 := 1$ .

### 5. Some applications

For the following examples, let  $s(n, k)$  and  $S(n, k)$  be, respectively, the Stirling numbers of the first and second kinds.

**Example 1.** For  $A(t) = t + \frac{t^2}{2}$  we get  $B(t) = \sqrt{1+2t} - 1$ . Then, from (4) we get:

$$\left(1 + \frac{t}{2}\right)^{-\alpha} (1+t)^x = \sum_{n \geq 0} P_n^{(\alpha)}(x|A) \frac{t^n}{n!},$$

for which we can verify that  $P_n^{(\alpha)}(x|A) = n! \sum_{k=0}^n \binom{-\alpha}{k} \binom{x}{n-k} 2^{-k}$  and show upon using (5) that we have

$$\left(\frac{t}{\sqrt{1+2t}-1}\right)^\alpha (1+2t)^{-\frac{x}{2}} = \sum_{n \geq 0} P_n^{(\alpha)}(x|B) \frac{t^n}{n!},$$

with  $P_n^{(\alpha)}(x|B) = P_n^{(n+1-\alpha)}(1-x|A) = n! \sum_{k=0}^n \binom{n+1-\alpha}{k} \binom{1-x}{n-k} 2^{-k}$ .

**Example 2.** For  $A(t) = \frac{t}{1+t^2}$  we get  $B(t) = \frac{1-\sqrt{1-4t^2}}{2t}$ . Then, from (4) we get

$$(1+t^2)^\alpha (1-t^2)^x = \left(\frac{t}{A(t)}\right)^{\alpha+2x} (A'(t))^x = \sum_{n \geq 0} P_n^{(\alpha+2x)}(x|A) \frac{t^n}{n!},$$

for which we can verify that  $P_{2n}^{(\alpha+2x)}(x|A) = \frac{(2n)!}{n!} \sum_{k=0}^n (-1)^k \binom{n}{k} (\alpha)_k (x)_{n-k}$  and  $P_{2n+1}^{(\alpha+2x)}(x|A) = 0$ , and show upon using (5) that we have

$$\left(\frac{1-\sqrt{1-4t^2}}{2t^2}\right)^\alpha (1-4t^2)^x = \left(\frac{t}{B(t)}\right)^{\alpha-2x} (B'(t))^{-2x} = \sum_{n \geq 0} P_n^{(\alpha-2x)}(-2x|B) \frac{t^n}{n!},$$

with  $P_n^{(\alpha-2x)}(-2x|B) = P_n^{(n+1-\alpha-2x)}(1+2x|A)$ .

**Example 3.** For the pair of compositional inverse functions  $A(t) = \exp(t) - 1$ ,  $B(t) = \ln(1+t)$ , we get from (4) and (5)  $P_n^{(\alpha)}(x|A) = B_n^{(\alpha)}(x)$ ,  $P_n^{(\alpha)}(x|B) = n!b_n^{(\alpha)}(-x)$  and

$$n!b_n^{(\alpha)}(x) = B_n^{(n+1-\alpha)}(x+1), \quad B_n^{(\alpha)}(x) = n!b_n^{(n+1-\alpha)}(x-1).$$

For  $A(t) = \exp(t) - 1$  and  $H(t) = (A'(t))^{x-1}$  in Proposition 3.1, we get

$$B_n^{(n+1+\alpha)}(x) = \sum_{k=0}^n (-1)^{n-k} |s(n, k)| B_k^{(\alpha)}(x-1), \quad B_n^{(\alpha)}(x) = \sum_{k=0}^n \binom{n}{k} B_k^{(\alpha)}(x-1).$$

For  $A(t) = \ln(1+t)$  and  $H(t) = (1+t)^{x+1}$  in Proposition 3.1, we get

$$n!b_n^{(n+1+\alpha)}(x) = \sum_{k=0}^n k!S(n, k)b_k^{(\alpha)}(x-1), \quad b_n^{(\alpha)}(x) = \sum_{k=0}^n (-1)^{n-k} b_k^{(\alpha)}(x-1).$$

**Example 4.** Let the pair of compositional inverse functions

$$A(t) = \frac{(1 + \lambda t)^{\beta/\lambda} - 1}{\beta} = \sum_{n \geq 1} (\beta | \lambda)_n \frac{t^n}{n!}, \quad B(t) = \frac{(1 + \beta t)^{\lambda/\beta} - 1}{\lambda}.$$

Then, from (4) and (5), we get  $P_n^{(\alpha)}(x | A) = \mathcal{B}_n^{(\alpha)}(x; \beta, \lambda)$  and  $\mathcal{B}_n^{(\alpha)}(x; \beta, \lambda) = \mathcal{B}_n^{(n+1-\alpha)}(1-x; \lambda, \beta)$ . From Proposition 3.1 we get

$$\begin{aligned} \mathcal{B}_n^{(n+1+\alpha)}(x+1; \lambda, \beta) &= \sum_{k=0}^n B_{n,k}((\beta | \lambda)_j) \mathcal{B}_k^{(\alpha)}(x; \lambda, \beta), \\ \mathcal{B}_n^{(\alpha)}(x+1; \lambda, \beta) &= \sum_{k=0}^n \binom{n}{k} (\beta | \lambda)_{n-k+1} \mathcal{B}_k^{(\alpha)}(x; \lambda, \beta). \end{aligned}$$

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