Algebraic geometry

# Uniform bound for the effective Bogomolov conjecture 

## Borne uniforme pour la conjecture effective de Bogomolov

Xiao-Lei Liu ${ }^{\text {a }}$, Sheng-Li Tan ${ }^{\text {b }}$<br>${ }^{\text {a }}$ School of Mathematical Sciences, Dalian University of Technology, Dalian, PR China<br>${ }^{\mathrm{b}}$ Department of Mathematics, East China Normal University, Shanghai, PR China

## ARTICLE INFO

## Article history:

Received 30 August 2015
Accepted after revision 5 January 2017
Available online 17 January 2017
Presented by Claire Voisin


#### Abstract

We obtain a uniform bound for the effective Bogomolov conjecture, which depends only on the genus $g$ of the curve. The bound grows as $O\left(g^{-3}\right)$ as $g$ tends to infinity. © 2017 Académie des sciences. Published by Elsevier Masson SAS. All rights reserved.


## R É S U M É

On obtient une borne uniforme de la conjecture effective de Bogomolov, qui ne dépend que du genre $g$ de la courbe. Cette borne croît comme $O\left(g^{-3}\right)$ lorsque $g$ tend vers l'infini.
© 2017 Académie des sciences. Published by Elsevier Masson SAS. All rights reserved.

## 1. Introduction

Fix an algebraically closed field $k$ of characteristic zero and a smooth proper connected curve $Y / k$. Define $K$ to be the field of rational functions on $Y$. Let $C$ be a smooth proper geometrically connected curve of genus at least 2 over the function field $K$. Denote by $f: X \rightarrow Y$ the minimal regular model of the curve $C$ over $Y$, where $X$ is a smooth projective surface over $k$. Choose a divisor $D$ of degree 1 on $\bar{C}=C \times_{K} \bar{K}$ and consider the embedding of $C$ into its $\operatorname{Jacobian} \operatorname{Jac}(C)=\operatorname{Pic}^{0}(C)$ given on geometric points by $j_{D}(x)=[x]-D$. Define

$$
a^{\prime}(D)=\liminf _{x \in C(\bar{K})} \hat{h}\left(j_{D}(x)\right)
$$

where $\hat{h}$ is the canonical Néron-Tate height on the Jacobian associated with the symmetric ample divisor $\Theta+[-1]^{*} \Theta$. As $C(\bar{K})$ may not be countable, the liminf is taken to mean the limit over the directed set of all cofinite subsets of $C(\bar{K})$ of the infimum of the heights of points in such a subset.

Many authors [3,4,6,9,14,15]... studied the following effective Bogomolov conjecture, which has been solved by Cinkir [3].

Conjecture 1.1. If $f$ is non-isotrivial, then there exists a positive number $r_{0}$ such that

[^0]$$
\inf _{D \in \operatorname{Div}^{1}(\bar{C})} a^{\prime}(D) \geq r_{0}
$$

This conjecture concerns the finiteness of algebraic points of small height on a smooth complete curve over a global field.

By the Semistable Reduction Theorem, we may pass to a finite extension field $\tilde{K}$ over which $C_{\tilde{K}}=C \times_{K} \tilde{K}$ has semistable reduction. Let $\tilde{Y} / k$ be a smooth proper curve with field of rational functions $\tilde{K}$. To say that $C_{\tilde{K}}$ has semistable reduction means that there is a projective surface $\tilde{X} / k$ and a proper flat morphism $\tilde{f}: \tilde{X} \rightarrow \tilde{Y}$ so that
(1) $\tilde{f}$ has generic fiber isomorphic to $C_{\tilde{K}}$,
(2) the fibers of $\tilde{f}$ are connected and reduced with only nodal singularities,
(3) if $Z$ is an irreducible component of a fiber and $Z \cong \mathbb{P}^{1}$, then $Z$ meets the other components of the fiber in at least 2 points.

If we assume further that $\tilde{X}$ is a smooth surface (over $k$ ), then such a morphism $\tilde{f}: \tilde{X} \rightarrow \tilde{Y}$ is unique up to canonical isomorphism, and it may be characterized as the minimal regular model of $C_{\tilde{K}}$ over $\tilde{Y}$.

We divide the fiber singularities of $\tilde{f}$ into different types as follows. Choose a point $y \in \tilde{Y}(k)$ and a node $p \in \tilde{f}^{-1}(y)$. We say $p$ is of type 0 if the normalization of $\tilde{f}^{-1}(y)$ at $p$ is connected. Otherwise, the normalization at $p$ has two connected components, and we say that $p$ is of type $i$, where $i$ is the minimum of the arithmetic genera of the two components. Let $\delta_{i}(\tilde{f})=\delta_{i}(\tilde{X} / \tilde{Y})$ be the total number of nodes of type $i$ in all fibers. By the uniqueness of the minimal regular model, the numbers $\delta_{i}(\tilde{f})$ are well-defined invariants of $C_{\tilde{K}}$.

In this note, we always assume that $\tilde{f}$ is not smooth. We rewrite Theorem 2.4 in [3] without semistable condition as follows, see also [6, Theorem 1.2].

Theorem 1.2 ([3], Theorem 2.4). Let $C / K$ be a smooth proper geometrically connected curve of genus $g \geq 2$, and $d=[\tilde{K}: K]$. If $\tilde{f}$ is not smooth, then

$$
\inf _{\operatorname{Div}_{\operatorname{Div}^{1}(\bar{C})}} a^{\prime}(D) \geq \frac{1}{2(2 g+1)}\left(\frac{(g-1)^{2}}{2 g(7 g+5)} \frac{\delta_{0}(\tilde{f})}{d}+\sum_{i \in(0, g / 2]} \frac{2 i(g-i)}{g} \frac{\delta_{i}(\tilde{f})}{d}\right)
$$

Then it is natural to consider the uniformity property (see [2]). The main purpose of this note is to give a uniform lower bound, as good as possible, which depends only on the genus of the curve.

Theorem 1.3. Let $C / K$ be a smooth proper geometrically connected curve of genus $g \geq 2$. If $\tilde{f}$ is not smooth, then

$$
\inf _{D \in \operatorname{Div}^{1}(\bar{C})} a^{\prime}(D) \geq \frac{(g-1)^{2}}{8 g^{3}(4 g+2)(7 g+5)}
$$

Here we get a bound which grows as $O\left(g^{-3}\right)$ as $g$ tends to infinity.
Let $\mathcal{M}_{g}$ be the moduli space of smooth curves of genus $g, \overline{\mathcal{M}}_{g}$ be its Deligne-Mumford compactification, and $\Delta_{0}, \Delta_{1}, \ldots, \Delta_{[g / 2]}$ be the boundary divisors of $\overline{\mathcal{M}}_{g}$. Let $J: Y \rightarrow \overline{\mathcal{M}}_{g}$ be the induced moduli map of $f$ [11], we call $\delta_{i}(f)=\operatorname{deg}\left(J^{*} \Delta_{i}\right)$ the modular invariant corresponding to $\Delta_{i}$ for each $i=0,1, \ldots,[g / 2]$. Then [5]

$$
\begin{equation*}
\delta_{i}(f)=\frac{\delta_{i}(\tilde{f})}{d}, \quad i=0,1, \ldots,[g / 2] \tag{1.1}
\end{equation*}
$$

We refer to [11] for modular invariants. More recently, the second author found that modular invariants are important to the study of holomorphic foliations and Poicaré problems, see [12]. Note that when $\tilde{f}$ is not smooth, there is at least one singular fiber and $\delta(f) \neq 0$. Thus there is at least one $0 \leq i \leq g / 2$ with $\delta_{i}(f) \neq 0$. In order to prove Theorem 1.3, we give the lower bounds of modular invariants $\delta_{i}(f)$ as follows.

Theorem 1.4. Let $C / K$ be a smooth proper geometrically connected curve of genus $g \geq 2$, and $f: X \rightarrow Y$ be the minimal regular model of $C$. If $\delta_{i}(f) \neq 0$, then

$$
\delta_{i}(f) \geq \begin{cases}\frac{1}{4 g^{2}}, & \text { if } i=0 \\ \frac{1}{(4 i+2)(4(g-i)+2)}, & \text { if } i \geq 1\end{cases}
$$

In order to prove the above theorems, we provide a simple formula (3.2) of $\delta_{i}(f)$, which can be applied to the study of pseudo-periodic maps and degeneration of Riemann surfaces [8]. Our method of this paper is new, and can be used to obtain more explicit results.

## 2. Preliminaries and notations

Let $F$ be a singular fiber of $f: X \rightarrow Y$. Let $\bar{F}$ be a birational model of $F$ such that $\bar{F}$ is normal crossing and $\bar{F}_{\text {red }}$ has at worst ordinary double points as its singularities. If $\bar{F}$ has no ( -1 )-curves meeting other components in at most two points, then $\bar{F}$ is called the minimal normal crossing model of $F$ (see [7, Section 2.1]). Denote by $\bar{f}: \bar{X} \rightarrow Y$ the obtained fibration by blowing up the singularities of the fibers of $f$ such that each singular fiber of $\bar{f}$ is minimal normal crossing.

Let $\pi: \tilde{Y} \rightarrow Y$ be a base change of degree $d$. The pullback fibration $\tilde{f}$ of $f$ under $\pi$ is the relative minimal model of the desingularization of $\bar{X} \times_{Y} \tilde{Y} \rightarrow \tilde{Y}$. We can see the following diagram for this construction.


Here $\rho_{1}$ is the normalization, $\rho_{2}$ is the minimal desingularization of $X_{1}$, and $\tilde{\rho}: X_{2} \rightarrow \tilde{X}$ is the contraction of ( -1 )-curves in singular fibers. We call $\pi$ a stabilizing base change if $\tilde{f}$ is semistable.

Now we consider the above construction locally. Let $F$ be a fiber of $f$ over $p \in Y$. Assume that $\pi$ is totally ramified over $p$, i.e. $\pi^{-1}(p)$ contains only one point $\tilde{p}$. In this case, $\pi$ is defined locally by $z=w^{d}$. Denote by $\tilde{F}$ the fiber of $\tilde{f}$ over $\tilde{p} \in \tilde{Y}$. If $\tilde{F}$ is semistable, then $\tilde{F}$ is called the d-th semistable model of $F$.

In the following, we use $C$ to denote an irreducible reduced component of $\bar{F}$.
Set $\Pi_{2}=\Pi^{\prime} \circ \rho_{1} \circ \rho_{2}: X_{2} \rightarrow \bar{X}$, and $\Pi=\Pi_{2} \circ \tilde{\rho}^{-1}: \tilde{X} \rightarrow \bar{X}$. Then $\Pi$ is a well-defined rational morphism. For any irreducible smooth component $\tilde{C}$ of $\tilde{F}$, we can define the induced morphism $\left.\Pi\right|_{\tilde{C}}: \tilde{C} \rightarrow C$ by the unique extension, where $C$ is the image of $\left.\Pi\right|_{\tilde{C}}$. Let $\Pi^{-1}(C)$ be the set of irreducible curves $\tilde{C}$ in $\tilde{F}$ with $\Pi(\tilde{C})=C$, and $l(C)=\left|\Pi^{-1}(C)\right|$. Set $n_{C}=\operatorname{deg}\left(\left.\Pi\right|_{\tilde{C}}\right)$, which is independent of the choice of the irreducible component $\tilde{C}$ of $\Pi^{-1}(C)$. Then $n(C)=l(C) n_{C}$, where $n(C)=\operatorname{mult}_{C}(\bar{F})$ is the multiplicity of $C$ in $\bar{F}$. It is easy to see that $n_{C}$ is the period of the induced cyclic automorphism of $\tilde{C}$.

Let $F$ be a singular fiber of $f$, and $\tilde{F}$ be its $d$-th semistable model. Let $\delta_{i}(\tilde{F})$ be the number of nodes of type $i$ in $\tilde{F}$, then we define

$$
\begin{equation*}
\delta_{i}(F):=\frac{\delta_{i}(\tilde{F})}{d}, \quad i=0,1, \ldots,[g / 2] \tag{2.1}
\end{equation*}
$$

The definition is independent of the choice of the semistable model of $F$. Let $F_{1}, \ldots, F_{s}$ be all the singular fibers of $f$. If we choose a stabilizing base change totally ramified over $f\left(F_{1}\right), \ldots, f\left(F_{s}\right)$, then $\delta_{i}(\tilde{f})=\delta_{i}\left(\tilde{F}_{1}\right)+\cdots+\delta_{i}\left(\tilde{F}_{s}\right)$. So we have that

$$
\begin{equation*}
\delta_{i}(f)=\delta_{i}\left(F_{1}\right)+\cdots+\delta_{i}\left(F_{s}\right), \quad i=0,1, \ldots,[g / 2] \tag{2.2}
\end{equation*}
$$

If $D$ is an irreducible singular component of $\tilde{F}$, then all the singularities of $D$ are nodes for $\tilde{F}$ is semistable. After a base change of degree 2 , the strict transform of $D$ is smooth. Thus we may always assume that each irreducible component of $\tilde{F}$ is smooth, because $\delta_{i}(F)$ is independent of the choice of the semistable model $\tilde{F}$.

An irreducible component $C$ of $\bar{F}$ is said to be principal if either $C$ is not smooth rational, or $C$ meets other components of $\bar{F}_{\text {red }}$ at no less than three points.

Definition 2.1. Let $F$ be a singular fiber of $f$, and $\bar{F}$ be its minimal normal crossing model. Let $\mathcal{C}$ be the following subgraph of the dual graph $G(\bar{F})$ of $\bar{F}$,

where $C_{1}$ and $C_{2}$ are two principal components of $\bar{F}\left(C_{1}, C_{2}\right.$ may be the same), $n\left(C_{j}\right)=\operatorname{mult}_{C_{j}}(\bar{F})(j=1,2), \gamma_{k}=\operatorname{mult}_{\Gamma_{k}}(\bar{F})$ $(1 \leq k \leq r)$, and $\Gamma_{k} \cong \mathbb{P}^{1}$ is not a principal component of $\bar{F}$. Then we call $\mathcal{C}$ a principal chain between $C_{1}$ and $C_{2}$, and we set $\mathcal{C}=\left\langle C_{1}, C_{2}\right\rangle$ if there is no confusion. Set $d(\mathcal{C})=\operatorname{gcd}\left(\gamma_{0}, \gamma_{1}, \ldots, \gamma_{r+1}\right)$, and $\lambda_{j}=n\left(C_{j}\right) / d(\mathcal{C})$. Note that each $\tilde{C}_{j} \in \Pi^{-1}\left(C_{j}\right)$ connects with at least one of the $d(\mathcal{C})$ inverse images of $\mathcal{C}$ in $\tilde{F}$, so $l\left(C_{j}\right) \leq d(\mathcal{C})$ and $\lambda_{j} \leq n_{C_{j}}$. Let $0 \leq \sigma_{j}<\lambda_{j}$ be integers satisfying

$$
\begin{equation*}
\sigma_{1} \equiv \frac{\gamma_{1}}{d(\mathcal{C})}\left(\bmod \lambda_{1}\right), \quad \sigma_{2} \equiv \frac{\gamma_{r}}{d(\mathcal{C})}\left(\bmod \lambda_{2}\right) \tag{2.3}
\end{equation*}
$$

We denote by $P C(\bar{F})$ all the principal chains of $\bar{F}$. If all the nodes of $\Pi^{-1}(p)$ are of type $i$ for any node $p$ of $\mathcal{C}$, then we call $\mathcal{C}$ a principal chain of type $i$. Denote by $P C_{i}(\bar{F})$ all the principal chains of $\bar{F}$ of type $i$.

By Zariski's Lemma [1, III Lemma 8.2], we know that $\gamma_{i-1}+\gamma_{i+1} \equiv 0\left(\bmod \gamma_{i}\right)(i=1, \ldots, r)$. Hence if $\sigma_{1}=0$, then $\gamma_{0} \mid \gamma_{i}$ $(i=1,2, \ldots, r+1)$, and $\lambda_{1}=1$. Similarly, if $\sigma_{2}=0$, then $\lambda_{2}=1$. Let $\mathcal{C}=\left\langle C_{1}, C_{2}\right\rangle$ be a principal chain in Definition 2.1, we define

$$
\begin{equation*}
H(\mathcal{C}):=\sum_{i=0}^{r} \frac{\operatorname{gcd}\left(\gamma_{i}, \gamma_{i+1}\right)^{2}}{\gamma_{i} \gamma_{i+1}} . \tag{2.4}
\end{equation*}
$$

Lemma 2.2. Let $\mathcal{C}=\left\langle C_{1}, C_{2}\right\rangle$ be a principal chain of $\bar{F}$, then

$$
\begin{equation*}
H(\mathcal{C})=\frac{d(\mathcal{C}) \mu_{1}}{n\left(C_{1}\right)}+\frac{d(\mathcal{C}) \mu_{2}}{n\left(C_{2}\right)}+K(\mathcal{C})=\frac{\mu_{1}}{\lambda_{1}}+\frac{\mu_{2}}{\lambda_{2}}+K(\mathcal{C}) \tag{2.5}
\end{equation*}
$$

where $K(\mathcal{C}) \geq-1$ is an integer, and $\mu_{1}, \mu_{2}$ are integers with

$$
0<\mu_{1} \leq \lambda_{1}, \quad \sigma_{1} \mu_{1} \equiv 1\left(\bmod \lambda_{1}\right), \quad 0<\mu_{2} \leq \lambda_{2}, \quad \sigma_{2} \mu_{2} \equiv 1\left(\bmod \lambda_{2}\right)
$$

In particular, we have that

$$
\begin{equation*}
H(\mathcal{C}) \geq \frac{1}{\operatorname{lcm}\left(\lambda_{1}, \lambda_{2}\right)} \geq \frac{1}{\lambda_{1} \lambda_{2}} \geq \frac{1}{n_{C_{1}} n_{C_{2}}} \tag{2.6}
\end{equation*}
$$

Proof. Without loss of generality, we may assume that $d(\mathcal{C})=1$. Hence $\operatorname{gcd}\left(\gamma_{i}, \gamma_{i+1}\right)=1$ by Zariski's Lemma, and (2.5) is Lemma 5.2 (1) in [8]. Now we only need to prove the integer $K(\mathcal{C}) \geq-1$. If $\mu_{1}=\lambda_{1}$ and $\mu_{2}=\lambda_{2}$, then $\lambda_{1}=\lambda_{2}=1$ (see NB below Lemma 5.2 (1) in [8]). So $H(\mathcal{C})$ is a positive integer for $H(\mathcal{C})>0$, and then $K(\mathcal{C}) \geq-1$. For the remaining case, $0<\mu_{1} / \lambda_{1}+\mu_{2} / \lambda_{2}<2$, and thus $K(\mathcal{C}) \geq-1$. Note that (2.6) is obtained from that $\operatorname{lcm}\left(\lambda_{1}, \lambda_{2}\right) H(\mathcal{C})$ is an integer bigger than 0 by (2.5).

## 3. Proof of theorems

Firstly, we give a formula of $\delta_{i}(f)$.
Lemma 3.1. Let $F$ be a singular fiber of $f$, and $\bar{F}$ be its minimal normal crossing model, then

$$
\begin{equation*}
\delta_{i}(F)=\sum_{\mathcal{C} \in P C_{i}(\bar{F})} H(\mathcal{C}), \quad i=0,1, \ldots,[g / 2] \tag{3.1}
\end{equation*}
$$

Proof. Suppose $\bar{F}=n\left(C_{1}\right) C_{1}+\cdots+n\left(C_{t}\right) C_{t}$. Let $\tilde{F}$ be a $d$-th semistable model of $F$ with $n\left(C_{i}\right) \mid d(i=1,2, \ldots, t$ ), and $q$ be a node of $\tilde{F}$. By definition, $q$ is a node of $\tilde{F}$ of type $i$ if and only if $p=\Pi(q)$ is a node of some principal chain of $\bar{F}$ of type $i$. We may assume $p \in C_{1} \cap C_{2}$, then, by [10, Lemma 1.4], there are $d_{p}=\operatorname{gcd}\left(n\left(C_{1}\right), n\left(C_{2}\right)\right)$ disjoint curves of type $A_{n}$, where $n$ satisfies

$$
\frac{\#\{q \in \tilde{F}: q \text { is a node, } \Pi(q)=p\}}{d}=\frac{d_{p}(n+1)}{d}=\frac{\operatorname{gcd}\left(n\left(C_{1}\right), n\left(C_{2}\right)\right)^{2}}{n\left(C_{1}\right) n\left(C_{2}\right)}
$$

Then the result is directly from the definition of $\delta_{i}(F)$.
Theorem 3.2. Let $F_{1}, \ldots, F_{s}$ be all the singular fibers of $f$, and $P C_{i}(\bar{f})=P C_{i}\left(\bar{F}_{1}\right) \cup \cdots \cup P C_{i}\left(\bar{F}_{s}\right)$, for $0 \leq i \leq[g / 2]$. Then

$$
\begin{equation*}
\delta_{i}(f)=\sum_{\mathcal{C} \in P C_{i}(\bar{f})} H(\mathcal{C})=\sum_{\mathcal{C} \in P C_{i}(\bar{f})}\left(\frac{d(\mathcal{C}) \mu_{1, \mathcal{C}}}{n\left(C_{1, \mathcal{C}}\right)}+\frac{d(\mathcal{C}) \mu_{2, \mathcal{C}}}{n\left(C_{2, \mathcal{C}}\right)}+K(\mathcal{C})\right) . \tag{3.2}
\end{equation*}
$$

Here we add $\mathcal{C}$ to each notation in order to differentiate, and the meaning of each notation is the same as that in Lemma 2.2.
Proof. It is directly from (2.2), Lemma 2.2 and Lemma 3.1.

Now we prove two lemmas which play an important role in the proof of the main theorems.
Lemma 3.3. Let $F$ be a singular fiber of genus $g \geq 2, C$ be a principal component of $\bar{F}$, and $\tilde{C}$ be an element of $\Pi^{-1}(C)$. Then
(1) if either $g(\tilde{C}) \geq 2$ or $g(\tilde{C})=1$ and $\left.\Pi\right|_{\tilde{C}}: \tilde{C} \rightarrow C$ is ramified, then $n_{C} \leq 4 g(\tilde{C})+2$;
(2) if $g(\tilde{C})=1$ and $\left.\Pi\right|_{\tilde{C}}: \tilde{C} \rightarrow C$ is un-ramified, then $n_{C} \leq 2(g-1)$;
(3) assume $g(\tilde{C})=0$. If $\tilde{C}$ is not principal, then $n_{C}=2$. If $\tilde{C}$ is principal, then $n_{C} \leq \min \left\{g+1-p_{a}(\tilde{F}-\tilde{C})\right.$, $\left.g+1\right\}$, where $p_{a}(\tilde{F}-\tilde{C})$ is the arithmetic genus of $\tilde{F}-\tilde{C}$.

In particular, $n_{C} \leq 4 g+2$.
Proof. (1). See Lemma B in [13].
(2). We denote also by $C$ the component corresponding to $C$ in $F$, then $n(C)=\operatorname{mult}_{C}(\bar{F})=\operatorname{mult}_{C}(F)$. Since $K_{X / Y}$ is nef, $n(C) C K_{X / Y} \leq F K_{X / Y}=2 g-2$. If $C K_{X / Y}=0$, then $C$ is a (-2)-curve, which is impossible. Therefore, $C K_{X / Y} \geq 1$, and $n(C) \leq 2 g-2$.
(3). If $\tilde{C}$ is not principal, then $\tilde{C}(\tilde{F}-\tilde{C})=2$ for $\tilde{F}$ is semistable and $n_{C} \neq 1$ for $C$ is a rational principal component of $\bar{F}$. Since $g(\tilde{C})=0$ and $\left.\Pi\right|_{\tilde{C}}: \tilde{C} \rightarrow C$ is a cyclic covering of degree $n_{C}$, we know that there are exactly two branched points on $C$. Because $C$ meets other components of $\bar{F}_{\text {red }}$ at no less than three points, there is a third point on $C$, which is the intersection of $C$ with some other component of $\bar{F}$, say $D$. Then $n(C) \mid n(D)=\operatorname{mult}_{D}(\bar{F})$. Thus $\tilde{C}$ meets $\Pi^{-1}(D)$ in at least $n_{C}$ points. Hence $n_{C} \leq \tilde{C} \cdot \Pi^{-1}(D) \leq \tilde{C}(\tilde{F}-\tilde{C})=2$.

If $\tilde{C}$ is principal, set $\tilde{C} \cap(\tilde{F}-\tilde{C})=\left\{q_{1}, \ldots, q_{m}\right\}$, then $m=\tilde{C}(\tilde{F}-\tilde{C}) \geq 3$. Since

$$
p_{a}(\tilde{F})=p_{a}(\tilde{F}-\tilde{C})+p_{a}(\tilde{C})+\tilde{C}(\tilde{F}-\tilde{C})-1=p_{a}(\tilde{F}-\tilde{C})+m-1,
$$

we know that $m=g+1-p_{a}(\tilde{F}-\tilde{C})$. Let $\sigma \in \operatorname{Aut}(\tilde{C})$ be the induced cyclic automorphism of $\tilde{C}$, then $\sigma\left(q_{i}\right) \in\left\{q_{1}, \ldots, q_{m}\right\}$ for any $1 \leq i \leq m$. Denote by $\tau$ the restriction action of $\sigma$ on $\left\{q_{1}, \ldots, q_{m}\right\}$, then $\tau$ is a permutation. Let $\tau=\tau_{1} \cdot \tau_{2} \cdots \tau_{k}$ be the decomposition of $\tau$ into disjoint cycles, and let $m_{0}=\max \left\{\left|\tau_{1}\right|, \ldots,\left|\tau_{k}\right|\right\}$ where $\left|\tau_{i}\right|$ is the length of the cycle $\tau_{i}$. Because these $m_{0}$ points induce either $m_{0}-1$ cycles or $m_{0}$ curves $E_{k}$ 's with $p_{a}\left(E_{k}\right) \geq 1$ in $\tilde{F}$ by semi-stability of $\tilde{F}$, we have $m_{0} \leq g+1$. If $m_{0} \leq 2$, then $\tau^{m_{0}}$ fixes all the $m$ points. If $m_{0} \geq 3$, then $\tau^{m_{0}}$ fixes $m_{0} \geq 3$ points. In all cases, $\tau^{m_{0}}$ fixes no less than 3 points. So $\sigma^{m_{0}}$ is the identity map and $n_{C}=m_{0} \leq \min \{m, g+1\}$.

Lemma 3.4. Let $\mathcal{C}=\left\langle C_{1}, C_{2}\right\rangle \in P C(\bar{F})$, and $\tilde{\mathcal{C}}=\left\langle\tilde{C}_{1}, \tilde{C}_{2}\right\rangle$ be a principal chain of $\tilde{F}$ with $\Pi(\tilde{\mathcal{C}})=\mathcal{C}$. If $g\left(\tilde{C}_{1}\right)=1$ and $\tilde{C}_{1} \rightarrow C_{1}$ is un-ramified, then

$$
H(\mathcal{C}) \geq \frac{1}{4 g-2}
$$

Proof. Since $\tilde{C}_{1} \rightarrow C_{1}$ is un-ramified, we have that $n\left(C_{1}\right) \mid$ mult $_{D}(\bar{F})=n(D)$ for any irreducible component $D$ of $\bar{F}$ intersecting with $C_{1}$. Moreover, $n\left(C_{1}\right)=d(\mathcal{C})$ and $\lambda_{1}=1$ by Zariski's Lemma. We know that $n_{C_{2}} \leq 4(g-1)+2=4 g-2$ by Lemma 3.3, and then by Lemma 2.2

$$
H(\mathcal{C})=\frac{d(\mathcal{C}) \mu_{1}}{n\left(C_{1}\right)}+\frac{d(\mathcal{C}) \mu_{2}}{n\left(C_{2}\right)}+K(\mathcal{C}) \geq \frac{1}{n_{C_{2}}} \geq \frac{1}{4 g-2}
$$

Proof of Theorem 1.4. (1) If $\delta_{0}(F) \neq 0$, then there is a principal chain $\mathcal{C}=\left\langle C_{1}, C_{2}\right\rangle \in P C_{0}(\bar{F})$. If $C_{1}=C_{2}$, then by Lemma 2.2, 3.1 and 3.3 , we know that

$$
\delta_{0}(F) \geq H(\mathcal{C}) \geq \frac{1}{\operatorname{lcm}\left(\lambda_{1}, \lambda_{2}\right)}=\frac{1}{\lambda_{1}} \geq \frac{1}{n_{C_{1}}} \geq \frac{1}{4 g+2}
$$

If $g\left(\tilde{C}_{1}\right)=g\left(\tilde{C}_{2}\right)=0$, then $n_{C_{j}} \leq g+1(j=1,2)$ by Lemma $3.3(3)$, and $\delta_{0}(F) \geq 1 /(g+1)^{2}$ by (2.6).
Now we may assume that $C_{1}$ and $C_{2}$ are distinct and $g\left(\tilde{C}_{2}\right) \geq 1$. Then $g \geq g\left(\tilde{C}_{1}\right)+g\left(\tilde{C}_{2}\right)+1$ by [13, Lemma A]. So the rest are the following three cases.
(i) If $g\left(\tilde{C}_{1}\right)=0$, let $g\left(\tilde{C}_{2}\right)=a$, then $1 \leq a \leq g-1$ and $p_{a}\left(\tilde{F}-\tilde{C}_{1}\right) \geq a$. Thus $n_{C_{1}} \leq g+1-a, n_{C_{2}} \leq 4 a+2$. So

$$
\delta_{0}(F) \geq H(\mathcal{C}) \geq \frac{1}{n_{C_{1}} n_{C_{2}}} \geq \frac{1}{(g+1-a)(4 a+2)} \geq \frac{1}{4 g^{2}}
$$

(ii) If $g\left(\tilde{C}_{j}\right)=1$, and $\tilde{C}_{j} \rightarrow C_{j}$ is un-ramified for $j=1$ or $j=2$. Then by Lemma 3.4, we have $\delta_{0}(F) \geq H(\mathcal{C}) \geq 1 /(4 g-2)$.
(iii) In the remaining cases, we may assume that $n_{C_{1}} \leq 4 \alpha+2$ where $\alpha=g\left(\tilde{C}_{1}\right) \geq 1$, and $n_{C_{2}} \leq 4(g-\alpha-1)+2$. Thus

$$
\begin{equation*}
\delta_{0}(F) \geq H(\mathcal{C}) \geq \frac{1}{n_{C_{1}} n_{C_{2}}} \geq \frac{1}{(4 \alpha+2)(4(g-\alpha)-2)} \geq \frac{1}{4 g^{2}} \tag{3.3}
\end{equation*}
$$

(2) If $i \geq 1$ and $\delta_{i}(F) \neq 0$, then there is a principal chain $\mathcal{C}=\left\langle C_{1}, C_{2}\right\rangle \in P C_{i}(\bar{F})$. Denote by $\tilde{\mathcal{C}}=\left\langle\tilde{C}_{1}, \tilde{C}_{2}\right\rangle$ a principal chain of $\tilde{F}$ with $\Pi(\tilde{\mathcal{C}})=\mathcal{C}$. Let $\tilde{p}$ be the intersection point of $\tilde{C}_{1}$ with other components of $\tilde{\mathcal{C}}$, and let $B l_{\tilde{p}}(\tilde{F})$ be the blow-up of $\tilde{F}$ at $\tilde{p}$. Let $\tilde{F}_{1}$ and $\tilde{F}_{2}$ be the two connected components of $B l_{\tilde{p}}(\tilde{F})$, with $\tilde{C}_{1} \subseteq \tilde{F}_{1}, p_{a}\left(\tilde{F}_{1}\right)=i$ and $p_{a}\left(\tilde{F}_{2}\right)=g-i$.

By Lemma 3.4, we may assume that if $g\left(C_{j}\right)=1(j=1,2)$, then $\tilde{C}_{j} \rightarrow C_{j}$ is ramified in the following. If $g\left(\tilde{C}_{1}\right)=0$, then $n_{C_{1}} \leq g+1-p_{a}\left(\tilde{F}_{2}\right)=i+1$. If $g\left(\tilde{C}_{1}\right) \geq 1$, then $n_{C_{1}} \leq 4 p_{a}\left(\tilde{F}_{1}\right)+2=4 i+2$. Hence $n_{C_{1}} \leq 4 i+2$ always holds true. Similarly, $n_{C_{2}} \leq 4(g-i)+2$. Thus the result is directly from (2.6).

Proof of Theorem 1.3. For $g \geq 2$, the result is from the following two cases by Theorem 1.2 and 1.4:
Case 1: $\delta_{0}(f)>0$. Then

$$
\inf _{\operatorname{Din}^{1}(\bar{C})} a^{\prime}(D) \geq \frac{1}{4 g+2} \frac{(g-1)^{2}}{2 g(7 g+5)} \delta_{0}(f) \geq \frac{(g-1)^{2}}{8 g^{3}(4 g+2)(7 g+5)} .
$$

Case 2: $\delta_{0}(f)=0$. Since $\delta(f)>0$, we have $\delta_{i}(f)>0$ for some $i>0$. Then

$$
\begin{aligned}
\inf _{D \in \operatorname{Div}^{1}(\bar{C})} a^{\prime}(D) & \geq \frac{1}{4 g+2} \frac{2 i(g-i)}{g} \delta_{i}(f) \geq \frac{2 i(g-i)}{(4 g+2) g((4 i+2)(4(g-i)+2)} \\
& \geq \frac{(g-1)^{2}}{8 g^{3}(4 g+2)(7 g+5)} .
\end{aligned}
$$

## Acknowledgements

The authors would like to thank Prof. Shouwu Zhang for his helpful comments. They are very grateful to Prof. Jun Lu for his discussion for a long time. They also thank the referee for many valuable suggestions. This work is supported by the National Natural Science Foundation of China (NSFC Grant No. 11601504) and the Fundamental Research Funds of the Central Universities (No. DUT16RC(3)072).

## References

[1] W. Bath, C. Peters, A. Van de Ven, Compact Complex Surfaces, Springer-Verlag, 1984.
[2] L. Caporaso, On certain uniformity properties of curves over function fields, Compos. Math. 130 (1) (2002) 1-19.
[3] Z. Cinkir, Zhang's conjecture and the effective Bogomolov conjecture over function fields, Invent. Math. 183 (3) (2011) 517-562.
[4] Z. Cinkir, Admissible invariants of genus 3 curves, Manuscr. Math. 148 (3) (2015) 317-399.
[5] M. Cornalba, J. Harris, Divisor classes associated to families of stable varieties, with applications to the moduli space of curves, Ann. Sci. Éc. Norm. Supér. 21 (1988) 455-475.
[6] X.W.C. Faber, The geometric Bogomolov conjecture for curves of small genus, Exp. Math. 18 (3) (2009) 347-367.
[7] J. Lu, S.-L. Tan, Inequalities between the Chern numbers of a singular fiber in a family of algebraic curves, Trans. Amer. Math. Soc. 365 (2013) 3373-3396.
[8] Y. Matsumoto, J.M. Montesinos-Amilibia, Pseudo-Periodic Maps and Degeneration of Riemann Surfaces, Lecture Notes in Mathematics, vol. 2030, Springer-Verlag, 2011.
[9] A. Moriwaki, Relative Bogomolov's inequality and the cone of positive divisors on the moduli space of stable curves, J. Amer. Math. Soc. 11 (1998) 569-600.
[10] S.-L. Tan, On the base changes of pencils of curves, II, Math. Z. 222 (1996) 655-676.
[11] S.-L. Tan, Chern numbers of a singular fiber, modular invariants and isotrivial families of curves, Acta Math. Vietnam. 35 (1) (2010) 159-172.
[12] S.-L. Tan, Chern numbers of a differential equation, preprint.
[13] G. Xiao, On the stable reduction of pencils of curves, Math. Z. 203 (1990) 379-389.
[14] S.-w. Zhang, Admissible pairing on a curve, Invent. Math. 112 (1) (1993) 171-193.
[15] S.-w. Zhang, Gross-Schoen cycles and dualising sheaves, Invent. Math. 179 (1) (2010) 1-73.


[^0]:    E-mail addresses: xlliu1124@dlut.edu.cn (X.-L. Liu), sltan@math.ecnu.edu.cn (S.-L. Tan).
    http://dx.doi.org/10.1016/j.crma.2017.01.003
    1631-073X/© 2017 Académie des sciences. Published by Elsevier Masson SAS. All rights reserved.

