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Differential geometry

# Three-manifolds of constant vector curvature one

Variétés de dimension trois à courbure vectorielle constante un

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#### ABSTRACT

A Riemannian manifold has  $CVC(\epsilon)$  if its sectional curvatures satisfy  $\sec \le \epsilon$  or  $\sec \ge \epsilon$ pointwise, and if every tangent vector lies in a tangent plane of curvature  $\epsilon$ . We present a construction of an infinite-dimensional family of compact CVC(1) three-manifolds.

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## RÉSUMÉ

Une variété riemannienne est dite  $CVC(\epsilon)$  si sa courbure sectionnelle satisfait ponctuellement sec  $\leq \epsilon$  ou sec  $\geq \epsilon$  et si chaque vecteur tangent appartient à un plan tangent de courbure  $\epsilon$ . Nous construisons une famille de dimension infinie de variétés compactes de dimension 3, qui sont CVC(1).

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#### 1. Introduction

A Riemannian manifold has *constant vector curvature*  $\varepsilon$  if every tangent vector lies in a 2-plane of curvature  $\varepsilon$  and has *pointwise extremal curvature*  $\varepsilon$  if the sectional curvatures satisfy sec  $\geq \varepsilon$  or sec  $\leq \varepsilon$  pointwise. A manifold has  $CVC(\varepsilon)$  when it has both constant vector curvature  $\varepsilon$  and pointwise extremal curvature  $\varepsilon$ .

The study of  $CVC(\varepsilon)$  manifolds began with [12], motivated by rank-rigidity theorems as in [1–6,8,11,15–17]. Classification results in [12] demonstrate the rigid nature of *finite volume*  $CVC(\varepsilon)$  three-manifolds with  $\varepsilon \leq 0$ . When  $\varepsilon = -1$ , they are all locally homogeneous. When  $\varepsilon = 0$ , components of non-isotropic points admit Riemannian product decompositions. These rigidity results fail without the finite volume assumption by [9,13,14].

Here, we illustrate the relative flexibility of this curvature condition when  $\varepsilon > 0$ . We construct an infinite-dimensional family of *compact* CVC(1) three-manifolds. These manifolds also satisfy the following spherical rank condition: Each geodesic  $\gamma(t)$  admits a Jacobi field J(t) with  $\sec(\dot{\gamma}, J)(t) \equiv 1$ . Contrastingly, in dimension three, only the spherical space forms satisfy the (a posteriori more stringent) spherical rank condition obtained by replacing Jacobi fields with parallel fields [8].

Our construction "deforms" compact locally homogeneous three-manifolds admitting a Riemannian submersion to a constant curvature surface. For  $c \in \mathbb{R}$ , let *G* denote SU(2), the Heisenberg group, or  $SL_2(\mathbb{R})$  when c < 1, c = 1, or c > 1,

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respectively. Let  $\Gamma$  be a cocompact lattice in *G*. The parameter *c* and lattice  $\Gamma$  determine the deformed Riemannian submersion:

The group G admits a left-invariant framing  $\{e_1, e_2, e_3\}$  with

$$[e_1, e_2] = -2e_3, \quad [e_1, e_3] = (1 - c)e_2, \quad [e_2, e_3] = -(1 - c)e_1.$$

This framing is orthonormal for a metric satisfying

(1) every tangent plane containing the vector  $e_3$  has curvature 1,

- (2) the tangent plane spanned by  $e_1$  and  $e_2$  has curvature  $\lambda = -(2c + 1)$ ,
- (3) all sectional curvatures lie between 1 and  $\boldsymbol{\lambda},$  and
- (4) the vector field  $e_3$  is Killing.

By (1) and (3), the metric Lie group *G* has CVC(1). By (4), the  $e_3$ -orbit space  $\Sigma$  admits a metric making the orbit map  $G \to \Sigma$  a Riemannian submersion; this metric has constant Gaussian curvature  $K = \lambda + 3 = 2(1 - c)$  by [10].

The lattice  $\Gamma$  acts by isometric left-translations on G with compact locally homogeneous quotient  $(M_c, g_0)$ . The invariant framing  $\{e_1, e_2, e_3\}$  induces an orthonormal framing  $\{\bar{e}_1, \bar{e}_2, \bar{e}_3\}$  of  $(M_c, g_0)$  satisfying (1)–(4) above. Up to a finite cover of  $M_c$ ,  $\bar{e}_3$  generates a free circle action, inducing a Riemannian submersion  $\pi : (M_c, g_0) \rightarrow (S_c, s_0)$  with target a compact surface of constant curvature 2(1 - c).

We regard  $(M_c, g_0)$  as a "model" CVC(1) three-manifold. The CVC *Transform* presented below deforms  $g_0$  into a family of locally inhomogeneous CVC(1) metrics on  $M_c$  parameterized by a function space on  $S_c$ . While this construction shows that locally inhomogeneous CVC(1) metrics abound, preliminary analysis suggests that the following uniformization conjecture holds:

**Conjecture.** If (M, g) is a closed CVC(1) three-manifold, then the underlying smooth manifold M is a locally homogeneous space and admits a locally homogeneous CVC(1) metric as described above.

## 2. Frame certification of CVC(1)

Let  $\{w_i\}_{i=1}^3$  be an orthonormal framing of  $(X^3, g)$  satisfying:

$$[w_1, w_2] = \alpha w_1 + \beta w_2 - 2w_3, \quad [w_1, w_3] = kw_2, \quad [w_2, w_3] = -kw_1, \tag{2.1}$$

with  $\alpha$ ,  $\beta$  smooth functions on X and  $k \in \mathbb{R}$ . By Koszul's formula,

$\nabla_{w_1} w_3 = w_2$	$\nabla_{w_2} w_3 = -w_1$	
$\nabla_{w_3} w_1 = (1-k)w_2$	$\nabla_{w_3} w_2 = -(1-k)w_1$	
$\nabla_{w_2} w_1 = -\beta w_2 + w_3$	$\nabla_{w_2} w_2 = \beta w_1$	(2.2)
$\nabla_{w_1}w_2 = \alpha w_1 - w_3$	$\nabla_{w_1} w_1 = -\alpha w_2$	
7	$\nabla_{w_3} w_3 = 0.$	

By (2.2), the Laplacian  $\Delta = \sum_i w_i w_i - \nabla_{w_i} w_i$  and curvature components  $R_{ijkl} = g(\nabla_{w_i} \nabla_{w_j} w_k - \nabla_{w_j} \nabla_{w_i} w_k - \nabla_{[w_i,w_j]} w_k, w_l)$  simplify as

$$\Delta = w_1 w_1 + w_2 w_2 + w_3 w_3 - \beta w_1 + \alpha w_2, \tag{2.3}$$

$$R_{1221} = (2k-3) - (w_2(\alpha) - w_1(\beta) + \alpha^2 + \beta^2),$$
(2.4)

$$R_{1331} = R_{2332} = 1, (2.5)$$

$$R_{1213} = R_{1223} = R_{1323} = 0. (2.6)$$

The symmetries  $R_{ijkl} = R_{klij} = -R_{jikl}$  determine the remaining components.

**Lemma 2.1.** A 2-plane with unit-normal vector  $n = \sum_{i=1}^{3} c_i w_i$  has sectional curvature sec  $= c_1^2 + c_2^2 + c_3^2 R_{1221}$ .

**Proof.** By (2.6),  $\{w_i\}$  diagonalizes Ricci. Now substitute (2.5) into [12, Lemma 2.2].

**Proposition 2.2.** If  $(X^3, g)$  admits an orthonormal framing as in (2.1), then

(1) (X, g) is CVC(1).

(2)  $w_3$  is Killing.

(3) Each geodesic  $\gamma(t)$  in X admits a Jacobi field J(t) with  $\sec(\dot{\gamma}, J)(t) \equiv 1$ .

**Proof.** By Lemma 2.1, the sectional curvatures lie between 1 and  $R_{1221}$  pointwise, and every tangent 2-plane containing the vector  $w_3$  has curvature one. Proposition-(1) follows. By (2.2),  $v \mapsto \nabla_v w_3$  is skew-symmetric, implying Proposition-(2). As Killing fields restrict to Jacobi fields, Proposition-(3) is immediate for geodesics that are *not* tangent to  $w_3$ .

For a geodesic  $\gamma(t)$  tangent to  $w_3$ , first use the fact that if  $\{x, y, w_3\}$  is an orthonormal frame at a point, then the function

$$R(\cos(t)x + \sin(t)y, w_3, w_3, \cos(t)x + \sin(t)y)$$

is identically one from which it follows that  $R(x, w_3)w_3 = x$ . Now if V(t) is a unit-orthogonal and parallel field along  $\gamma(t)$ , then  $J(t) = (\cos(t) + \sin(t))V(t)$  is a Jacobi field with the desired property.  $\Box$ 

## 3. The CVC transform

Let  $\pi: (M_c, g_0) \to (S_c, s_0)$  and  $\{\bar{e}_i\}_{i=1}^3$  be as in the introduction. Then

$$[\bar{e}_1, \bar{e}_2] = -2\bar{e}_3, \quad [\bar{e}_1, \bar{e}_3] = (1-c)\bar{e}_2, \quad [\bar{e}_2, \bar{e}_3] = -(1-c)\bar{e}_1. \tag{3.1}$$

This framing satisfies (2.1) with  $\alpha = \beta = 0$  and k = (1 - c). For  $h \in C^{\infty}(S_c)$ , let  $s_h = e^{-2h}s_0$ . The Gaussian curvature of  $s_h$  is

$$K_h = e^{2h} (\Delta_{s_0} h + 2(1-c)),$$

where  $\Delta_{s_0}$  is the Laplacian for  $(S_c, s_0)$ . By (2.3), the Laplacian of  $(M_c, g_0)$  is given by

$$\Delta_{g_0} = \bar{e}_1 \bar{e}_1 + \bar{e}_2 \bar{e}_2 + \bar{e}_3 \bar{e}_3. \tag{3.2}$$

For each  $\phi \in C^{\infty}(S_c)$ ,

$$\Delta_{g_0} \pi^*(\phi) = \pi^*(\Delta_{s_0}\phi). \tag{3.3}$$

Let  $ds_0$  denote the Riemannian area form for  $s_0$  and define

$$\mathcal{F} = \{h \in C^{\infty}(S_c) \mid \int_{S_c} (1 - e^{-2h}) \, \mathrm{d}s_0 = 0\}.$$

For  $h \in \mathcal{F}$  there exists  $f \in C^{\infty}(S_c)$  such that

$$\Delta_{s_0} f = 2(1 - e^{-2h}). \tag{3.4}$$

The derivation  $e_3$  annihilates  $H = \pi^*(h)$ ,  $F = \pi^*(f)$ , and G = H + (1 - c)F.

**Definition 3.1.** The CVC-transform of  $g_0$  determined by  $h \in \mathcal{F}$  is the orthonormalizing metric for the framing

$$e_1 = e^H(\bar{e}_1 - \bar{e}_2(F)\bar{e}_3), \ e_2 = e^H(\bar{e}_2 + \bar{e}_1(F)\bar{e}_3), \ e_3 = \bar{e}_3.$$
 (3.5)

Given  $h \in \mathcal{F}$ , let  $g_h$  denote the CVC-transform of  $g_0$  determined by h.

**Proposition 3.1.** Let  $\pi : (M_c, g_0) \to (S_c, s_0)$  be a locally homogeneous Riemannian submersion as described above. For each  $h \in \mathcal{F}$ , the CVC-transform  $g_h$  of  $g_0$  satisfies

- (1) The map  $\pi$  is a Riemannian submersion between  $(M_c, g_h)$  and  $(S_c, s_h)$ .
- (2) The three-manifold  $(M_c, g_h)$  has CVC(1) with scalar curvature function  $S_h = 2\lambda_h + 4$  where  $\lambda_h = \pi^*(K_h) 3$ .
- (3) Each complete geodesic  $\gamma(t)$  in  $(M_c, g_h)$  admits a Jacobi field J(t) with  $\sec(\dot{\gamma}, J)(t) \equiv 1$ .

**Proof.** Let  $\{e_i\}_{i=1}^3$  be the orthonormal framing for  $g_h$  defined in (3.5). Part (1) of the Proposition is immediate from the fact that  $e_3 = \bar{e}_3$  and (3.5).

As a preliminary step in proving part (2) of the Proposition, use (3.2)-(3.4) to deduce

$$\bar{e}_1(\bar{e}_1(F)) + \bar{e}_2(\bar{e}_2(F)) = 2(1 - e^{-2H}).$$
(3.6)

Routine, but tedious, calculations using (3.1), (3.5), and (3.6) imply

$$[e_1, e_2] = -e_2(G)e_1 + e_1(G)e_2 - 2e_3, \ [e_1, e_3] = (1 - c)e_2, \ [e_2, e_3] = -(1 - c)e_1.$$

These bracket relations and Proposition 2.2-(1) show that  $(M_c, g_h)$  has CVC(1). To evaluate its scalar curvature, first set  $\lambda_h = \sec(e_1, e_2)$ . By (2.4)–(2.5), it suffices to prove that  $\lambda_h = \pi^*(K_h) - 3$ , where  $K_h = e^{2h}(\Delta_{\bar{s}}h + 2(1 - c))$  is the Gaussian curvature of  $(S_c, s_h)$ . By [10],  $\pi^*(K_h) = \lambda_h + \frac{3}{4} \| [e_1, e_2]^{\vee} \|^2 = \lambda_h + 3$ , concluding the proof of part (2) of the Proposition.

Part (3) of the Proposition is immediate from Proposition 2.2-(3), concluding the proof.  $\Box$ 

**Remark 3.1.** The function space  $\mathcal{F}$  corresponds with the quotient of  $C^{\infty}(S_c)$  by the constant functions. For  $f \in C^{\infty}(S_c)$ , let  $A_f = \operatorname{Area}(S_c, s_f)$ . The map  $C^{\infty}(S_c) \to \mathcal{F}$  defined by  $g \mapsto g - \frac{\ln(A_0) - \ln(A_f)}{2}$  is the natural bijection.

**Remark 3.2.** If  $h_0, h_1 \in \mathcal{F}$  and  $s \in [0, 1]$ , then  $h_s = -\frac{1}{2} \ln((1+s)e^{-2h_0} + se^{-2h_1}) \in \mathcal{F}$ . It follows that the space of transformed metrics  $\{g_h \mid h \in \mathcal{F}\}$  is path-connected.

**Remark 3.3.** The authors of [7] prescribe  $K_h$  in the conformal class of  $s_0$ , up to a diffeomorphism of  $S_c$  and the Gauss–Bonnet obstruction. As such, there is considerable freedom in prescribing the scalar curvatures of compact CVC(1) three-manifolds.

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