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Wenran Liu

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Differential geometry / *Géométrie différentielle*

# Chern characters in equivariant basic cohomology

Wenran Liu<sup>a, b</sup>

<sup>a</sup> SIAE Civil Aviation University of China, 2898 Jinbei Street Dongli District, 300300 Tianjin, China

<sup>b</sup> IMAG Université de Montpellier, Place Eugène Bataillon 34090 Montpellier, France  
E-mail: [wrlu@cauc.edu.cn](mailto:wrlu@cauc.edu.cn)

**Abstract.** The purpose of this Note is to establish a geometric realization of the cohomological isomorphism in the case of a transversely oriented Killing foliation on a compact smooth manifold through equivariant basic Chern characters.

**Résumé.** L'objet de cette Note est d'établir une réalisation géométrique de l'isomorphisme cohomologique dans le cas d'un feuilletage de Killing transversalement orienté sur une variété compacte à travers les caractères de Chern basiques équivariants.

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## 1. Introduction

Let  $(M, \mathcal{F})$  be a smooth compact manifold equipped with a Riemannian foliation  $\mathcal{F}$ . Let  $E = E^+ \oplus E^-$  be a foliated vector bundle over  $M$  and  $D_b^{E^+} : C_{\mathcal{F}\text{-bas}}^\infty(M, E^+) \rightarrow C_{\mathcal{F}\text{-bas}}^\infty(M, E^-)$  be a transversely elliptic basic differential operator on basic sections. Thus, we can consider its basic index  $\text{Index}_b(D_b^{E^+}) \in \mathbb{Z}$ , and a natural question emerges: how to obtain a cohomological formula as Atiyah–Singer index theorem for this invariant. Some (partial) responses have been proposed in the literature for this problem [1, 3, 5]. In the 1990s, El Kacimi proposed to tackle this problem by using Molino's theory. Let us recall the main lines of this proposal. To each transversely oriented Riemannian foliation  $(M, \mathcal{F})$  of codimension  $q$ , Molino associated an oriented manifold  $W$  equipped with an action of the orthogonal group  $SO(q)$ . In this setting, the space of the leaf closures of the foliation  $\mathcal{F}$  exhibits a natural identification with the quotient  $W/SO(q)$ . In [3], El Kacimi constructed a  $SO(q)$ -equivariant bundle  $\mathcal{E}$  over  $W$ . Brüning, Kamber and Richardson [1] studied the basic Dirac operator  $D_b^{E^+}$  over a basic Clifford bundle. An  $SO(q)$ -transversely elliptic operator  $\mathcal{D}^{\mathcal{E}}$  is associated such that  $\text{Index}_b(\mathcal{D}_b^{E^+})$  is equal to the index of  $\mathcal{D}^{\mathcal{E}}$  when restricted to invariant sections. Leveraging this valuable identity, we investigate the acquisition of the  $\text{Index}_b(\mathcal{D}_b^{E^+})$  information from that of  $\mathcal{D}^{\mathcal{E}}$ . And in turn, to obtain an index formula by results in [9].

Let  $H^*(M, \mathcal{F})$  be the basic de Rham cohomology of the foliated manifold  $(M, \mathcal{F})$ . If  $(M, \mathcal{F})$  is a Killing foliation (a priori Riemannian), there is an abelian Lie algebra  $\mathfrak{a}$ , which acts transversely on  $(M, \mathcal{F})$ . The orbit of the leaves of the foliation  $\mathcal{F}$  under the action of  $\mathfrak{a}$  is exactly the leaf closure. Let  $H_{\mathfrak{a}}^*(M, \mathcal{F})$  be the  $\mathfrak{a}$ -equivariant basic de Rham cohomology with polynomial coefficients. Goertsches and Töben [4] proved a cohomological isomorphism  $H_{\mathfrak{a}}^*(M, \mathcal{F}) \simeq H_{so(q)}^*(W)$ . Duflo and Vergne extended the equivariant cohomology to the case of  $C^\infty$ -coefficients in [2]. In this Note, we first present an assumption for the existence of a basic connection and proceed to introduce the definition of the equivariant basic Chern character. Then, we establish a geometric realization of the cohomological isomorphism through equivariant basic Chern characters.

## 2. Equivariant basic Chern character

We use the notation presented in Section 1 in the following definitions.

**Definition 1.** *A foliated principal bundle  $(P, \mathcal{F}_P)$  is a principal bundle  $P$  equipped with a foliation  $\mathcal{F}_P$  such that*

- (1)  $\mathcal{F}_P$  is invariant under the action of the structure group of  $P$ , and
- (2) the projection of  $\mathcal{F}_P$  on  $M$  is  $\mathcal{F}$  and at each point of  $P$ , the projection is an isomorphism.

Let  $(E, \mathcal{F}_E)$  be a foliated hermitian bundle over  $(M, \mathcal{F})$ . In order to define the basic Chern character  $\text{Ch}(E, \mathcal{F}_E) \in H^*(M, \mathcal{F})$ ,  $(E, \mathcal{F}_E)$  must be associated with a foliated principal bundle  $(P, \mathcal{F}_P)$ . Let  $\omega$  be a connection on  $P$ . We denote by  $\mathfrak{X}(\mathcal{F}_P)$  the Lie algebra of the vector fields on  $M$  that are tangent to  $\mathcal{F}_P$ . If  $\mathfrak{X}(\mathcal{F}_P)$  belongs to the kernel of  $\omega$ ,  $\omega$  is said to be adapted to  $\mathcal{F}_P$ . By [6], an adapted connection always exists. If  $\omega$  is invariant with respect to  $\mathfrak{X}(\mathcal{F}_P)$  under the Lie derivative, i.e.,  $\mathcal{L}_Z\omega = 0, \forall Z \in \mathfrak{X}(\mathcal{F}_P)$ , it is a *basic connection*. That is the case for which  $(P, \mathcal{F}_P)$  is a principal  $\mathcal{F}$ -bundle, introduced in [3, 6]. However, in general, the existence of a basic connection cannot be guaranteed; a counterexample is presented in [7, Section 4.1.1].

**Example 2.** Let  $M = S^1 \times S^1$  and  $P = M \times S^1$ . The coordinates are denoted by  $(x, y, z) \in M \times S^1$ , and the foliation  $\mathcal{F}$  on  $M$  is given by  $\partial/\partial x$ . Let  $f \in C^\infty(S^1)$  be a non-constant real function. The foliation  $\mathcal{F}_P$  is generated by  $V = \partial/\partial x + f(y)\partial/\partial z$ .

Let  $\text{Hol}(M, \mathcal{F})$  be the holonomy groupoid of  $(M, \mathcal{F})$ . If a vector bundle  $E$  is equipped with a groupoid action of  $\text{Hol}(M, \mathcal{F})$ , it is  $\text{Hol}(M, \mathcal{F})$ -equivariant. Henceforth, we fix  $P = U(E)$  as the unitary frame bundle of  $E$ . Naturally,  $P$  is also equipped with an action of  $\text{Hol}(M, \mathcal{F})$ . The groupoid action generates a foliation  $\mathcal{F}_P$  on  $P$  such that  $(P, \mathcal{F}_P)$  is a foliated principal bundle. Unfortunately, under these conditions, we also have a counterexample [7, Section 4.2.1]. Therefore, an assumption is necessary to progress further in our work. Let  $\text{Hol}(M, \mathcal{F})_{\mathcal{T}}^{\mathcal{T}}$  be the étale holonomy groupoid restricted on a complete transversal section  $\mathcal{T}$  of  $(M, \mathcal{F})$ . In [5], Gorokhovsky and Lott defined its closure  $\overline{\text{Hol}(M, \mathcal{F})_{\mathcal{T}}^{\mathcal{T}}}$ . Respectively, these restricted bundles on  $\mathcal{T}$  are denoted by  $E|_{\mathcal{T}}$  and  $P|_{\mathcal{T}}$ . We state the assumption as follows.

**Assumption 3.** *The groupoid action of  $\text{Hol}(M, \mathcal{F})_{\mathcal{T}}^{\mathcal{T}}$  on  $E|_{\mathcal{T}}$  extends to a groupoid action of  $\overline{\text{Hol}(M, \mathcal{F})_{\mathcal{T}}^{\mathcal{T}}}$  on  $E|_{\mathcal{T}}$ .*

Under Assumption 3, we can construct a basic connection  $\omega_P$  on  $P$  and the basic Chern character is well-defined. This result is detailed in Section 4. Let  $l(M, \mathcal{F})$  be the Lie algebra of the transverse fields on  $(M, \mathcal{F})$ . Assume that  $(M, \mathcal{F})$  is a Killing foliation. In this setting, the leaf closure  $\overline{\mathcal{F}}$  is given by the action of  $\mathfrak{a}$ , i.e.,  $\mathfrak{a} \cdot \mathcal{F} = \overline{\mathcal{F}}$ , see [4]. We consider the Lie algebroid of  $\overline{\text{Hol}(M, \mathcal{F})_{\mathcal{T}}^{\mathcal{T}}}$ . By [5], it is trivialisable to  $\mathcal{T} \times \mathfrak{a}$ . Let us consider the infinitesimal version of the action of  $\overline{\text{Hol}(M, \mathcal{F})_{\mathcal{T}}^{\mathcal{T}}}$  on  $P|_{\mathcal{T}}$ . We obtain a transverse action  $\mathfrak{a} \rightarrow l(P, \mathcal{F}_P)^G$  (i.e., a Lie

homomorphism) and a commutative diagram of Lie homomorphisms, where  $G$  denotes the structure group of  $P$  and  $(-)^G$  denotes the  $G$ -invariant transverse fields.

$$\begin{array}{ccc}
 & l(P, \mathcal{F}_P)^G & \\
 \nearrow & \downarrow & \\
 \alpha & \longrightarrow & l(M, \mathcal{F})
 \end{array} \tag{1}$$

The diagram (1) is essential for the definition of the equivariant basic Chern character. Additionally, the basic connection  $\omega_P$  must be compatible with the transverse action  $\alpha \rightarrow l(P, \mathcal{F}_P)^G$ . This compatibility is guaranteed because they all result from the action of  $\text{Hol}(M, \mathcal{F})_{\mathcal{F}}$ . Next, the associated bundle  $E$  is considered, we have the following definition.

**Definition 4.** *The  $\alpha$ -equivariant basic Chern character associated with the vector  $\mathcal{F}$ -bundle  $E$  is defined by the following relation*

$$\text{Ch}_\alpha(E, \mathcal{F}_E) = [\text{Ch}_\alpha(E, \mathcal{F}_E, \nabla^E, Y)] \in H_\alpha^\infty(M, \mathcal{F})$$

where,  $\text{Ch}_\alpha(E, \mathcal{F}_E, \nabla^E, Y) = \text{Tr}(e^{-(R^E + \mu^E(Y))})$  with  $R^E$  representing the curvature of  $\nabla^E$  and  $\mu^E$  representing the moment map on  $E$  and  $Y \in \mathfrak{a}$ .

### 3. Our main result

The main result presented in [7] is as follows.

**Theorem 5.** *Each  $\text{Hol}(M, \mathcal{F})$ -equivariant hermitian bundle satisfying Assumption 3 is a vector  $\mathcal{F}$ -bundle.*

**Theorem 6.** *Let  $(M, \mathcal{F})$  be a transversely oriented Killing foliation of codimension  $q$  and  $E \rightarrow (M, \mathcal{F})$  be a hermitian  $\text{Hol}(M, \mathcal{F})$ -equivariant vector bundle. Under Assumption 3, we can associate a  $SO(q)$ -equivariant vector bundle  $\mathcal{E} \rightarrow W$  to  $E$  such that we have a geometric realization of cohomological isomorphism through equivariant basic Chern characters.*

$$H_\alpha(M, \mathcal{F}) \simeq H_{SO(q)}(W), \quad \text{Ch}_\alpha(E, \mathcal{F}_E) \simeq \text{Ch}_{SO(q)}(\mathcal{E}). \tag{2}$$

### 4. Proof of Theorem 5

We use the notation presented in Section 2 in this proof. Evidently, the holonomy groupoid action on  $E$  induces a corresponding action on  $P$ . Under Assumption 3, the  $\text{Hol}(M, \mathcal{F})_{\mathcal{F}}$ -action on  $P|_{\mathcal{F}}$  extends to a  $\overline{\text{Hol}(M, \mathcal{F})_{\mathcal{F}}}$ -action on  $P|_{\mathcal{F}}$ . It is enough to construct a basic connection on  $P$ . We take an adapted connection  $\omega$  on  $(P, \mathcal{F}_P)$  and restrict it on  $P|_{\mathcal{F}}$ , denoted by  $\omega_{\mathcal{F}}$ . By [5], the groupoid  $\text{Hol}(M, \mathcal{F})_{\mathcal{F}}$  is proper. By [10], every proper groupoid admits a Haar system and a “cut-off” function. The cross-product groupoid, denoted by  $\mathcal{G}_P$ , is  $\overline{\text{Hol}(M, \mathcal{F})_{\mathcal{F}}} \times P_{\mathcal{F}}$ . The key step is to average  $\omega_{\mathcal{F}}$  by  $\mathcal{G}_P$ . We lift a Haar system and a “cut-off” function on  $\text{Hol}(M, \mathcal{F})_{\mathcal{F}}$  to  $\mathcal{G}_P$ , denoted by  $\mu^{\mathcal{G}_P}$  and by  $\varphi^{\mathcal{G}_P}$ . We define

$$(\widehat{\omega_{\mathcal{F}}})|_P = \int_{\gamma \in (\mathcal{G}_P)_p} \gamma^*(\omega_{\mathcal{F}}|_{r(\gamma)})\varphi^{\mathcal{G}_P}(r(\gamma))d\mu_p^{\mathcal{G}_P}(\gamma), \quad \forall p \in P_{\mathcal{F}}. \tag{3}$$

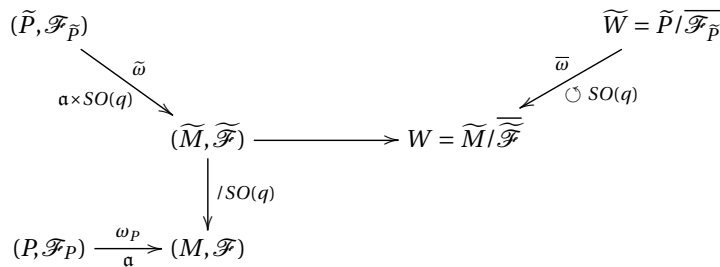
Then, by the holonomy of  $\mathcal{F}_P$ , we construct a connection  $\omega_P$  on  $P$  from  $\widehat{\omega_{\mathcal{F}}}$ . This connection is invariant with respect to  $\mathfrak{X}(\mathcal{F}_P)$ , so it is basic.

### 5. Proof of Theorem 6

With the construction of the basic connection, we establish constructions and calculations on principal bundles for the case in which vector bundles are always associated. By Molino's theory [8], the transversely oriented frame bundle  $\widetilde{M}$  of  $(M, \mathcal{F})$  is naturally equipped with a transversely parallelizable (T.P.) foliation  $\widetilde{\mathcal{F}}$ . In addition,  $(\widetilde{M}, \widetilde{\mathcal{F}})$  is a principal  $\mathcal{F}$ -bundle of structure group  $SO(q)$  equipped with the Bott connection. The space of the leaf closures  $W = \widetilde{M}/\widetilde{\mathcal{F}}$  is a manifold. By [4, Proposition 4.9] [2], the cohomological isomorphism is given by  $H_a^\infty(M, \mathcal{F}) \rightarrow H_{a \times so(q)}^\infty(\widetilde{M}, \widetilde{\mathcal{F}})$  and  $H_{a \times so(q)}^\infty(\widetilde{M}, \widetilde{\mathcal{F}}) \rightarrow H_{so(q)}^\infty(W)$ . The first isomorphism is the inclusion, and the second one is the equivariant Chern–Weil map  $CW_{so(q)}$ . We prove Theorem 6 with two steps below:

**First step.** Consider the pullback bundle  $\widetilde{P}$  of  $P$  over  $(\widetilde{M}, \widetilde{\mathcal{F}})$ . We also lift the foliation  $\mathcal{F}_P$  and the basic connection  $\omega_P$  to  $\widetilde{P}$ , denoted by  $\mathcal{F}_{\widetilde{P}}$  and  $\omega_{\widetilde{P}}$ , respectively. Under Assumption 3, the action of  $\text{Hol}(M, \mathcal{F})_{\mathcal{F}}$  can be lifted to  $\widetilde{P}$ . The lifted groupoid action induces a transverse action  $\alpha \rightarrow l(\widetilde{P}, \mathcal{F}_{\widetilde{P}})^{G \times SO(q)}$ . Analogous to Definition 4, the  $\alpha \times so(q)$ -equivariant basic Chern character  $\text{Ch}_{\alpha \times so(q)}(\widetilde{E}, \mathcal{F}_{\widetilde{E}}) \in H_{\alpha \times so(q)}^\infty(\widetilde{M}, \widetilde{\mathcal{F}})$  is well-defined where  $\widetilde{E}$  is the pullback bundle of  $E$ . Naturally,  $\text{Ch}_{\alpha \times so(q)}(\widetilde{E}, \mathcal{F}_{\widetilde{E}})$  is the inclusion of  $\text{Ch}_\alpha(E, \mathcal{F}_E)$  as we do the constructions by the "pullback" approach.

**Second step.** The lifted foliation  $(\widetilde{P}, \mathcal{F}_{\widetilde{P}})$  is T.P. just as  $(\widetilde{M}, \widetilde{\mathcal{F}})$ . Again, by Molino's theory, the space of the leaf closure  $\widetilde{W} = \widetilde{P}/\mathcal{F}_{\widetilde{P}}$  is a manifold. The key step is to prove that  $\overline{\mathcal{F}_{\widetilde{P}}}$  is also given by the action of  $\alpha$ , i.e.,  $\alpha \cdot \mathcal{F}_{\widetilde{P}} = \overline{\mathcal{F}_{\widetilde{P}}}$ . Furthermore, the foliation  $\overline{\mathcal{F}_{\widetilde{P}}}$  has no torsion, see [7, Proposition 5.3.2], which infers that  $G$  acts freely on  $\widetilde{W}$ . Thus,  $\widetilde{W} \rightarrow W$  is a principal bundle (see the diagram below).



As can be seen in the diagram, the connection  $\tilde{\omega}$  is a modification of the lifted connection by adding a term of the action of  $\alpha$  such that it is  $\overline{\mathcal{F}_{\widetilde{P}}}$ -basic. By quotient, we have an  $SO(q)$ -invariant connection  $\bar{\omega}$  on  $\widetilde{W}$ . Thus,  $\mathcal{E}$  is the associated bundle  $\widetilde{W} \times_G \mathbb{C}^N$  with  $G = U(N)$  and  $N$  the rank of  $E$ . Hence, the  $so(q)$ -equivariant Chern character  $\text{Ch}_{so(q)}(\mathcal{E}) \in H_{so(q)}^\infty(W)$  is well-defined.

We have explicitly calculated the results to show that  $\text{Ch}_{so(q)}(\mathcal{E}) = CW_{so(q)}(\text{Ch}_{\alpha \times so(q)}(\widetilde{E}, \mathcal{F}_{\widetilde{E}}))$ . We note that  $E$  and  $\mathcal{E}$  have the same rank because  $\mathcal{E}$  is the associated bundle. Therefore, the proof of Theorem 6 is complete.

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