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## Asymptotic formula for large eigenvalues of the two-photon quantum Rabi model

## Formule asymptotique pour les grandes valeurs propres du modèle quantique de Rabi à deux photons

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**Abstract.** We prove that the spectrum of the two-photon quantum Rabi Hamiltonian consists of two eigenvalue sequences  $(E_m^+)_{m=0}^{\infty}$ ,  $(E_m^-)_{m=0}^{\infty}$  satisfying a three-term asymptotic formula with the remainder estimate  $O(m^{-1} \ln m)$  when m tends to infinity. By analogy to the one-photon quantum Rabi model, the leading three terms of this asymptotic formula, describe a generalized rotating-wave approximation for large eigenvalues of the two-photon quantum Rabi model.

**Résumé.** Nous démontrons que le spectre de l'hamiltonien du modèle quantique de Rabi à deux photons est constitué de deux suites de valeurs propres  $(E_m^+)_{m=0}^{\infty}$ ,  $(E_m^-)_{m=0}^{\infty}$  vérifiant une formule asymptotique à trois termes avec l'estimation de l'erreur  $O(m^{-1}\ln m)$  quand m tend vers l'infini. Par analogie avec le modèle quantique de Rabi à un photon, les trois termes dominants dans cette formule asymptotique décrivent l'approximation de l'onde tournante pour les grandes valeurs propres du modèle quantique de Rabi à deux photons.

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#### 1. Introduction

The quantum Rabi model describes the interactions between a two-level system and a single-mode quantum field. It is considered as a fundamental model in various domains of theoretical physics, e.g. cavity optics, a theory of nanostructured semiconductors, superconducting circuits, trapped ions and quantum information. We refer to [15] for an exhaustive overview of theoretical and experimental works in the domain.

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The papers Boutet de Monvel, Naboko, Silva [7,8] and Yanovich [14] (see also [13]) investigate the behaviour of large eigenvalues of operators related to the quantum Rabi model. The paper [9] gives the three-term asymptotic formula for large eigenvalues of the one-photon Rabi model (see Definition 1(1)). The two-term asymptotic formula for the two-photon Rabi model (see Definition 1(2)) is given in [10] and this note gives the three-term asymptotic formula for this model. Concerning the two-photon Rabi model, we refer to [2,5,15].

In Section 2 we give the definition of the basic quantum Rabi model in the one-photon and two-photon case. Our main result is Theorem 2, stated in Section 3. We describe main ingredients of the proof in Sections 4, 5 and 6.

We remark that our result is closely related to the method of the generalized rotating-wave approximation (GRWA), used by a great number of physicists working with the quantum Rabi model. The method takes its name from the famous paper of Irish [6], but the same idea was also used in the paper Feranchuk, Komarov, Ulyanenkov [4] under the name of the zeroth order approximation of the operator method (see also [3]). It appears that in the case of the one-photon quantum Rabi model, the GRWA given by the formula (25) in [4], coincides with the three-term asymptotic formula proved in [9]. In Section 6 we describe the relation between the assertion of Theorem 2 and the GRWA for large eigenvalues of the two-photon quantum Rabi model.

#### 2. Definition of the quantum Rabi model

In what follows,  $\mathbb{Z}$  denotes the set of integers,  $\mathbb{N} = \{n \in \mathbb{Z} : n \ge 0\}$  and  $\ell^2(\mathbb{N})$  is the Hilbert space of square summable sequences  $x : \mathbb{N} \to \mathbb{C}$ . For  $s \ge 0$  we denote

$$\ell^{2,s}(\mathbb{N}) = \left\{ x \in \ell^2(\mathbb{N}) : \sum_{k \in \mathbb{N}} (1 + k^2)^s |x(k)|^2 < \infty \right\}.$$

We define the photon annihilation and creation operators  $\hat{a}$  and  $\hat{a}^{\dagger}$ , as linear maps  $\ell^{2,1/2}(\mathbb{N}) \to \ell^2(\mathbb{N})$  satisfying

$$\hat{a}^{\dagger} e_m = \sqrt{m+1} e_{m+1}$$
 for  $m = 0, 1, 2, ...$   
 $\hat{a} e_m = \sqrt{m-1} e_{m-1}$  for  $m = 1, 2, 3, ...$  and  $\hat{a} e_0 = 0$ ,

where  $\{e_m\}_{m\in\mathbb{N}}$  is the canonical basis of  $\ell^2(\mathbb{N})$ .

**Definition 1.** We fix two real parameters: the energy spacing of the two-level system  $\Delta$  and the coupling constant g.

(1) The Hamiltonian of the one-photon quantum Rabi model is given by the linear map  $\mathbb{C}^2 \otimes \ell^{2,1}(\mathbb{N}) \to \mathbb{C}^2 \otimes \ell^2(\mathbb{N})$  of the form

$$H_1 = \begin{pmatrix} \frac{\Delta}{2} & 0\\ 0 & -\frac{\Delta}{2} \end{pmatrix} \otimes I_{\ell^2(\mathbb{N})} + I_{\mathbb{C}^2} \otimes \widehat{a}^{\dagger} \widehat{a} + \begin{pmatrix} 0 & g\\ g & 0 \end{pmatrix} \otimes \left( \widehat{a}^{\dagger} + \widehat{a} \right). \tag{1}$$

(2) The Hamiltonian of the two-photon quantum Rabi model is given by the linear map  $\mathbb{C}^2 \otimes \ell^{2,1}(\mathbb{N}) \to \mathbb{C}^2 \otimes \ell^2(\mathbb{N})$  of the form

$$H_2 = \begin{pmatrix} \frac{\Delta}{2} & 0\\ 0 & -\frac{\Delta}{2} \end{pmatrix} \otimes I_{\ell^2(\mathbb{N})} + I_{\mathbb{C}^2} \otimes \widehat{a}^{\dagger} \widehat{a} + \begin{pmatrix} 0 & g\\ g & 0 \end{pmatrix} \otimes \left( (\widehat{a}^{\dagger})^2 + \widehat{a}^2 \right). \tag{2}$$

#### 3. Main result

In what follows, we assume 0 < g < 1/2 and introduce

$$\beta := \sqrt{1 - 4g^2}.\tag{3}$$

Let  $H_2^0$  denote the operator given by (2) with  $\Delta = 0$ . If 0 < g < 1/2, then the spectrum of  $H_2^0$  is explicitly known (see [2]): it is composed of the sequence of eigenvalues

$$E_m^0 = m\beta + (\beta - 1)/2, \quad m = 0, 1, 2, \dots$$
 (4)

and each eigenvalue  $E_m^0$  is of multiplicity 2. Thus 0 < g < 1/2 ensures the fact that  $H_2^0$  is self-adjoint and has compact resolvent. Since  $H_2 - H_2^0$  is bounded, the operator  $H_2$  is self-adjoint and has compact resolvent if 0 < g < 1/2. The explicit values of eigenvalues of  $H_2$  are not known when  $\Delta \neq 0$ , but we can described their asymptotic behavior in

**Theorem 2.** Assume that 0 < g < 1/2. Then one can find  $\{v_m^+\}_{m \in \mathbb{N}} \cup \{v_m^-\}_{m \in \mathbb{N}}$ , an orthonormal basis of  $\mathbb{C}^2 \otimes \ell^2(\mathbb{N})$ , such that

$$H_2 v_m^{\pm} = E_m^{\pm} v_m^{\pm}, \quad m = 0, 1, 2, \dots$$

and the eigenvalue sequences  $(E_m^+)_{m\in\mathbb{N}}$ ,  $(E_m^-)_{m\in\mathbb{N}}$ , satisfy the large m estimates

$$E_m^{\pm} = m\beta + (\beta - 1)/2 \pm r_m + O(m^{-1}\ln m)$$
 (5)

with  $r_m$  given by the formula

$$r_{m} = \begin{cases} \frac{\Delta}{2} \sqrt{\frac{\beta}{\pi g m}} \cos((2m+1)\alpha) & \text{if } m \text{ is even} \\ \frac{\Delta}{2} \sqrt{\frac{\beta}{\pi g m}} \sin((2m+1)\alpha) & \text{if } m \text{ is odd} \end{cases}$$
 (6)

where we have denoted

$$\alpha := \arctan\left(\sqrt{\frac{1-2g}{1+2g}}\right). \tag{7}$$

#### Remarks.

- (a) One has  $E_m^{\pm} E_m^0 = O(m^{-1/2})$  in spite of the fact that  $H_2 H_2^0$  is not compact. A similar fact was established in [14] for the one-photon Rabi model.
- (b) Following [12], one can prove that the spectrum of  $H_2$  is not discrete if  $g \ge 1/2$ .

#### 4. Initial reformulations

It is easy to check that the operator  $H_2$  has four closed invariant subspaces:

$$\begin{split} \mathcal{H}_{0}^{-} & \text{ spanned by } \{(1,0) \otimes e_{4k} : k \in \mathbb{N}\} \cup \{(0,1) \otimes e_{4k+2} : k \in \mathbb{N}\} \\ \mathcal{H}_{0}^{+} & \text{ spanned by } \{(0,1) \otimes e_{4k} : k \in \mathbb{N}\} \cup \{(1,0) \otimes e_{4k+2} : k \in \mathbb{N}\} \\ \mathcal{H}_{1}^{-} & \text{ spanned by } \{(1,0) \otimes e_{4k+1} : k \in \mathbb{N}\} \cup \{(0,1) \otimes e_{4k+3} : k \in \mathbb{N}\} \\ \mathcal{H}_{1}^{+} & \text{ spanned by } \{(0,1) \otimes e_{4k+1} : k \in \mathbb{N}\} \cup \{(1,0) \otimes e_{4k+3} : k \in \mathbb{N}\} \\ \end{split}$$

and the matrix of  $H_2$  in a suitable basis of  $\mathcal{H}_{\mu}^{\pm}$   $\mu$  =0, 1, can be written in the form

$$J_{\mu}^{\pm} = \begin{pmatrix} d_{\mu}^{\pm}(0) & b_{\mu}(0) & 0 & 0 & \cdots \\ b_{\mu}(0) & d_{\mu}^{\pm}(1) & b_{\mu}(1) & 0 & \cdots \\ 0 & b_{\mu}(1) & d_{\mu}^{\pm}(2) & b_{\mu}(2) & \cdots \\ 0 & 0 & b_{\mu}(2) & d_{\mu}^{\pm}(3) & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$
(8)

where

$$\begin{split} d^{\pm}_{\mu}(m) &:= 2m + \mu \pm (-1)^m \Delta/2, \\ b_{\mu}(m) &:= g \sqrt{(2m+1+\mu)(2m+2+\mu)}. \end{split}$$

It therefore remains to investigate the asymptotic behavior of eigenvalues of operators defined by  $J_0^-$ ,  $J_0^+$ ,  $J_1^-$  and  $J_1^+$ . For this purpose, we remark that

$$b_{\mu}(m) = 2g(m+\gamma) + O(m^{-1}) \text{ holds with } \gamma := \frac{\mu}{2} + \frac{3}{4}.$$
 (9)

Using the result of Rozenblum stated in Theorem 1.1 of [11], we find that modulo  $O(m^{-1})$ , the asymptotic behaviour of the m-th eigenvalue remains the same if the entries  $\{b_{\mu}(m)\}_{m\in\mathbb{N}}$  are replaced by  $\{2g(m+\gamma)\}_{m\in\mathbb{N}}$  with  $\gamma:=\frac{\mu}{2}+\frac{3}{4}$ . This fact allows us to deduce the assertion of Theorem 2 from

**Theorem 3.** Assume that 0 < g < 1/2. Let  $\delta$ ,  $\gamma$  be some real numbers and let  $\widehat{J}_{\gamma}^{\delta}$  be the linear map  $\ell^{2,1}(\mathbb{N}) \to \ell^2(\mathbb{N})$  defined by the formula

$$\widehat{J}_{\gamma}^{\delta} e_{m} = (m + (-1)^{m} \delta) e_{m} + g(m + \gamma) e_{m+1} + g(m - 1 + \gamma) e_{m-1}, \quad m \in \mathbb{N},$$
(10)

where  $\{e_m\}_{m\in\mathbb{N}}$  is the canonical basis in  $\ell^2(\mathbb{N})$  and by convention  $g(m-1+\gamma)e_{m-1}=0$  if m=0. Then  $\hat{J}_{\gamma}^{\delta}$  has discrete spectrum and its non-decreasing eigenvalue sequence satisfies the large n asymptotic formula

$$\lambda_n(\widehat{J}_{\gamma}^{\delta}) = \beta n + (\gamma - 1/2)(\beta - 1) + \delta r_{\gamma,n} + O(n^{-1}\ln n)$$
(11)

with

$$r_{\gamma,n} = \sqrt{\frac{\beta}{2\pi g n}} \cos\left(4\alpha n + \widehat{\theta}_{\gamma}\right),\tag{12}$$

where  $\beta$  is given by (3),  $\alpha$  by (7) and

$$\widehat{\theta}_{\gamma} = (\gamma - 1/2)(4\alpha - \pi) + \pi/4. \tag{13}$$

In order to prove Theorem 3, we move from  $\ell^2(\mathbb{N})$  to  $\ell^2(\mathbb{Z})$ , the Hilbert space of square summable sequences  $x: \mathbb{Z} \to \mathbb{C}$ . For s > 0 we denote

$$\ell^{2,s}(\mathbb{Z}) = \left\{ x \in \ell^2(\mathbb{Z}) : \sum_{k \in \mathbb{Z}} (1 + k^2)^s |x(k)|^2 < \infty \right\}$$

and define  $\widetilde{I}_{\gamma}^{\delta}$  as the linear map  $\ell^{2,1}(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  given by

$$\widetilde{J}_{\gamma}^{\delta} e_k = \left(k + (-1)^k \delta\right) e_k + g(k+\gamma) e_{k+1} + g(k-1+\gamma) e_{k-1}, \quad k \in \mathbb{Z}, \tag{14}$$

where  $\{e_k\}_{k\in\mathbb{Z}}$  is the canonical basis of  $\ell^2(\mathbb{Z})$ . We can identify  $\ell^2(\mathbb{N})$  with

$$\{x \in \ell^2(\mathbb{Z}) : x(k) = 0 \text{ for } k \in \mathbb{Z} \setminus \mathbb{N}\}$$

and consider  $\widetilde{J}_{\gamma}^{\delta}$  as an extension of  $\widehat{J}_{\gamma}^{\delta}$ . Using Theorem 1.1 in [11], we find that the spectrum of  $\widetilde{J}_{\gamma}^{\delta}$  is composed of a non-decreasing sequence of eigenvalues  $\{\lambda_{j}(\widetilde{J}_{\gamma}^{\delta})\}_{j\in\mathbb{Z}}$ , which can be labeled so that for any N>0 one has the estimate

$$\lambda_n(\widetilde{J}_{\gamma}^{\delta}) = \lambda_n(\widehat{J}_{\gamma}^{\delta}) + O(n^{-N}) \text{ when } n \to \infty$$
 (15)

### **5. Diagonalisation of** $\widetilde{J}_{\gamma}^{\delta}$ when $\delta = 0$

The operator  $\widetilde{J}_{\gamma}^{\delta}$  with  $\delta=0$  was investigated in [1]. Let S be the shift  $Se_j=e_{j+1}$  in  $\ell^2(\mathbb{Z})$  and denote  $\Lambda:=\mathrm{diag}(j)_{j\in\mathbb{Z}}$ . Then (14) gives

$$\widetilde{J}_{\gamma}^{0} = \Lambda + g\left(S(\Lambda + \gamma) + (\Lambda + \gamma)S^{-1}\right) = \Lambda + g\left(S(\Lambda + \gamma) + \text{h.c.}\right)$$

Let  $\mathbb{T} = \mathbb{R}/2\pi\mathbb{Z}$  and let  $\mathscr{F}_{\mathbb{T}}$  be the isometric isomorphism  $L^2(\mathbb{T}) \to \ell^2(\mathbb{Z})$  given by

$$(\mathscr{F}_{\mathbb{T}}f)(j) = \int_{-\pi}^{\pi} f(\theta) e^{-ij\theta} \frac{d\theta}{2\pi}.$$
 (16)

Then  $L_{\gamma} := \mathscr{F}_{\mathbb{T}}^{-1} \widetilde{J}_{\gamma}^{0} \mathscr{F}_{\mathbb{T}}$  is the first order differential operator

$$L_{\gamma} = \frac{1}{2} \left( \left( 1 + 2g \cos \theta \right) \left( -i \frac{d}{d\theta} \right) + \text{h.c.} \right) + (1 + 2\gamma) \cos \theta$$

and following [1], we define the diffeomorphism of ] –  $\pi$ ,  $\pi$ [ given by

$$\Phi(\theta) := \int_0^\theta \frac{\beta \, \mathrm{d}\theta'}{1 + 2g \cos \theta'} = 2 \arctan\left(\sqrt{\frac{1 - 2g}{1 + 2g}} \tan\left(\frac{\theta}{2}\right)\right). \tag{17}$$

The change of variable  $\eta = \Phi(\theta)$  defines the unitary operator acting in  $L^2(\mathbb{T})$  according to the formula

$$(U_{\Phi}f)(\theta) = \Phi'(\theta)^{1/2} f(\Phi(\theta)) \tag{18}$$

and the direct computation gives

$$U_{\Phi}^{-1} L_{\gamma} U_{\Phi} = \beta \left( -i \frac{\mathrm{d}}{\mathrm{d}\eta} + q_{\gamma}(\eta) \right)$$

with

$$q_{\gamma}(\eta) := \beta^{-1}(1+2\gamma)\cos(\Phi^{-1}(\eta)).$$

Let  $\tilde{q}_{\gamma}$  be a primitive of  $q_{\gamma}$ . We compute

$$\langle q_{\gamma} \rangle := (\widetilde{q}_{\gamma}(\pi) - \widetilde{q}_{\gamma}(-\pi))/(2\pi) = (\gamma - 1/2)(1 - 1/\beta)$$

and remark that  $\eta \to \langle q_{\gamma} \rangle \eta - \widetilde{q}_{\gamma}(\eta)$  is  $2\pi$ -periodic, hence we can define  $(f_{\gamma,j})_{j \in \mathbb{Z}}$ , the orthonormal basis in  $L^2(\mathbb{T})$  given by

$$f_{\gamma,j}(\eta) = e^{ij\eta} e^{i(\langle q_{\gamma}\rangle \eta - \tilde{q}_{\gamma}(\eta))}.$$
 (19)

Then (see [1]), for every  $j \in \mathbb{Z}$ , one has

$$\beta\left(-i\frac{\mathrm{d}}{\mathrm{d}\eta}+q_{\gamma}\right)f_{\gamma,j}=\beta(j+\langle q_{\gamma}\rangle)f_{\gamma,j}.$$

Consequently, for every  $j \in \mathbb{Z}$ ,

$$\widetilde{J}_{\gamma}^{0} u_{\gamma,j} = d_{\gamma,j} u_{\gamma,j} \tag{20}$$

holds with

$$u_{\gamma,j} = \mathscr{F}_{\mathbb{T}} U_{\Phi} f_{\gamma,j}, \tag{21}$$

$$d_{\gamma,j} = \beta(j + \langle q_{\gamma} \rangle) = \beta j + (\gamma - 1/2)(\beta - 1). \tag{22}$$

#### 6. Generalized rotating-wave approximation (GRWA)

The idea of the GRWA consists in using the diagonal entries of a perturbation as the first correction for eigenvalues of a perturbed diagonal matrix and we refer to [3] for numerous examples of this approach. In order to apply this idea, we consider  $\widetilde{J}_{\gamma}^{\delta}$  as a perturbation of  $\widetilde{J}_{\gamma}^{0}$  and use the diagonalisation (20). We remark that

$$\widetilde{J}_{\gamma}^{\delta} = \widetilde{J}_{\gamma}^{0} + \delta V \tag{23}$$

holds with  $V := \operatorname{diag}((-1)^j)_{j \in \mathbb{Z}}$ . Let  $U_{\gamma}$  be the unitary operator in  $\ell^2(\mathbb{Z})$  defined by  $U_{\gamma}e_j = u_{\gamma,j}$ , where  $\{e_j\}_{j \in \mathbb{Z}}$  is the canonical basis of  $\ell^2(\mathbb{Z})$  and  $\{u_{\gamma,j}\}_{j \in \mathbb{Z}}$  is the basis given by (21). Then (23) gives

$$U_{\gamma}^{-1}\widetilde{J}_{\gamma}^{\delta}U_{\gamma} = D_{\gamma} + \delta V_{\gamma},\tag{24}$$

where  $D_{\gamma} = \operatorname{diag}(d_{\gamma,j})_{j \in \mathbb{Z}}$  and  $V_{\gamma} = U_{\gamma}^{-1} V U_{\gamma}$ . We claim that the asymptotic estimate (11)–(13) follows from

$$\lambda_{j}(D_{\gamma} + \delta V_{\gamma}) = d_{\gamma,j} + \delta V_{\gamma}(j,j) + O(j^{-1}\ln j) \text{ when } j \to \infty$$
 (25)

where

$$V_{\gamma}(j,j) = \langle e_j, V_{\gamma} e_j \rangle_{\ell^2(\mathbb{Z})} = \langle u_{\gamma,j}, V u_{\gamma,j} \rangle_{\ell^2(\mathbb{Z})}.$$

Indeed, since  $\mathscr{F}_{\mathbb{T}}^{-1}V\mathscr{F}_{\mathbb{T}}=T_{\pi}$  is the translation  $\theta+2\pi\mathbb{Z}\to\theta+\pi+2\pi\mathbb{Z}$ , we find that

$$V_{\gamma}(j,j) = \langle U_{\Phi} f_{\gamma,j}, T_{\pi} U_{\Phi} f_{\gamma,j} \rangle_{L^{2}(\mathbb{T})} = \int_{-\pi}^{\pi} e^{ij(\Phi - T_{\pi}\Phi)(\theta)} p_{\gamma}(\theta) \overline{(T_{\pi} p_{\gamma})(\theta)} \frac{d\theta}{2\pi}$$
(26)

holds with

$$p_{\gamma}(\theta) := e^{i\langle q_{\gamma}\rangle\Phi(\theta) - i\tilde{q}_{\gamma}(\Phi(\theta))}\beta^{1/2}(1 + 2g\cos\theta)^{-1/2}$$

and the stationary phase method gives

$$V_{\gamma}(j,j) = r_{\gamma,j} + O(j^{-1}) \text{ when } j \to \infty$$
 (27)

where  $r_{\gamma,j}$  is given by (12)–(13). Thus (11)–(13) follow from (25), (27) and (15).

The proof of (25) is based on the approach of Yanovich [14] and the explicit expressions of the entries

$$V_{\gamma}(j,k) = \langle U_{\Phi}f_{\gamma,j}, T_{\pi}U_{\Phi}f_{\gamma,k}\rangle_{L^{2}(\mathbb{T})}.$$
(28)

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