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# Some remarks on the companions conjecture for normal varieties

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**Abstract.** Drinfeld in 2010 proved the companions conjecture for smooth varieties over a finite field, generalizing L. Lafforgue's result for smooth curves. We study the obstruction to prove the conjecture for arbitrary normal varieties. To do this, we introduce a new property of morphisms. We verify this property in some cases, showing thereby the companions conjecture for some singular normal varieties.

**Keywords.**  $\ell$ -adic representation, independence of  $\ell$ , étale fundamental group.

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## 1. Introduction

### 1.1. *The companions conjecture*

Let  $\mathbb{F}_q$  be a finite field of characteristic  $p$  and let  $X_0$  be a connected normal variety over  $\mathbb{F}_q$ . For a prime  $\ell$  different from  $p$ , the category of Weil lisse  $\overline{\mathbb{Q}}_\ell$ -sheaves over  $X_0$  carries much information on the arithmetic and the geometry of  $X_0$ . An invariant that is associated to any Weil lisse  $\overline{\mathbb{Q}}_\ell$ -sheaf is the trace field  $E \subseteq \overline{\mathbb{Q}}_\ell$  generated by the coefficients of the Frobenius polynomials at closed points. Deligne proved in [3] that if  $\mathcal{V}_0$  is an irreducible Weil lisse  $\overline{\mathbb{Q}}_\ell$ -sheaf over  $X_0$  with finite order determinant, then  $E$  is a finite extension of  $\mathbb{Q}$ . He showed this finiteness by reduction to the case of curves, where it was proven by L. Lafforgue in [6, Thm. VII.6] as a consequence of the Langlands correspondence. This property of the trace field was one of the conjectures proposed by Deligne in [2, Conj. 1.2.10]. In the same list, he also formulated the following conjecture.

**Conjecture 1 (Companions conjecture).** *After possibly replacing  $E$  with a finite field extension, for every finite place  $\lambda$  not dividing  $p$ , there exists a Weil lisse  $E_\lambda$ -sheaf  $E$ -compatible<sup>1</sup> with  $\mathcal{V}_0$ .*

When the dimension of  $X_0$  is 1, the conjecture is again a consequence of the Langlands correspondence. For higher dimensional varieties, Drinfeld in [4] proved Conjecture 1 when  $X_0$  is smooth. As noticed in [4, §6], his method cannot be applied directly to prove the full conjecture.

<sup>1</sup>Cf. [1, Def. 3.1.15].

### 1.2. The obstruction

Suppose for simplicity that the singular locus of  $X_0$  consists of one closed point and that we can solve that singularity. In other words, suppose that there exists a smooth variety  $Y_0$  and a proper morphism  $h_0 : Y_0 \rightarrow X_0$  which sends a closed subscheme  $i_0 : Z_0 \hookrightarrow Y_0$  to a closed point  $x_0 \in |X_0|$  and such that  $h_0$  is an isomorphism outside  $Z_0$ . Write  $\mathbb{F}$  for an algebraic closure of  $\mathbb{F}_q$  and suppose that  $Z := Z_0 \otimes_{\mathbb{F}_q} \mathbb{F}$  is connected.

**Lemma 2 ([5, Cor. IX.6.11]).** *For every geometric point  $z$  of  $Z_0$  there exists an exact sequence*

$$\pi_1^{\text{ét}}(Z, z) \xrightarrow{i_*} \pi_1^{\text{ét}}(Y_0, z) \xrightarrow{h_{0*}} \pi_1^{\text{ét}}(X_0, h(z)) \rightarrow 1$$

*in the sense that the smallest normal closed subgroup containing the image of  $i_*$  is equal to the kernel of  $h_{0*}$ .*

By the lemma, every étale Weil lisse sheaf  $\mathcal{V}_0$  over  $Y_0$  which is trivial over  $Z$  is the inverse image of an étale Weil lisse sheaf defined over  $X_0$ . Since we know the companions conjecture for  $Y_0$ , in order to deduce it for  $X_0$  we have to verify the following property.

$\mathcal{P}(Z_0)$  : For every pair  $(\mathcal{V}_0, \mathcal{W}_0)$  of compatible absolutely irreducible Weil lisse sheaves with finite order determinant over  $Y_0$ , the sheaf  $\mathcal{V}_0$  is trivial over  $Z$  if and only if the same is true for  $\mathcal{W}_0$ .

If  $Z_0 \subseteq Y_0$  satisfies  $\mathcal{P}(Z_0)$  we say that  $Z_0$  is a  $\lambda$ -uniform subvariety. Thanks to Lemma 2 and the companions conjecture for smooth varieties, we have the following result.

**Proposition 3.** *If  $Z_0 \subseteq Y_0$  is  $\lambda$ -uniform,  $X_0$  satisfies the companions conjecture.*

### 1.3. Main results

The aim of this text is to shed some new lights on the companions conjecture for normal varieties. For this scope, we focus on  $\lambda$ -uniformity. We extend the notion of  $\lambda$ -uniform subvarieties in Section 1.2 to the one of  $\lambda$ -uniform morphisms of varieties (Definition 9) and we investigate the following conjecture.

**Conjecture 4 (Conjecture 10).** *Let  $Y_0$  and  $Z_0$  be varieties over  $\mathbb{F}_q$ . If  $Y_0$  is normal, every morphism  $f_0 : Z_0 \rightarrow Y_0$  is  $\lambda$ -uniform (cf. Definition 9).*

We shall verify Conjecture 4 in some particular cases.

**Theorem 5 (Theorem 14, Theorem 16).** *Let  $f_0 : Z_0 \rightarrow Y_0$  be a morphism of geometrically connected varieties over  $\mathbb{F}_q$  with  $Y_0$  normal and let  $z$  be a geometric point of  $Z_0$ . The morphism  $f_0$  is  $\lambda$ -uniform in the following cases.*

- (i) *If  $Z_0$  has a simply connected dual complex and normal irreducible components.*
- (ii) *If the smallest closed normal subgroup of  $\pi_1^{\text{ét}}(Y_0, f(z))$  containing the image of  $\pi_1^{\text{ét}}(Z, z)$  is open inside  $\pi_1^{\text{ét}}(Y, f(z))$ .*
- (iii) *If  $\pi_1^{\text{ét}}(Y, f(z))$  contains an open solvable profinite subgroup.*

Combining the previous results we get the following consequence.

**Corollary 6.** *Let  $Y_0$  be a smooth geometrically connected variety over  $\mathbb{F}_q$ . If  $X_0$  is a normal variety that can be written as a contraction of a geometrically connected subvariety  $Z_0 \subseteq Y_0$  satisfying one of the conditions of Theorem 5, then  $X_0$  verifies Conjecture 1.*

An independent property we prove in this text is a property of invariance of  $\lambda$ -uniformity “under deformations” (Theorem 12). This might be useful for further developments in the direction of Conjecture 4. Moreover, we present in Section 3.3 some variants of Conjecture 4 and we present a concrete example, proposed by de Jong, where these conjectures are not known.

### 1.4. Notation and conventions

For us, a *variety* over a field  $k$  is a separated scheme of finite type over  $k$ . We write  $X_0, Y_0, Z_0, \dots$  for varieties over  $\mathbb{F}_q$  and  $X, Y, Z, \dots$  for the base change to  $\mathbb{F}$ . Further, we put a subscript  $_0$  to indicate objects and morphisms defined over  $\mathbb{F}_q$  and the suppression of this subscript shall mean that we are extending the scalars to  $\mathbb{F}$ . If  $E$  is a number field we write  $|E|_{\neq p}$  for the set of finite places of  $E$  which do not divide  $p$ . For every  $\lambda \in |E|_{\neq p}$ , we denote by  $E_\lambda$  the completion of  $E$  with respect to  $\lambda$ .

We use the notation for Weil lisse sheaves as in [1, §2.2]. We say that a Weil lisse  $E_\lambda$ -sheaf  $\mathcal{V}_0$  is *split untwisted* if every irreducible subquotient of  $\mathcal{V}_0$  is absolutely irreducible and has finite order determinant. We say instead that  $\mathcal{V}_0$  is *untwisted* if it is split untwisted after possibly extending  $E_\lambda$ . Recall that if  $Y_0$  is a normal variety over  $\mathbb{F}_q$ , every untwisted Weil lisse sheaf over  $Y_0$  is pure of weight 0 and geometrically semi-simple by [2, Thm. 3.4.1] and [3, Thm. 1.6]. In addition, by [2, Prop. 1.3.14] and [1, Prop. 3.1.16], every untwisted Weil lisse sheaf is étale.

An *E-compatible system* over  $X_0$ , denoted by  $\underline{\mathcal{V}}_0$ , is a family  $\{\mathcal{V}_{\lambda,0}\}_{\lambda \in |E|_{\neq p}}$  where each  $\mathcal{V}_{\lambda,0}$  is an  $E$ -rational Weil lisse  $E_\lambda$ -sheaf and such that all sheaves are pairwise  $E$ -compatible. Each  $\mathcal{V}_{\lambda,0}$  is called the  $\lambda$ -*component* of  $\underline{\mathcal{V}}_0$ . We say that a compatible system is *semi-simple, untwisted, or split untwisted* if each  $\lambda$ -component has the respective property.

## 2. $\lambda$ -uniform morphisms

### 2.1. General properties

**Definition 7.** Let  $Z_0$  be a connected variety. A compatible system  $\underline{\mathcal{V}}_0$  over  $Z_0$  is  $\lambda$ -uniform if one of the following disjoint conditions is verified.

- (i) For every  $\lambda \nmid p$ , the lisse sheaf  $\mathcal{V}_{\lambda,0}$  is geometrically trivial.
- (ii) For every  $\lambda \nmid p$ , the lisse sheaf  $\mathcal{V}_{\lambda,0}$  is geometrically non-trivial.

We say that  $\underline{\mathcal{V}}_0$  is *strongly  $\lambda$ -uniform* if the dimension of  $H^0(Z, \mathcal{V}_\lambda)$  does not depend on  $\lambda$ . *Strongly  $\lambda$ -uniform compatible systems are clearly  $\lambda$ -uniform.* If  $Z_0$  is not connected we say that a compatible system is  $\lambda$ -uniform (resp. strongly  $\lambda$ -uniform) if it is  $\lambda$ -uniform (resp. strongly  $\lambda$ -uniform) over every connected component.

**Proposition 8.** Let  $Z_0$  be a normal variety over  $\mathbb{F}_q$ . Every untwisted  $E$ -compatible system  $\underline{\mathcal{V}}_0$  over  $Z_0$  is strongly  $\lambda$ -uniform.

**Proof.** After extending the base field, we may assume that  $Z_0$  is geometrically connected. Since  $Z_0$  is a normal variety, each  $\lambda$ -component of  $\underline{\mathcal{V}}_0$  is pure of weight 0 by [3, Thm. 1.6]. Also, if  $U_0$  is the smooth locus of  $Z_0$ , by [5, Prop. V.8.2], the étale fundamental group of  $U$  maps surjectively onto the étale fundamental group of  $Z$ . Therefore, we have a canonical isomorphism

$$H^0(Z, \mathcal{V}_\lambda) = H^0(U, \mathcal{V}_\lambda|_U)$$

for every  $\lambda$ . By [6, Cor. VI.3], we know that the dimension of  $H^0(U, \mathcal{V}_\lambda|_U)$  can be recovered from the  $L$ -function of  $\mathcal{V}_{\lambda,0}|_{U_0}$ , thus we obtain the desired result.  $\square$

If we do not assume  $Z_0$  normal, Proposition 8 becomes false in general (Example 15). The issue is a different behaviour of weights for non-normal varieties. In what follows, we want to understand whether a weaker variant of Proposition 8 is still true for singular varieties.

**Definition 9.** Let  $f_0 : Z_0 \rightarrow Y_0$  be a morphism of varieties over  $\mathbb{F}_q$ . We say that  $f_0$  is a  $\lambda$ -uniform morphism if for every untwisted compatible system  $\underline{\mathcal{V}}_0$  over  $Y_0$ , the pullback  $f_0^* \underline{\mathcal{V}}_0$  is  $\lambda$ -uniform. If  $f_0$  is a closed immersion we say that  $Z_0$  is a  $\lambda$ -uniform subvariety of  $Y_0$ .

**Conjecture 10.** *Let  $Y_0$  and  $Z_0$  be varieties over  $\mathbb{F}_q$ . If  $Y_0$  is normal, every morphism  $f_0 : Z_0 \rightarrow Y_0$  is  $\lambda$ -uniform.*

## 2.2. Homotopic invariance

Let us look more closely at  $\lambda$ -uniform morphisms by analysing the relation with the induced morphism on fundamental groups.

Let  $f_0 : Z_0 \rightarrow Y_0$  be a morphism of geometrically connected varieties over  $\mathbb{F}_q$  with  $Y_0$  normal. If we choose a geometric point  $z$  of  $Z_0$  we have a morphism

$$\pi_1^{\text{ét}}(Z, z) \xrightarrow{f_*} \pi_1^{\text{ét}}(Y_0, f(z)).$$

For every étale compatible system  $\mathcal{Y}_0$  over  $Y_0$  we denote by  $\{\rho_{\lambda,0}\}_{\lambda \in |E| \neq p}$  the associated family of  $\ell$ -adic representations of  $\pi_1^{\text{ét}}(Y_0, f(z))$ . Let  $\overline{\text{Im}(f_*)}$  be the smallest normal closed subgroup of  $\pi_1^{\text{ét}}(Y_0, f(z))$  containing the image of  $f_*$ . The following lemma is a direct consequence of the definition of a  $\lambda$ -uniform morphism.

**Lemma 11.** *A morphism  $f_0$  is  $\lambda$ -uniform if and only if for every untwisted compatible system  $\mathcal{Y}_0$  over  $Y_0$ , if  $\overline{\text{Im}(f_*)} \subseteq \text{Ker}(\rho_{\lambda,0})$  for one  $\lambda$  then the same is true for every other  $\lambda \in |E|$ . In particular, the property of a morphism of being  $\lambda$ -uniform depends only on the inclusion  $\overline{\text{Im}(f_*)} \subseteq \pi_1^{\text{ét}}(Y_0, y)$  as topological groups together with the assignment of the conjugacy classes of the Frobenii at closed points of  $\pi_1^{\text{ét}}(Y_0, y)$  and their degrees.*

As a consequence of the previous lemma, we prove an “homotopic invariance” of  $\lambda$ -uniformity. Let  $T_0$  and  $S_0$  be geometrically connected varieties over  $\mathbb{F}_q$  and  $h_0 : T_0 \rightarrow S_0$  a proper and flat morphism with connected and reduced geometric fibres. Let  $s_0$  and  $s'_0$  be closed points of  $S_0$  and write  $t_0 : Z_0 \hookrightarrow T_0$  and  $t'_0 : Z'_0 \hookrightarrow T_0$  for the closed immersions of the fibres of  $h_0$  above  $s_0$  and  $s'_0$  respectively.

**Theorem 12.** *For every morphism  $\tilde{f}_0 : T_0 \rightarrow Y_0$ , the restriction  $f_0 := \tilde{f}_0|_{Z_0}$  is  $\lambda$ -uniform if and only if  $f'_0 := \tilde{f}_0|_{Z'_0}$  is  $\lambda$ -uniform.*

**Proof.** Let  $z$  and  $z'$  be geometric points of  $Z_0$  and  $Z'_0$  respectively. By [10, Tag 0C0J], we have exact sequences

$$\begin{aligned} \pi_1^{\text{ét}}(Z, z) &\xrightarrow{t_*} \pi_1^{\text{ét}}(T, z) \xrightarrow{h_*} \pi_1^{\text{ét}}(S, h(z)) \rightarrow 1 \\ \pi_1^{\text{ét}}(Z', z') &\xrightarrow{t'_*} \pi_1^{\text{ét}}(T, z') \xrightarrow{h_*} \pi_1^{\text{ét}}(S, h(z')) \rightarrow 1. \end{aligned}$$

The choice of an étale path  $\gamma$  joining  $z$  with  $z'$  induces isomorphisms  $\gamma : \pi_1^{\text{ét}}(T, z) \xrightarrow{\sim} \pi_1^{\text{ét}}(T', z')$  and  $h_*(\gamma) : \pi_1^{\text{ét}}(S, h(z)) \xrightarrow{\sim} \pi_1^{\text{ét}}(S', h(z'))$ . Thanks to the two exact sequences this implies that  $\gamma$  restricts to an isomorphism  $\overline{\text{Im}(t_*)} \xrightarrow{\sim} \overline{\text{Im}(t'_*)}$ . In turn, this implies that the induced isomorphism  $f_*(\gamma) : \pi_1^{\text{ét}}(Y_0, f(z)) \xrightarrow{\sim} \pi_1^{\text{ét}}(Y_0, f'(z'))$  restricts to an isomorphism  $\overline{\text{Im}(f_*)} \xrightarrow{\sim} \overline{\text{Im}(f'_*)}$ . By construction,  $f_*(\gamma)$  respects the conjugacy classes of Frobenii at closed points and their degrees. We conclude applying Lemma 11.  $\square$

## 3. Some examples

In this section, we verify Conjecture 10 in some cases. Note that by virtue of Proposition 8 we already know the conjecture when  $Z_0$  is normal.

### 3.1. Simply connected dual complex

Let  $Z_0$  be a geometrically connected variety over  $\mathbb{F}_q$ . Write  $Z^{(i)}$  where  $1 \leq i \leq n$  for the irreducible components of  $Z$ . Suppose that for every  $i$ , the irreducible component  $Z^{(i)}$  is normal. Let  $z$  be a geometric point of  $Z_0$  and for every  $1 \leq i \leq n$ , let  $z^{(i)}$  be a generic geometric point of  $Z^{(i)}$ . We denote by  $\Gamma$  the dual complex of  $Z$  and by  $P$  the point of  $\Gamma$  associated to the irreducible component where  $z$  lies. Write  $\Pi$  for the free product  $\pi_1^{\text{ét}}(Z^{(1)}, z^{(1)}) * \cdots * \pi_1^{\text{ét}}(Z^{(n)}, z^{(n)})$  and  $\pi_1(\Gamma, P)^\wedge$  for the profinite completion of the topological fundamental group of  $\Gamma$  at  $P$ .

**Theorem 13 (Stix).** *The choice of étale paths  $\{\gamma^{(i)}\}_{1 \leq i \leq n}$  joining  $z$  to  $z^{(i)}$  for every  $i$  determines an exact sequence*

$$\Pi \xrightarrow{\alpha} \pi_1^{\text{ét}}(Z, z) \xrightarrow{\beta} \pi_1(\Gamma, P)^\wedge \rightarrow 1,$$

in the sense that the smallest normal closed subgroup containing the image of  $\alpha$  is equal to the kernel of  $\beta$ .

**Proof.** The result follows from [11, Cor. 3.3]. □

**Theorem 14.** *If  $\Gamma$  is simply connected, every untwisted compatible system over  $Z_0$  is  $\lambda$ -uniform.*

**Proof.** Let  $\mathcal{V}_0$  be an untwisted compatible system over  $Z_0$ . If  $\mathcal{V}_{\lambda,0}$  is geometrically trivial for one  $\lambda$ , then it remains geometrically trivial when restricted to every irreducible component of  $Z_0$ . By Proposition 8, for every other  $\lambda' \in |E|_{\neq p}$ , the restriction of  $\mathcal{V}_{\lambda',0}$  to every irreducible component of  $Z_0$  is geometrically trivial as well. By Theorem 13, since  $\Gamma$  is simply connected, the geometric étale fundamental group of  $Z_0$  is the smallest normal subgroup containing the images of the étale fundamental groups of the irreducible components  $Z^{(i)}$ . This shows that for every  $\lambda'$  the Weil lisse sheaf  $\mathcal{V}_{\lambda',0}$  is geometrically trivial over  $Z_0$  and this yields the desired result. □

**Example 15.** If  $Z_0$  is an irreducible split nodal cubic curve over  $\mathbb{F}_q$  with nodal point  $z_0$ , then  $\pi_1^{\text{ét}}(Z, z)$  is isomorphic to  $\widehat{\mathbb{Z}}$ . In addition, the action of  $\text{Gal}(\mathbb{F}/\mathbb{F}_q)$  on  $\pi_1^{\text{ét}}(Z, z)$  is trivial. Therefore,  $\pi_1^{\text{ét}}(Z_0, z)$  is isomorphic to  $\widehat{\mathbb{Z}} \times \text{Gal}(\mathbb{F}/\mathbb{F}_q)$ , where the embedding  $\text{Gal}(\mathbb{F}/\mathbb{F}_q) \subseteq \pi_1^{\text{ét}}(Z_0, z)$  is induced by the closed immersion of  $z_0 \hookrightarrow Z_0$ . The Frobenius elements of  $\pi_1^{\text{ét}}(Z_0, z)$  correspond via this isomorphism to elements of  $\widehat{\mathbb{Z}} \times \text{Gal}(\mathbb{F}/\mathbb{F}_q)$  of the form  $(0, F^d)$ , where  $F$  is the geometric Frobenius of  $\mathbb{F}_q$  and  $d$  is some positive integer. This implies that every pair of étale lisse sheaves over  $Z_0$  which are trivial at  $z_0$  are  $\mathbb{Q}$ -compatible with all the eigenvalues at closed points equal to 1.

On the other hand, for every prime number  $\ell \neq p$  and every continuous group automorphism  $\alpha$  of  $\overline{\mathbb{Z}}_\ell^{\otimes r}$ , where  $\overline{\mathbb{Z}}_\ell$  is the ring of integers of  $\overline{\mathbb{Q}}_\ell$ , there exists an étale lisse  $\overline{\mathbb{Q}}_\ell$ -sheaf of this type such that the induced  $\ell$ -adic representation sends  $(1, \text{id})$  to  $\alpha$ . In particular, we may take  $r = 1$  and as  $\alpha$  the multiplication by a root of unit. This construction produces lots of examples of untwisted compatible systems over  $Z_0$  which are not  $\lambda$ -uniform.

### 3.2. Finite monodromy

As in Proposition 8, we may use the theory of weights in order to prove that certain morphisms are  $\lambda$ -uniform. This strategy needs strong finiteness conditions.

**Theorem 16.** *Let  $f_0 : Z_0 \rightarrow Y_0$  be a morphism of geometrically connected varieties over  $\mathbb{F}_q$  with  $Y_0$  normal. Let  $z$  be a geometric point of  $Z_0$ . The morphism  $f_0$  is  $\lambda$ -uniform in the following cases.*

- (i) *If the smallest closed normal subgroup of  $\pi_1^{\text{ét}}(Y_0, f(z))$  containing the image of  $\pi_1^{\text{ét}}(Z, z)$  is an open subgroup of  $\pi_1^{\text{ét}}(Y, f(z))$ .*
- (ii) *If  $\pi_1^{\text{ét}}(Y, f(z))$  contains an open solvable profinite subgroup.*

**Proof.** Let  $\mathcal{V}_0$  be an untwisted compatible system over  $Y_0$ . For every  $\lambda \in |E|_{\neq p}$ , write  $G_\lambda$  for the geometric monodromy group of  $\mathcal{V}_{\lambda,0}$ .

(i). If  $f_0^*(\mathcal{V}_{\lambda,0})$  is geometrically trivial over  $Z_0$  for one  $\lambda$ , then  $\rho_\lambda$  is trivial when restricted to the image of  $\pi_1^{\text{ét}}(Z, z)$  in  $\pi_1^{\text{ét}}(Y, f(z))$ . Thanks to the hypothesis, we deduce that  $\rho_\lambda$  factors through a finite quotient of  $\pi_1^{\text{ét}}(Y, f(z))$ . This implies that  $G_\lambda$  is a finite algebraic group. By [7, Prop. 2.2] and [1, Thm. 4.1.1], the subgroups

$$\text{Ker}(\rho_{\lambda'}) \cap \pi_1^{\text{ét}}(Y, f(z)) \subseteq \pi_1^{\text{ét}}(Y, f(z))$$

are all equal when  $\lambda'$  varies in  $|E|_{\neq p}$ . Since the image of  $\pi_1^{\text{ét}}(Z, z)$  in  $\pi_1^{\text{ét}}(Y, f(z))$  is contained in  $\text{Ker}(\rho_\lambda)$ , it is also contained in  $\text{Ker}(\rho_{\lambda'})$  for every  $\lambda' \in |E|_{\neq p}$ . Therefore, the lisse sheaf  $f_0^*(\mathcal{V}_{\lambda,0})$  is geometrically trivial for every  $\lambda' \nmid p$ , as we wanted.

(ii). Since  $\pi_1^{\text{ét}}(Y, f(z))$  contains an open solvable profinite subgroup, we know that for every  $\lambda$  the neutral component  $G_\lambda^\circ \subseteq G_\lambda$  is solvable. Combining this with the fact that each  $\mathcal{V}_{\lambda,0}$  is geometrically semi-simple, we deduce that  $G_\lambda^\circ$  is a torus. By [2, Thm. 1.3.8], this implies that  $G_\lambda^\circ$  is trivial so that  $G_\lambda$  is finite and we can proceed as in the previous case.  $\square$

**Corollary 17.** *A dominant morphism  $f_0 : Z_0 \rightarrow Y_0$  is  $\lambda$ -uniform. In particular, if  $Y_0$  is a smooth curve, every morphism with target  $Y_0$  is  $\lambda$ -uniform.*

**Proof.** Let  $\eta \hookrightarrow Y$  be the generic point of  $Y$  and write  $Z_\eta$  the preimage of  $\eta$  via  $f$ . Since  $f$  is dominant, the scheme  $Z_\eta$  is a non-empty variety over the function field of  $Y$ . Fix a closed point  $\eta'$  of  $Z_\eta$  and choose a geometric point  $\bar{\eta}$  over  $\eta'$ . We have the following commutative diagram

$$\begin{array}{ccc} \pi_1^{\text{ét}}(\eta', \bar{\eta}) & \hookrightarrow & \pi_1^{\text{ét}}(\eta, f(\bar{\eta})) \\ \downarrow & & \downarrow \\ \pi_1^{\text{ét}}(Z, \bar{\eta}) & \longrightarrow & \pi_1^{\text{ét}}(Y, f(\bar{\eta})). \end{array}$$

Note that  $\pi_1^{\text{ét}}(\eta', \bar{\eta})$  maps to a finite index subgroup of  $\pi_1^{\text{ét}}(\eta, f(\bar{\eta}))$ . Therefore, the image of  $\pi_1^{\text{ét}}(Z, \bar{\eta})$  in  $\pi_1^{\text{ét}}(Y, f(\bar{\eta}))$  has finite index as well. Thanks to this, we may apply Theorem 16(i) to conclude.  $\square$

### 3.3. Final comments

For a Weil lisse sheaf, the property of being geometrically trivial can be thought as the combination of two properties: being geometrically unipotent<sup>2</sup> and geometrically semi-simple. For this reason, it seems pretty natural to split Conjecture 10 in two parts. Let  $f_0 : Z_0 \rightarrow Y_0$  be a morphism of varieties over  $\mathbb{F}_q$  with  $Y_0$  normal.

**Conjecture 18 (Unipotency).** *For every pair  $(\mathcal{V}_0, \mathcal{W}_0)$  of compatible Weil lisse sheaf over  $Y_0$ , if  $f_0^*\mathcal{V}_0$  is geometrically unipotent the same is true for  $f_0^*\mathcal{W}_0$ .*

**Conjecture 19 (Semi-simplicity).** *For every geometrically semi-simple Weil lisse sheaf  $\mathcal{V}_0$  over  $Y_0$ , the inverse image  $f_0^*\mathcal{V}_0$  is geometrically semi-simple.*

These two conjectures have different natures. The first one is a numerical property of the traces of the elements of the geometric étale fundamental group. The second one can not be read looking at the traces and it would be a generalisation of [1, Cor. 3.6.7]. Let us focus on this second conjecture. Suppose that  $Z_0$  is a semi-stable curve with all the irreducible components isomorphic to  $\mathbb{P}_{\mathbb{F}_q}^1$ . In light of [8, Thm. 1.1], one might be tempted to hope that for every Weil lisse sheaf over  $Y_0$ , the inverse image to  $Z_0$  is geometrically finite, which would imply Conjecture 19 for this choice of  $Z_0$ . Unluckily, this does not seem to be true as pointed out by de Jong.

<sup>2</sup>A geometrically unipotent Weil lisse sheaf is a Weil lisse sheaf  $\mathcal{V}_0$  such that  $\mathcal{V}$  is a successive extension of trivial lisse sheaves.

**Example 20 (de Jong).** For an integer  $n \geq 3$  such that  $(n, p) = 1$ , we write  $Y^{(n)}$  for the moduli scheme of principally polarized abelian surfaces over  $\mathbb{F}$  with a symplectic level- $n$ -structure. Let  $Z^{(n)} \subseteq Y^{(n)}$  be the supersingular locus of  $Y^{(n)}$ . Since we are working with abelian surfaces, this coincides with the Moret–Bailly locus of  $Y^{(n)}$ . Therefore, by [9, Prop. 7.3], the variety  $Z^{(n)}$  is connected for every choice of  $n$ . Let  $N$  be a positive multiple of  $n$  prime to  $p$ . The preimage of the natural finite étale Galois cover  $Y^{(N)} \rightarrow Y^{(n)}$  is  $Z^{(N)}$ . As  $Z^{(N)}$  is connected, the restriction  $Z^{(N)} \rightarrow Z^{(n)}$  is a finite étale Galois cover with the same Galois group as  $\text{Gal}(Y^{(N)}/Y^{(n)})$ . If  $z$  is a geometric point of  $Z^{(n)}$ , we have the following commutative diagram

$$\begin{array}{ccc} \pi_1^{\text{ét}}(Z^{(n)}, z) & \longrightarrow & \pi_1^{\text{ét}}(Y^{(n)}, z) \\ \downarrow & & \downarrow \\ \text{Gal}(Z^{(N)}/Z^{(n)}) & \xrightarrow{\sim} & \text{Gal}(Y^{(N)}/Y^{(n)}). \end{array}$$

When  $N$  goes to infinity, the cardinality of  $\text{Gal}(Y^{(N)}/Y^{(n)})$  goes to infinity as well. This implies that the image of  $\pi_1^{\text{ét}}(Z^{(n)}, z) \rightarrow \pi_1^{\text{ét}}(Y^{(n)}, z)$  is infinite. The varieties  $Z^{(n)}$  and  $Y^{(n)}$  descend to geometrically connected varieties  $Z_0^{(n)}$  and  $Y_0^{(n)}$  over some finite field  $\mathbb{F}_q$ .

Example 20 might be a nice concrete example to analyse for further developments on Conjecture 10.

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