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Carl-Fredrik Nyberg-Brodda

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## On the Dehn functions of a class of monadic one-relation monoids

### *Sur les fonctions de Dehn d'une classe de monoïdes monadiques à une relation*

#### **Carl-Fredrik Nyberg-Brodda** *<sup>a</sup>*

*<sup>a</sup>* Laboratoire d'Informatique Gaspard-Monge, Université Gustave Eiffel (Paris) *E-mail:* [carl-fredrik.nyberg-brodda@univ-ei](mailto:carl-fredrik.nyberg-brodda@univ-eiffel.fr)ffel.fr

**Abstract.** We give an infinite family of monoids Π*<sup>N</sup>* (for *N* = 2,3, ...), each with a single defining relation of the form  $bUa = a$ , such that the Dehn function of  $\Pi_N$  is at least exponential. More precisely, we prove that the Dehn function  $\partial_N(n)$  of  $\Pi_N$  satisfies  $\partial_N(n) \ge N^{n/4}$ . This answers negatively a question posed by Cain & Maltcev in 2013 on whether every monoid defined by a single relation of the form  $bUa = a$  has quadratic Dehn function. Finally, by using the decidability of the rational subset membership problem in the metabelian Baumslag–Solitar groups BS(1,*n*) for all *n* ≥ 2, proved recently by Cadilhac, Chistikov & Zetzsche, we show that each  $\Pi_N$  has decidable word problem.

**Résumé.** Nous donnons une famille infinie de monoïdes  $\Pi_N$  (pour  $N = 2,3,...$ ), chacun avec une seule relation de définition de la forme  $bUa = a$ , telle que la fonction de Dehn de  $\Pi_N$  est au moins exponentielle. Plus précisément, nous démontrons que la fonction de Dehn *∂<sup>N</sup>* (*n*) de Π*<sup>N</sup>* satisfait à *∂<sup>N</sup>* (*n*) ⪰ *N <sup>n</sup>*/4. Cela répond négativement à une question posée par Cain & Maltcev en 2013, à savoir si tout monoïde défini par une seule relation de la forme *bUa* = *a* possède une fonction de Dehn quadratique. Enfin, en utilisant la décidabilité du problème de l'appartenance à un sous-ensemble rationnel dans les groupes métabéliens de Baumslag–Solitar BS(1,*n*) pour tout *n* ≥ 2, prouvée récemment par Cadilhac, Chistikov & Zetzsche, nous montrons que chaque Π*N* a un problème de mots décidable.

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The word problem for one-relation monoids is arguably the most important unsolved problem in semigroup theory, and has been open for over a century. Given two words *u*, *v* over an alphabet *A* (denoted *u*, *v* ∈ *A*<sup>\*</sup>), the monoid *M* with the single defining relation *u* = *v* is defined as the quotient of the free monoid  $A^*$  by the least congruence containing the pair  $(u, v)$ . This monoid is denoted Mon $\langle A \mid u = v \rangle$ . The word problem for such a monoid – a *one-relation monoid* – asks for a decision procedure which, given two words  $w_1, w_2 \in A^*$  , decides whether  $(w_1, w_2)$  lies in the above congruence or not. Despite numerous efforts, the word problem for one-relation monoids in general remains open. Thue [\[29\]](#page-18-0) gave a still inchoate study of this problem when the defining relation is of the form  $u = 1$ , where 1 is the empty word, and solved it in some particular cases. Adian in the 1960s made the first targetted effort towards solving the problem, and solved the

word problem in two important cases: first, whenever the relation is of the form *u* = 1 (the *special* case), and second, whenever the relation  $u = v$  satisfies that the first letters of *u* and *v* differ, and the last letters of *u* and *v* differ (the *cycle-free* or *cancellative* case). Both results are proved via a reduction to Magnus' classical theorem on the decidability of the word problem in all one-relator groups [\[23\]](#page-18-1).

More detailed studies were later made by Adian [\[2\]](#page-17-0) and Adian & Oganesian [\[3,](#page-17-1) [4\]](#page-17-2). These studies were focussed on the case of *left cycle-free* monoids. In the case of one relation, this says that the relation  $u = v$  has the first letters of *u* and *v* distinct, but the last letters may coincide. Adian [\[2\]](#page-17-0) introduced a pseudo-algorithm A which, for any given left cycle-free monoid *M*, gives a procedure for studying the *left divisibility problem* in *M*. Here, the left divisibility problem refers to the problem of deciding whether a word  $w_1$  is left divisible by some other word  $w_2$ , i.e. if there is some word *z* such that  $w_1 = w_2z$ . We detail this pseudo-algorithm in § [1.](#page-3-0) Using  $\mathfrak{A}$ , Adian & Oganesian [\[4\]](#page-17-2) proved that the word problem for a given one-relation monoid Mon $\{A | u = v\}$  can be reduced to the word problem for a one-relation monoid of one of the forms:

(1) Mon
$$
\langle a, b | bUa = aVa \rangle
$$
, or (2) Mon $\langle a, b | bUa = a \rangle$ ,

where *U*, *V* are some words, i.e. the word problem for all one-relation monoids reduces to the word problem for all 2-generated left cycle-free one-relation monoids. This reduction is very constructive, and easy to find in practice (see  $[25, § 3, Example 3.14]$  $[25, § 3, Example 3.14]$  for details). The word problem remains open for left cycle-free monoids in general, even lifting the simplifying assumption of a single relation (see [\[25,](#page-18-2) § 2.2] for the general definition). Let *M<sup>ℓ</sup>* be a left cyclefree monoid. Other than Adian's classical result that *M<sup>ℓ</sup>* is left cancellative, some further results are known. For example, Valitskas proved that if  $M_\ell$  is also right cancellative, then  $M_\ell$  is group-embeddable.<sup>[1](#page-2-0)</sup> Furthermore, Guba [\[18,](#page-17-3) Theorem 5.1] proved that the set of principal right ideals of *M<sup>ℓ</sup>* is a semi-lattice under intersection, and that any finitely generated right ideal of *M<sup>ℓ</sup>* can be generated by two elements. These are strong structural properties not satisfied by all semigroups.

A one-relation monoid of the form  $Mon\langle a, b \mid bUa = a \rangle$  will be called *monadic*. These have seen a good deal of study. Oganesian, in particular, used  $\mathfrak A$  to prove a number of remarkable statements in this setting. For example, Oganesian [\[27\]](#page-18-3) proved that the isomorphism problem for one-relation monoids can be reduced to the word problem for all monadic one-relation monoids, and further (see [\[26\]](#page-18-4)) proved that the word problem for all monadic one-relation monoids reduces to the left divisibility problem for all cycle-free monoids.[2](#page-2-1) More specifically, Oganesian proved the remarkable fact that if *M* is a monadic one-relation monoid with defining relation *bUa* = *a*, then the monoid *S*(*M*) generated by all suffixes of *bUa* is cycle-free, and furthermore the word problem for *M* reduces to the left divisibility problem in *S*(*M*). Guba [\[18\]](#page-17-3) extended this latter result to prove that  $S(M)$  embeds in a one-relator group  $\overline{G}(M)$  as the submonoid generated by all suffixes of the defining relation of  $\overline{G}(M)$ . As the left divisibility problem in  $S(M)$  reduces to the membership problem for *S*(*M*) in *G*(*M*), this shows that the word problem for all monadic one-relation monoids reduces to the submonoid membership problem for all one-relator groups. We will return to this chain of reductions in § [3.](#page-13-0)

The starting point for the present article, which is focussed on a class of monadic one-relation monoids, comes in the form of two pre-prints from 2013, by Cain & Maltcev [\[10,](#page-17-4) [11\]](#page-17-5). These preprints attempt to construct *finite complete rewriting systems* for monadic left cycle-free monoids *M* in which the defining relation  $bUa = a$  satisfies the condition that  $bUa$  has a short "relative" length", i.e. the shortest way, in terms of number of alternations, to write *bUa* as an alternating product of *b'*s and *a'*s. Specifically, in [\[10\]](#page-17-4) it is shown that if  $bUa$  is of the form  $b^\alpha a^\beta b^\gamma a^\delta$  for some

<span id="page-2-1"></span><span id="page-2-0"></span><sup>&</sup>lt;sup>1</sup>This result was never published; Guba [\[17,](#page-17-6) Theorem 4] filled in the details in a later paper.

 $2$ Sarkisian claimed a solution to the latter problem, but a gap was found in the 1990s, and it remains open. See [\[25,](#page-18-2) § 4.4] for further details.

 $\alpha$ ,*β*,*γ*,*δ* ≥ 1 (i.e. *bUa* has relative length 4), then *M* admits a finite complete rewriting system. In [\[11\]](#page-17-5), the same claim was made for the case of *bUa* having relative length 6; however, as we shall see, the monoid  $\Pi_2$  of this article demonstrates the existence of gap in the proof (but not, a priori, a counterexample to the statement), see § [3.](#page-13-0)

Their approach was in line with answering the (still open) question of whether every onerelation monoid admits a finite complete rewriting system. Of course, a positive answer to this question would resolve the word problem, and so would represent an incredible leap forward from our current understanding; however, a negative answer may be obtained even without involving the word problem. Based on historical results, such a negative answer may be obtained via considering appropriate types of homological finiteness properties, see e.g. [\[21\]](#page-18-5). For recent progress on this subject, see the result by Gray & Steinberg [\[15\]](#page-17-7) that every one-relation monoid satisfies the homological finiteness property  $FP_{\infty}$ , a condition satisfied by all monoids admitting finite complete rewriting systems.

Cain & Maltcev noticed, based on their rewriting systems constructed, that the Dehn function of all monadic one-relation monoids  $Mon\langle a, b \mid bUa = a \rangle$  in which  $bUa$  has relative length 4 is at most quadratic. This prompted the authors to ask the following question, see [\[10,](#page-17-4) Open Problem 5.1(1)]:

#### **Question (Cain & Maltcev, 2013).** *Does every monoid* Mon $\langle a, b \mid bUa = a \rangle$  have at most quadratic *Dehn function?*

Here, the Dehn function is a measure of the complexity of the "naïve" method of attempting to solve the word problem in a given monoid, see § [1](#page-3-0) for details. In this article, we provide a negative answer to their question. More specifically, we will show the following main theorem:

<span id="page-3-1"></span>**Theorem 1.** *For every N* ≥ 2*, the Dehn function*  $\partial_N$  *of the one-relation monoid* 

$$
\Pi_N = \text{Mon}\, \langle a, b \, | \, aa(ba)^N = a \rangle
$$

 $satisfies$   $\partial_N(n) \ge N^{n/4}$  , i.e. the Dehn function of  $\Pi_N$  is at least exponential.

Hence, Theorem [1](#page-3-1) answers negatively Cain & Maltcev's question. The proof of Theorem [1](#page-3-1) is enacted via the proof of a Main Lemma, which shows that for every  $k \geq 1$  and every  $N \geq 2$  we have

$$
ab^{2k}a^{2k}a = b^{2k-1}a^{2k}baa \quad \text{in } \Pi_N,
$$

but that the shortest sequence of elementary transformations of Π*<sup>N</sup>* verifying this grows exponentially in *k* (with base *N*).

In spite of the above result, in  $\S$  [3](#page-13-0) we will also prove (Theorem [19\)](#page-14-0) that the word problem is decidable in all Π*<sup>N</sup>* , by reducing it to the decidability of the rational subset membership problem in solvable Baumslag–Solitar groups. Finally, we will mention some links with other results, including residual finiteness, positive one-relator groups, finite complete rewriting systems, automaticity, and one-relation inverse monoids.

#### <span id="page-3-0"></span>**1. Transformations in cycle-free monoids**

We assume the reader is familiar with the basics of combinatorial group and semigroup theory, in particular the theory of presentations. The reader may consult [\[1,](#page-17-8) [24,](#page-18-6) [28\]](#page-18-7) if they are not. We will denote monoid (resp. group) presentations as  $\text{Mon}\langle A | R \rangle$  resp.  $\text{Gp}\langle A | R \rangle$ , where *A* is the generating set, and *R* is the set of defining relations resp. relators. The free monoid on an alphabet *A* is denoted *A*<sup>\*</sup>. For two words *u*, *v* ∈ *A*<sup>\*</sup> with a maximal shared prefix *p*, i.e. *u* = *pu'* and *v* = *pv'* (*p* may be empty) and the first letters of  $u'$  and  $v'$  differ (or both are empty), we let  $(u, v)_{p\text{-red}}$ denote the pair  $(u', v')$ , i.e. the pair obtained by removing the maximal shared prefix of *u* and *v*.

We say that the pair  $(u, v)$  is *(left-)reduced* if  $(u, v)_{p$ -red =  $(u, v)$ , i.e. *u* and *v* have no shared nonempty prefix. We omit many of the details of Adian's theory of cycle-free monoids, and refer the reader to [\[25\]](#page-18-2).

#### 1.1. *Dehn functions*

For a finitely presented monoid  $M = \text{Mon}\langle A | R \rangle$ , each equivalence class of a word  $w \in A^*$  can be regarded as a metric space. Concretely, if  $u, v \in A^*$  are such that  $u = v$  in *M*, then we can define a metric *∂<sup>M</sup>* (*u*, *v*) as the shortest sequence of elementary transformations of *M* transforming *u* into  $\nu$ , i.e. the shortest sequence of applications of relations connecting  $\mu$  and  $\nu$ . Overloading notation, this metric gives rise to the monotone non-decreasing *Dehn function*  $\partial_M : \mathbb{N} \to \mathbb{N}$  (also called the *least isoperimetric function*) for *M* defined as:

$$
\partial_M(n) = \max \left\{ \partial_M(u, v) \colon u, v \in A^*, u =_M v \text{ and } |u| + |v| \le n \right\}.
$$

Here  $|v|$  denotes the length of  $v \in A^*$  (as a word over the alphabet *A*). In other words,  $\partial_M(n)$ measures the complexity of the "naïve" approach to solving the word problem in a monoid via enumerating equalities by using the defining relations. It is easy to see that the word problem for *M* is decidable if and only if *∂<sup>M</sup>* (*n*) is a recursive function (with respect to any generating set *A*). For two functions  $\varphi, \psi : \mathbb{N} \to \mathbb{N}$ , we write  $\varphi \leq \psi$  if there is a constant  $c \in \mathbb{N}$  such that  $\varphi(n)$  ≤ *c* $\psi$ (*cn*) + *cn* for all *n* ∈ N, and we write  $\varphi$  ∼  $\psi$  if  $\varphi$  ≤  $\psi$  and  $\psi$  ≤  $\varphi$ . Then ∼ is an equivalence relation on the set of all functions  $\varphi: \mathbb{N} \to \mathbb{N}$ . The equivalence class – i.e. the asymptotic growth rate – of *∂<sup>M</sup>* under ∼ can easily be shown to not depend on the particular finite generating set *A* chosen (which justifies our somewhat abusive suppression of any mention of the generating set above). Thus, we may speak of a monoid having a linear, quadratic, polynomial, exponential, etc., Dehn function, where e.g. *M* having a quadratic Dehn function means that *∂<sup>M</sup>* (*n*) ∼ *n* 2 . This is true, for example, for the free commutative monoid  $\mathbb{N} \times \mathbb{N} \cong \text{Mon}\langle a, b \mid ab = ba \rangle$ , in which proving that  $a^n b^n$  equals  $b^n a^n$  requires ∼  $n^2$  elementary transformations.

#### 1.2. *Algorithm* A

In 1976, Adian [\[2\]](#page-17-0) described a pseudo-algorithm for solving the left divisibility problem in a given left cycle-free monoid (and hence also the word problem). This pseudo-algorithm is called  $\mathfrak{A}$ , and takes as input the defining relations of a left cycle-free presentation for a monoid *M*, a word *w*, and a letter *x*, and outputs either "yes" or "no", depending on whether *w* is left divisible by *x* in *M*. It is termed a pseudo-algorithm rather than an algorithm because it does not always terminate, and it has no built-in mechanism for detecting non-termination, even for a fixed set of defining relations and letter. In the sequel, we will be somewhat sloppy and write  $\mathfrak A$  as taking only a single word as input (with a minor modification below to allow for two words), and let context make the defining relations and the letter clear.

We will only describe  $\mathfrak A$  for the case of a left cycle-free one-relation monoid  $M = \text{Mon}\langle a, b \mid$ *bP* = *aQ*〉. For a full description, we refer the reader to [\[25,](#page-18-2) § 4.2]. We will make use of *prefix decompositions* of a word (with respect to the above presentation). Let  $A = \{a, b\}$ . For a word *w* ∈ *A* ∗ , we find the *prefix decomposition* R(*w*) of *w* as follows. From left to right, factorise *w* into a product of maximal prefixes of either *bP* or *aQ* (as *M* is left cycle-free, this is well-defined). If any of these maximal prefixes is the entire defining word *bP* or *aQ*, then we stop, and call this the *head* of  $\Re(w)$ . The remaining suffix of *w* is called the *tail* of  $\Re(w)$ . If  $\Re(w)$  has no head, then it is called a *headless* decomposition. We write

$$
\Re(w) = w_1 \mid w_2 \mid \dots \mid w_k \lfloor H \rfloor w'
$$

to denote that the  $w_i$  are maximal proper prefixes of *bP* or *aQ*, and that  $H = bP$  or  $H = aQ$ is the head of the prefix decomposition of  $w$ ; the word  $w'$  is the tail. In the case of a headless decomposition, we write this simply

<span id="page-5-3"></span><span id="page-5-2"></span>
$$
\Re(w)=w_1\mid w_2\mid\dots\mid w_k.
$$

<span id="page-5-1"></span>**Example 2.** Let  $M_0 = \text{Mon}\langle a, b \mid b^2 a^2 = a \rangle$ . We give an example of two prefix decompositions with a head, and one that is headless.

$$
\Re(bbbabbaabbaab) = bb \mid bba \mid bbaa \mid bbab. \tag{1}
$$

$$
\Re(bbabbababab) = bba \mid bba \mid b \mid a \mid bab. \tag{2}
$$

$$
\Re(bbabbabb) = bba \mid bba \mid bb.
$$
\n(3)

We shall see below that results due to Adian allow us to conclude, from the latter of these three decompositions, that *bbabbabb* is *not* left divisible by *a* in *M*0.

We shall make one notational simplification. If the prefix decomposition of a word *w* begins with  $p > 1$  copies of a prefix *u*, i.e. if  $\Re(w)$  is of the form

<span id="page-5-0"></span>
$$
\mathfrak{R}(w) = \underbrace{u \mid u \mid \cdots \mid u}_{p \text{ times}} \mid v \cdots
$$
 (4)

and none of these occurrences of *u* are the head (if any) of the decomposition, then we will simply write this as

$$
\Re(w) = u^p \mid v \cdots.
$$

This is not very abusive, as we know by the prefix decomposition  $(4)$  that  $u^p$  is not itself a prefix of any defining relation.

Adian's algorithm  $\mathfrak{A}$ , with input a word *w* and a letter  $x \in A$ , is now described as follows. First, if *w* begins with *x*, the procedure halts, and outputs yes. Otherwise, compute the prefix decomposition  $\mathfrak{R}(w)$ . If  $\mathfrak{R}(w)$  is headless, then halt, and output no. If  $\mathfrak{R}(w)$  has a head, then replace the head by the other side of the defining relation. This results in a word  $w'$ . We say  $\mathfrak{A}_x^{(1)}(w) = w'$  or simply  $\mathfrak{A}^{(1)}(w) = w'$  (leaving the letter *x* implicit). We then iterate this procedure, finding  $\mathfrak{A}^{(2)}(w),\mathfrak{A}^{(3)}(w),\dots$  . This process does not always terminate.

**Example 3.** We continue Example [2.](#page-5-1) Fixing the letter  $x = a$ , and suppressing it in writing  $\mathfrak{A}$ below, we have, using the decompositions [\(1\)](#page-5-2) and [\(2\)](#page-5-3), that

> $\mathfrak{A}^{(1)}(bbbbbabbaabbaab) = bbbba \cdot a \cdot bbab,$  $\mathfrak{A}^{(1)}(bbabbabababb) = bbabbab\cdot bbaa\cdot bab,$

and as  *has a headless prefix decomposition,*  $\mathfrak A$  *terminates immediately on input bbabbabb*. We leave the reader to verify that

 $\mathfrak{A}^{(2)}(bbbbbabbaabbaab)=bbabbabbab,$ 

which has a headless prefix decomposition. Furthermore, it is not hard to see that  $\mathfrak A$  does not terminate on input *bbabbabababab* as above. Indeed, we even have

$$
\mathfrak{A}^{(1)}(ba) = bbbaa
$$
,  $\mathfrak{A}^{(2)}(ba) = bbbbbaaa$ , ...,  $\mathfrak{A}^{(i)}(ba) = b^{2i+1}a^{i+1}$ ,...

and so A does not even terminate on input *ba*.

In general, as our alphabet consists of only two letters *a* and *b*, when writing  $\mathfrak{A}(w)$ , we will implicitly assume that the letter  $x$  is simply the letter which  $w$  does not begin with (as otherwise A would terminate immediately).

**Theorem (Adian, 1976).** Let  $M = \text{Mon}\langle A | R \rangle$  be a left cycle-free monoid. Suppose  $\mathfrak{A}$  is applied to *a word u* ∈ *A* ∗ *. Then u is left divisible by x* ∈ *A if and only if* A *terminates, when input u and x, in a word beginning with x. That is, u is left divisible by x if and only if* A *outputs* yes *on input u and x.*

In view of the remarkable result by Guba [\[18,](#page-17-3) Theorem 4.1] that the word problem is equivalent to the left divisibility problem in the case of monadic left cycle-free one-relation monoids, it follows that the halting problem for  $\mathfrak A$  for the monoid is in these cases equivalent to the word problem for the monoid.

We shall make a notational modification for  $\mathfrak{A}$ , and use this to denote another, very closely related, algorithm. This will operate on pairs of words *u*, *v* ∈ *A* <sup>∗</sup> with no common non-empty prefix, as follows. First, if either of *u* or *v* are empty, then  $\mathfrak A$  halts. If both *u* and *v* are non-empty, then  $\mathfrak A$  will perform a single step of Adian's (usual) algorithm  $\mathfrak A$  on  $u$ . Suppose  $\mathfrak A^{(1)}(u)=u'$ . Then we set  $\mathfrak{A}^{(1)}(u, v) = (u', v)_{p\text{-red}}$ . As this results in a pair of words  $(u'', v')$  with no common nonempty prefix, we may iterate  $\mathfrak A$  on  $(u'', v')$ , obtaining  $\mathfrak A^{(2)}(u, v)$ , etc. When we write  $\mathfrak A^{(k)}(u, v)$  =  $(\varepsilon, \varepsilon)$ , we mean that  $\mathfrak A$  takes *exactly k* steps to reach  $(\varepsilon, \varepsilon)$  when applied to the pair  $(u, v)$ .

The following is one of the key properties of  $\mathfrak{A}$ , and is a direct and easy reformulation of the proof of [\[2,](#page-17-0) Theorem 1].

<span id="page-6-0"></span>**Proposition 4 (Adian, 1976).** *Let*  $M = \text{Mon}\langle A | R \rangle$  *be a left cycle-free monoid, and u, v*  $\in$   $A^*$ . *Then*  $u =_M v$  *if and only if there is some (necessarily unique)*  $k \in \mathbb{N}$  *such that*  $\mathfrak{A}^{(k)}(u, v) = (\varepsilon, \varepsilon)$ *. Furthermore, if such k exists, then*  $\partial_M(u, v) = k$ .

In other words, stated geometrically (and for the reader familiar with the details of semigroup diagrams, cf. e.g. [\[18\]](#page-17-3)), for equal words *u* and *v*,  $\mathfrak{A}$  can be used to produce a  $(u, v)$ -diagram with the least number of cells over all  $(u, v)$ -diagrams. Furthermore, this is the only  $(u, v)$ -diagram with this number of cells.

#### **2. Equalities in** Π*<sup>N</sup>*

#### 2.1. *Basic lemmas in* Π*<sup>N</sup>*

As mentioned in the introduction, the monoids

$$
\Pi_N = \text{Mon}\, \langle a, b \, | \, baa(ba)^N = a \rangle,
$$

where  $N \geq 2$ , hold center stage. In the sequel, we will so frequently use the abbreviation

$$
X=ba
$$

that it deserves its own line. Note that this makes the defining relation of Π<sub>*N*</sub> as  $XaX<sup>N</sup> = a$ . We state two lemmas on word transformations via  $\mathfrak A$  as applied to  $\Pi_N$ . In the sequel, whenever we write "word" we mean "word over  $\{a, b\}$ "; whenever we write  $\mathfrak{A}$ , we mean  $\mathfrak{A}$  as applied to  $\Pi_N$ ; when we write "*u* is left divisible by  $v$ " we mean "*u* is left divisible by  $v$  in  $\Pi_N$ ", etc.

<span id="page-6-1"></span>**Lemma 5.** Let P,Q be arbitrary words. Suppose  $\mathfrak{A}^{(k)}(P) = W$  for some word W and k  $\in \mathbb{N}$ . If either

- (1) *P begins with a, and is left divisible by b; or*
- (2) *P begins with b, and is left divisible by a.*

*Then we have*  $\mathfrak{A}^{(k)}(PQ) = WQ$ .

The proof is easy by using Adian's Theorem on  $\mathfrak A$  (as above) to conclude that for all  $k_0 < k$ the word  $\mathfrak{A}^{(k_0)}(P)$  has a head, so the head of the prefix decomposition of  $\mathfrak{A}^{(k_0)}(PQ)$  is inside this distinguished subword *P*.

<span id="page-7-0"></span>**Lemma 6.** *Let*  $Y = bY'$  *be a word beginning with b. Let*  $\ell \ge 0$  *be such that for all*  $0 \le k \le \ell$ *, we have that*  $\mathfrak{A}^{(k)}(Y)$  *also begins with b. Then for all s*  $\geq$  1*, we have*  $\mathfrak{A}^{(\ell)}(b^sY) = b^s \mathfrak{A}^{(\ell)}(Y)$ *.* 

The proof of this lemma is immediate by strong induction on *ℓ*.

#### 2.2. *The Main Lemma*

We now turn to the proof of Theorem [1,](#page-3-1) which will pass via a Main Lemma. For every  $k \ge 0$ , we define the following pairs of words:

$$
U_k = ab^{2k}a^{2k}a, \qquad V_k = b^{2k-1}a^{2k}baa.
$$

The main equalities we shall prove are the following:

$$
U_k = V_k \quad \text{in } \Pi_N \text{ for all } k \ge 1 \text{ and all } N \ge 2. \tag{5}
$$

We remark immediately that the words  $U_k$  and  $V_k$  do *not* depend on *N*. That is, these words are equal in all  $\Pi_N$ . Indeed, we have

$$
|U_k| = |V_k| = 4k + 2.
$$

We shall prove that although the equality  $U_k = V_k$  holds in  $\Pi_N$ , this can only be proved using sequences of elementary transformations whose length are exponential, with exponent *k* and base  $N^2$ . More precisely, we prove the following:

**Main Lemma.** *For every k*  $\geq 1$  *and*  $N \geq 2$ *, we have*  $U_k = V_k$  *in*  $\Pi_N$ *. Furthermore, the shortest chain of elementary transformations of* Π*<sup>N</sup> verifying this equality has length σ<sup>N</sup>* (*k*)*, where*

$$
\sigma_N(k) := \frac{2N}{N^2 - 1} \left( N^{2k} - 1 \right) + 4k - 2.
$$

*In other words, we have*  $\partial_N(U_k, V_k) = \sigma_N(k)$ .

Using the Main Lemma it is easy to see that Theorem [1](#page-3-1) follows. Indeed, assuming it holds, we find the following:

**Proof of Theorem [1.](#page-3-1)** First, we have  $|U_k| + |V_k| = 8k + 4$ . Let  $n \in \mathbb{N}$  with  $n \ge 4$ , and let  $k \in \mathbb{N}$  be the greatest *k* such that  $n \ge 8k + 4$ . In particular,  $k = \lfloor \frac{n-4}{8} \rfloor$ . Then by the Main Lemma we have

$$
\partial_N(n) \ge \partial_N(8k+4) \ge \partial_N(U_k, V_k) \sim N^{2k} = N^{2\left\lfloor \frac{n-4}{8} \right\rfloor} \sim N^{n/4}
$$

Hence  $\partial_N(n) \ge N^{n/4}$ , and  $\Pi_N$  has Dehn function  $\partial_N(n)$  at least exponential.

.

The remainder of the section will be devoted to the proof of the Main Lemma.

#### 2.3. *Proof of the Main Lemma*

Let us recall the definition of the words  $U_k$  and  $V_k$ , for  $k \geq 1$ :

$$
U_k = ab^{2k}a^{2k}a, \qquad V_k = b^{2k-1}a^{2k}baa.
$$

As noted above, we shall also very frequently make use of the abbreviation  $X = ba$ . Thus, the defining relation of  $\Pi_N$  is  $XaX^N = a$ . The overall strategy of the proof is to apply  $\mathfrak A$  to the pair  $(U_k, V_k)$ . This process will be divided into two parts. The first will serve to reduce the pair  $(U_k, V_k)$ to one of the form (*W*,*a*), where the precise form of *W* depends both on *k* and *N*. The second part will be to reduce the pair  $(W, a)$  to a pair  $(X^p a X^{pN}, a)$ , where  $p = p(k, N)$  is a "very large" number. Of course, no matter the value of *p*, we have that Adian's algorithm reduces ( $X^p a X^{pN}$ , *a*) to ( $\varepsilon$ ,  $\varepsilon$ ) in  $X^p$  steps. In total, keeping a precise count, Adian's algorithm will take  $\sigma_N(k)$  steps to reduce  $(U_k, V_k)$  to  $(\varepsilon, \varepsilon)$ , which will complete our proof by Proposition [4.](#page-6-0)

We begin with a somewhat technical lemma, but which is often very useful. In essence, the lemma says that *X*'s can be "pushed" to the right of an *aa*, as long as one applies an appropriate multiplicative factor of  $N^2$ .

<span id="page-8-0"></span>**Lemma 7.** Let  $p, q \ge 0$ . Then  $\mathfrak{A}^{(pN+p)}(X^p aa X^q) = aa X^{q+pN^2}$ .

**Proof.** The proof of the claim is now by induction on  $p$ . If  $p = 0$ , then there is nothing to prove. Assume the lemma is proved for all  $p < p'$  with  $p' > 0$ . We prove the claim for  $p = p'$ . As  $p > 0$ , the prefix decomposition of  $W = X^p a a X^q = X^{p-1} b a a a X^q$  is

$$
\Re(X^p a a X^q) = X^{p-1} | b a a \boxed{a} X^q,
$$

so we find that  $\mathfrak{A}^{(1)}(W) = X^{p-1}baa \cdot (ba)^{1}aX^{N} \cdot X^{q}$ . Here, the prefix decomposition is easily found to be

$$
\Re(X^paba aX^N X^q) = X^{p-1} \left| baa(ba)^1 \boxed{a} \right| X^N X^q,
$$

and so  $\mathfrak{A}^{(2)}(W) = X^{p-1}baa(ba)^2aX^{2N}X^q$ . Continuing iteratively, we thus find that

$$
\mathfrak{A}^{(N)}(W) = X^{p-1} \underline{baa(ba)}^N aX^{N^2} X^q,
$$

where the head of the prefix decomposition of this word is the underlined segment  $\mathit{baa}(ba)^N$ . Consequently,

$$
\mathfrak{A}^{(N+1)}(W) = X^{p-1} a a X^{q+N^2}
$$

and as  $\mathfrak{A}^{((p-1)N+p-1)}(X^{p-1}aaX^{q+N^2}) = aaX^{q+N^2+(p-1)N^2}$  by the inductive hypothesis, we find that

$$
\mathfrak{A}^{(pN+p)}(W) = \mathfrak{A}^{(N+1+(p-1)N+p-1)}(W) = aaX^{q+pN^2}
$$

which is what was to be proved.  $□$ 

We define three sequences  $s_N$ ,  $t_N$ , and  $T_N$  of natural numbers as follows:

$$
\begin{aligned} s_N(n) & = \begin{cases} N, & \text{if } n = 0, \\ N^2 s_N(n-1) - N^2 + N, & \text{if } n > 0. \end{cases} \\ t_N(n) & = \begin{cases} 0, & \text{if } n = 0, \\ (N+1)\left(s_N(n-1) - 1\right) + 1, & \text{if } n > 0. \end{cases} \\ T_N(n) & = \sum_{i=0}^n t_N(i). \end{aligned}
$$

Note that  $t_N(n)$  is defined via a recurrence on  $s_N$ , rather than on  $t_N$ . For example, for  $N = 2$ , the sequences begin as follows:

$$
s_2(n)
$$
: 2, 6, 22, 86, 342, 1366, ...,  
 $t_2(n)$ : 0, 4, 16, 64, 256, 1024, ...,  
 $T_2(n)$ : 0, 4, 20, 84, 340, 1364, ...

The reader may well recognise the sequence  $t_2(n)$  above, and that  $s_2(n)$  appears very similar to  $T_2(n)$ ; of course, this is no coincidence. Indeed, it is an easy exercise in undergraduate combinatorics to derive a closed form for the three sequences above, as follows:

<span id="page-8-1"></span>**Lemma 8.** *The sequences*  $s_N$ *,*  $t_N$ *, and*  $T_N$  *admit the following closed forms:* 

(1) 
$$
s_N(n) = \frac{N}{N+1} (N^{2n+1} + 1)
$$
 for all  $n \ge 0$ .

(2) 
$$
t_N(n) = (N^2)^n
$$
 for all  $n > 0$ .

(3)  $T_N(n) = \frac{N^2}{N^2-1} (N^{2n} - 1)$  *for all n* ≥ 0*.* 

Having diverged somewhat from considering words, we now return to our words  $U_k$  and  $V_k$ . We begin with a useful lemma, which will use all three of the above functions.

,

<span id="page-9-2"></span>**Lemma 9.** *Let*  $q \ge 0$ *. Let Q be an arbitrary word. Then* 

$$
\mathfrak{A}^{(T_N(q))}\left(X^N(aX^N)^{2q},a^{2q}Q\right)=\left(X^{s_N(q)},Q\right).
$$

**Proof.** The proof is by induction on *q*. If  $q = 0$ , then  $X^N (aX^N)^{2q} = X^N$ , and as  $T_N(q) = 0$  and  $s_N(q) = N$ , the claim holds. Assume the claim holds for all  $q < q'$  for some  $q' > 0$ . We prove the claim for  $q = q'$ .

As *X <sup>N</sup>* (*aX <sup>N</sup>* ) 2(*q*−1) is, by the inductive hypothesis, left divisible by *a*, it follows from Lemma [5,](#page-6-1) taking  $P = X^N (aX^N)^{2(q-1)}$ ), and the inductive hypothesis that we have

$$
\mathfrak{A}^{(T_N(q-1))}\left(X^N\left(aX^N\right)^{2q},a^{2q}Q\right)=\left(X^{s_N(q-1)}\left(aX^N\right)^2,a^2Q\right).
$$

We will now rewrite this pair further. First, we find the prefix decomposition of  $X^{s_N(q-1)}(aX^N)^2$ as:

$$
\mathfrak{R}\Big(X^{s_N(q-1)}(aX^N)^2\Big) = \mathfrak{R}\big(X^{s_N(q-1)-1}baaX^N(aX^N)\big),
$$
  
=  $X^{s_N(q-1)-1}\Big[baaX^N\Big]aX^N,$ 

and hence we find, replacing this head by *a*, that

<span id="page-9-0"></span>
$$
\mathfrak{A}^{(1)}\left(X^{s_N(q-1)}\left(aX^N\right)^2, a^2Q\right) = \left(X^{s_N(q-1)-1}aaX^N, a^2Q\right). \tag{6}
$$

<span id="page-9-1"></span>By Lemma [7,](#page-8-0) we have

$$
\mathfrak{A}^{((s_N(q-1)-1)(N+1))}(X^{s_N(q-1)-1}a a X^N) = a a X^{N+(s_N(q-1)-1)N^2}
$$
  
=  $a a X^{N^2 s_N(q-1)-N^2+N}$   
=  $a a X^{s_N(q)}$ . (7)

Now, applying Lemma [5](#page-6-1) with  $P = X^{s_N(q-1)-1} a a X^N$ , we can combine [\(6\)](#page-9-0) and [\(7\)](#page-9-1), and thus find:

$$
\mathfrak{A}^{((s_N(q-1)-1)(N+1)+1)}\left(X^{s_N(q-1)}(aX^N)^{2q},a^{2q}Q\right) = (aaX^{s_N(q)},aaQ)_{p\text{-red}}\tag{8}
$$
\n
$$
= (X^{s_N(q)},Q).
$$

Thus we are almost done by induction; it remains to count the steps performed in transforming  $(X^N(aX^N)^{2q}, a^{2q}Q)$  to  $(X^{s_N(q)}, Q)$ . By the inductive hypothesis, we first have  $T_N(q-1)$  steps in transforming the pair  $(X^N(aX^N)^{2q}, a^2qQ)$  to  $(X^{s_N(q-1)}(aX^N)^2, a^2Q)$ . The above reasoning shows that an additional

$$
(s_N(q-1)-1)(N+1)+1
$$

steps were subsequently performed. Thus the total number of steps performed was

$$
T_N(q-1) + \underbrace{(s_N(q-1)-1)(N+1)+1}_{t_N(q)} = T_N(q-1) + t_N(q) = T_N(q),
$$

which is what was to be shown.  $\Box$ 

<span id="page-9-3"></span>**Lemma 10.** Let W be an arbitrary word. For all  $k \ge 1$  and  $N \ge 2$ , we have

$$
\mathfrak{A}^{(T_N(k-1)+2k-1)}(aW,V_k) = \Big(X^{s_N(k-1)-1}W,a\Big).
$$

**Proof.** Of course, the prefix decomposition of *aW* has the distinguished letter *a* as its head. In particular, we find  $\mathfrak{A}(aW) = baaX^NW$ , and hence, removing the shared prefix *b* from  $baaX^NW$ and  $V_k$ , we find:

$$
\mathfrak{A}^{(1)}(aW, V_k) = \left(\underline{b} \cdot aaX^N W, \underline{b} \cdot b^{2k-2} a^{2k}baa\right)_{\text{p-red}} = \left(a aX^N W, b^{2k-2} a^{2k}baa\right).
$$

The head of  $a\alpha X^N W$  is the first distinguished a. Hence, continuing iteratively, continuously removing the *b*'s from the beginning of the second entry of the pair, we eventually find:

$$
\mathfrak{A}^{(2k-1)}(aW, V_k) = \left(\underline{baa}X^N \cdot (aX^N)^{2k-2}W, \underline{baa}a^{2k-2}baa\right)_{\text{p-red}}
$$

$$
= \left(X^N \left(aX^N\right)^{2k-2}W, a^{2k-2}baa\right)_{\text{p-red}}.
$$

If  $k = 1$ , then  $(X^N(aX^N)^{2k-2}W, a^{2k-2}baa) = (X^NW, baa)$ , and as, by definition,  $X^N = (ba)^N$ , we have that  $(X^NW,baa)_{\text{p-red}} = (X^{N-1}W,a)$ , as required.

Thus, assume that  $k > 1$ . By Lemma [9,](#page-9-2) we have

$$
\mathfrak{A}^{(T_N(k-1))}\left(X^N\left(aX^N\right)^{2k-2},a^{2k-2}baa\right) = \left(X^{s_N(k-1)},baa\right)_{p\text{-red}},\\
= \left(X^{s_N(k-1)-1},a\right).
$$

As the proof of Lemma [9](#page-9-2) shows that the prefix decomposition of each of the  $T_N(k-1)$  intermediary words in this rewriting has a head, we may perform the above rewriting first when applying  $\mathfrak A$  to the pair  $(X^N(aX^N)^{2k-2}W,a^{2k-2}baa)$ . Hence, combining this rewriting with the above  $2k-1$ steps, we find

$$
\mathfrak{A}^{(T_N(k-1)+2k-1)}(aW,V_k) = \left( X^{s_N(k-1)-1} W, a \right).
$$

This is precisely what was to be shown.

We are now ready to enact the first part of Adian's algorithm on the pair  $(U_k, V_k)$ . It is worth keeping in mind that this part will only affect the first letter  $a$  in the word  $U_k$ , but will transform all of  $V_k$  into  $a$ .

<span id="page-10-1"></span>**Lemma 11.** *For every*  $k \ge 1$  *and*  $N \ge 2$ *, we have* 

$$
\mathfrak{A}^{(T_N(k-1)+2k-1)}(U_k,V_k)=\left(X^{s_N(k-1)-1}b^{2k}a^{2k}a,a\right).
$$

**Proof.** This is immediate by Lemma [10,](#page-9-3) taking  $aW = U_k = ab^{2k}a^{2k}a$ .

Thus we have completed the first part of Adian's algorithm when applied to the pair  $(U_k, V_k)$ . Already, this is a large number of steps – note that  $T_N(k)$  grows as  $N^{2k}$ , so if we could prove (by whatever means) that  $U_k = V_k$  in  $\Pi_N$ , then we could conclude just on the basis of this first part that Π*<sup>N</sup>* has at least an exponential Dehn function. We continue with our precise count of the steps.

The second part of Adian's algorithm now consists in proving that, for all  $k \ge 1$  and  $N \ge 2$ , we have that  $X^{s_N(k-1)-1}b^{2k}a^{2k}a$  is equal to  $a$  in  $\Pi_N$ .

<span id="page-10-0"></span>**Lemma 12.** *For every*  $p \ge 1$  *and*  $q > 1$  *and*  $N \ge 2$ *, we have* 

$$
\mathfrak{A}^{(pN+p+1)}\left(b^{2q-1}X^p a^{2q}\right) = b^{2(q-1)-1}X^{pN^2-N}a^{2(q-1)}.
$$

**Proof.** Note that the word  $b^{2q-1}X^pa^{2q}$  is of the form  $b^{2q-1}X^paqQ$  for a word *Q*. By Lemma [7,](#page-8-0) we have that  $\mathfrak{A}^{(p_1)}(X^p a a) = a a X^{p_1}$ , and as no word in the corresponding sequence of elementary transformations begins with *a* except the last, i.e.  $a a X^{pN^2}$ , it hence follows from Lemma [6](#page-7-0) that

$$
\mathfrak{A}^{(pN+p)}\left(b^{2q-1}X^p aa \cdot a^{2q-2}\right) = b^{2q-1}aaX^{pN^2}a^{2q-2}.
$$

Consequently, as the prefix decomposition of  $b^{2q-1}aaX^{pN^2}a^{2q-2}$  is

$$
\Re\left(b^{2q-1}aaX^{pN^2}a^{2q-2}\right) = b^{2q-2}\boxed{baaX^N}X^{pN^2-N}a^{2q-2},
$$

$$
\Box
$$

we find that

$$
\mathfrak{A}^{(pN+p+1)}\left(b^{2q-1}X^p aa \cdot a^{2q-2}\right) = b^{2q-2}aX^{pN^2-N}a^{2q-2}
$$
  
= 
$$
b^{2(q-1)-1}X^{pN^2-N+1}a^{2(q-1)}.
$$

This is precisely what was to be shown.  $\Box$ 

For ease of bookkeeping, we define another three sequences *s* ′  $'_{N}$ ,  $t'_{l}$  $T'_N$ , and  $T'_N$  $\gamma_{N}^{\prime}$  of natural numbers as follows:

$$
\begin{aligned} s_N'(n) &= \begin{cases} 1, & \text{if } n = 0, \\ N^2 s_N'(n-1) - N + 1, & \text{if } n > 0. \end{cases} \\ t_N'(n) &= \begin{cases} 0, & \text{if } n = 0, \\ (N+1) s_N'(n-1) + 1, & \text{if } n > 0. \end{cases} \\ T_N'(n) &= \sum_{i=0}^n t_N'(i). \end{aligned}
$$

Note that *t* ′  $\frac{N}{N}(n)$  is defined via a recurrence on  $s'_j$  $\mathcal{L}'_N$ , rather than on  $t'_N$  $N<sub>N</sub>$ . For example, for  $N = 2$  these sequences begin:

$$
s'_2(n): 1,3,11,43,171,683,...
$$
  
 $t'_2(n): 0,4,10,34,130,514,...$   
 $T'_2(n): 0,4,14,48,178,692,...$ 

Analogously to Lemma [8,](#page-8-1) we can easily find closed form expressions for these functions.

<span id="page-11-0"></span>**Lemma 13.** *The sequences*  $s'_N$ *, t*<sup> $'$ </sup>  $I_{N}^{\prime}$  , and  $T_{N}^{\prime}$  admit the following closed forms:

- $(1)$   $s'_{j}$  $N_{N}^{'}(n) = \frac{N}{N_{N}+1} (N^{2n} + \frac{1}{N})$  for all  $n \ge 0$ .
- $(2)$   $t_1^{\prime}$  $N_N^j(n) = N^{2n} + 2$  *for all n*  $\geq 1$ *.*<br> $N^j(n) = N(N^{2n} - 1) + 2n$

$$
(3) \quad T_N'(n) = \frac{N}{N^2 - 1}(N^{2n} - 1) + 2n \text{ for all } n \ge 0.
$$

We remark that an immediate consequence of Lemma [8](#page-8-1) and Lemma [13](#page-11-0) is the relationship

<span id="page-11-2"></span>
$$
s'_N(k) - 1 = N(s_N(k-1) - 1).
$$
\n(9)

We shall presently use this. First, however, we will use our new functions *s* ′  $'_{N}$ ,  $t'_{l}$  $T'_{N}$ , and  $T'_{N}$  $\gamma_N'$  to count the number of steps performed by  $\mathfrak A$  to prove that  $b^{2k}a^{2k}a$  is left divisible by  $a$ .

<span id="page-11-1"></span>**Lemma 14.** *For every*  $k \ge 1$  *and*  $N \ge 2$ *, we have* 

$$
\mathfrak{A}^{(T_N'(k))}\left(b^{2k}a^{2k}a\right) = aX^{s_N'(k)}.
$$

**Proof.** Consider the word  $b^{2k}a^{2k}a = b^{2k-1}X^1a^{2k}$ . We may apply Lemma [12](#page-10-0) to this word to find that

$$
\mathfrak{A}^{(N+2)}\left(b^{2k-1}X^{1}a^{2k}\right) = b^{2(k-1)-1}X^{N^2-N+1}a^{2(k-1)}
$$

$$
\therefore \mathfrak{A}^{(t'_{N}(1))}\left(b^{2k-1}X^{1}a^{2k}\right) = b^{2(k-1)-1}X^{s'_{N}(1)}a^{2(k-1)}
$$

Of course, the word  $b^{2(k-1)-1}X^{s_N'(1)}a^{2(k-1)}$  is also of the correct form for applying Lemma [12,](#page-10-0) and we find

$$
\mathfrak{A}^{((N+1)s'_N(1)+1)}\left(b^{2(k-1)-1}X^{s'_N(1)}a^{2(k-1)}\right)=b^{2(k-2)-1}X^{N^2s'_N(1)-N+1}a^{2(k-2)}.
$$

As *t* ′  $N<sup>I</sup>(2) = (N+1)s'_{I}$  $N_0(1) + 1$  and  $s'_1$  $N<sup>2</sup>$ <sub>*N*</sub><sup>2</sup>**s**<sup>2</sup><sub>*i*</sub> *N* (1)− *N* +1, we conclude  $\mathfrak{A}^{(t'_{N}(1)+t'_{N}(2))}\left(b^{2k}a^{2k}a\right) = b^{2(k-2)-1}X^{s'_{N}(2)}a^{2(k-2)}.$ 

This word is again of the required form to apply Lemma [12,](#page-10-0) etc. and hence, continuing iteratively for  $k-1$  steps and using  $T'_{k}$  $\sum_{i=1}^{k} t_i^j$  $N'_N(i)$ , we find

$$
\mathfrak{A}^{(T_N'(k-1))}\left(b^{2k}a^{2k}a\right) = bX^{s_N'(k-1)}a^2.
$$
\n(10)

Rewriting  $bX^{s_N'(k-1)}a^2$  is easy. Indeed, by Lemma [7](#page-8-0) we have

$$
\mathfrak{A}^{((N+1)s'_{N}(k-1))}\left(bX^{s'_{N}(k-1)}a^{2}\right)=baaX^{N^{2}s'_{N}(k-1)}.
$$
\n(11)

Note that  $(N+1)s'$  $I'_N(k-1) = t'_N$ *N* (*k*)−1. Furthermore, we obviously have

$$
\mathfrak{A}^{(1)}\left(baaX^{N^2s_N'(k-1)}\right) = aX^{N^2s_N'(k-1)-N},\tag{12}
$$

and  $N^2 s'_i$  $N_N(k-1) - N = s'_N$  $N_N^{\prime}(k)$ . We can now assemble all our rewritings [\(10\)](#page-12-0), [\(11\)](#page-12-1), and [\(12\)](#page-12-2), to find that

$$
\mathfrak{A}^{(T'_N(k-1)+t'_N(k)-1+1)}\left(b^{2k}a^{2k}a\right) = aX^{s'_N(k)-1}
$$

which is to say

$$
\mathfrak{A}^{(T_N'(k))}\left(b^{2k}a^{2k}a\right) = aX^{s_N'(k)-1}.
$$

This is precisely what was to be proved.  $\Box$ 

Note that no word, except the last, in the rewriting process described in the proof of Lemma [14](#page-11-1) begins with *a*. In particular, any prefix decomposition of  $X^q b^{2k} a^{2k}$  is simply a prefix decomposition of  $b^{2k}a^{2k}$  preceded by  $q$  prefixes of the form  $X$  |  $X$  | $\cdots$  |  $X$  |. Therefore, just as in the statement of Lemma [6](#page-7-0) (replacing  $b^s$  with  $(ba)^s = X^s$ ) that Lemma [14](#page-11-1) also describes the rewriting process for *X*<sup>*q*</sup>  $b^{2k}$  *a*<sup>2*k*</sup>, for any *q* ≥ 0. In particular, taking *q* = *s*<sub>*N*</sub>(*k* − 1)−1, we conclude:

<span id="page-12-3"></span>**Lemma 15.** *For every*  $k \geq 1$ *, we have* 

$$
\mathfrak{A}^{(T'_N(k))}\left(X^{s_N(k-1)-1}b^{2k}a^{2k}a,a\right) = \left(X^{s_N(k-1)-1}aX^{s'_N(k)-1},a\right).
$$

By [\(9\)](#page-11-2), the pair of words produced in Lemma [15](#page-12-3) is of the form  $(X^p a X^{pN}, a)$ . We are now almost done, as Adian's algorithm applied to any word  $X^p a X^{pN}$  will clearly result in  $a$  after  $p$  steps. We write out the exact statement and a brief proof.

<span id="page-12-4"></span>**Lemma 16.** *For every*  $k \ge 1$ *, we have* 

$$
\mathfrak{A}^{(s_N(k-1)-1)}\left(X^{s_N(k-1)-1}aX^{s'_N(k)-1},a\right)=(\varepsilon,\varepsilon).
$$

**Proof.** First, note that by [\(9\)](#page-11-2) we have that the word

$$
X^{s_N(k-1)-1}aX^{s^\prime_N(k)-1}
$$

is of the form  $X^p a X^{pN}$  for  $p \ge 0$ . For any word of this form, it is easy to see that it is equal to *a*; indeed, one may with little difficulty write down the sequence of transformations carried out by 24 directly. One may also note that the rewriting system  $XaX^N \rightarrow a$  will rewrite any word  $X^p a X^{pN}$  to *a* in *p* steps, and that the resulting sequence of elementary transformations is right directed – indeed, there are no independent transformations (cf. [\[2\]](#page-17-0) for this terminology). Hence, by Proposition [4,](#page-6-0) this sequence must be the one produced by  $\mathfrak A$ , so  $\mathfrak A^{(p)}(X^p a X^{pN}, a) = (\varepsilon, \varepsilon)$ , as desired.  $\Box$ 

This completes the description of the second part of the action of  $\mathfrak A$  when applied to the pair  $(U_k, V_k)$ . For brevity, let

<span id="page-12-5"></span>
$$
\sigma_N(k) = \underbrace{T_N(k-1) + (2k-1)}_{\text{Lemma 11}} + \underbrace{T'_N(k)}_{\text{Lemma 15}} + \underbrace{(s_N(k-1)-1)}_{\text{Lemma 16}}.
$$
\n(13)

Then  $\sigma_N(k)$  is the total number of steps taken by  $\mathfrak A$  to rewrite the pair  $(U_k, V_k)$  into  $(\varepsilon, \varepsilon)$ , as it is simply the sum of the number of steps in the indicated lemmas; explicitly, we have the following:

<span id="page-12-2"></span><span id="page-12-1"></span><span id="page-12-0"></span>

<span id="page-13-3"></span>**Proposition 17.** *For every*  $N \geq 2$  *and*  $k \geq 1$ *, we have* 

<span id="page-13-1"></span>
$$
\mathfrak{A}^{(\sigma_N(k))}(U_k, V_k) = (\varepsilon, \varepsilon). \tag{14}
$$

*Hence, the shortest sequence of elementary transformations verifying the identity*  $U_k = V_k$  *in*  $\Pi_N$ *has length*  $\sigma_N(k)$ .

**Proof.** First, the equality [\(14\)](#page-13-1) follows by first performing the rewriting from Lemma [11,](#page-10-1) followed by the one in Lemma [15,](#page-12-3) followed finally by the one in Lemma [16.](#page-12-4) The second part of the proposition now follows from the first by Proposition [4.](#page-6-0)

We give a closed form expression for  $\sigma_N(k)$ .

<span id="page-13-2"></span>**Lemma 18.** *For every*  $N \geq 1$  *and*  $k \geq 1$ *, we have* 

$$
\sigma_N(k) = \frac{2N}{N^2 - 1} \left( N^{2k} - 1 \right) + 4k - 2.
$$

*In particular, we have*  $\sigma_N(k) \sim N^{2k}$ .

**Proof.** This follows immediately from the definition [\(13\)](#page-12-5), the closed form expressions for  $T_N(k-1)$ 1) and  $s_N(k-1)$  from Lemma [8,](#page-8-1) the closed form expression for  $T_l$  $N'_{N}(k)$  from Lemma [13,](#page-11-0) and elementary algebraic manipulations. □

By Lemma [18,](#page-13-2) notice that for fixed *N*, we have

$$
\sigma_N(k) = 2\left(2k+1+\sum_{i=1}^k N^{2k}\right).
$$

For example, for a fixed *N* we have that  $\sigma_N(k)$  for  $k = 1, 2, \dots$  begins:

$$
\sigma_N(k): 2(N+1), 2(N^3+N+3), 2(N^5+N^3+N+5), \ldots
$$

This is a particularly simple expression for  $\sigma_N(k)$ . As an example, when  $N = 2$ , we have the following values for  $k = 1, 2, \ldots$ :

*σ*2(*k*): 6,26,94,354,1382,5482,21870, ....

In summary, for example taking  $k = 4$  and  $N = 2$ ,  $\mathfrak A$  will terminate on the pair

$$
(U_4, V_4) = \left( ab^8 a^8 a, b^7 a^8 b a a \right),
$$

requiring a total of 354 steps to do so. Similarly (and somewhat strikingly), if one instead takes  $N = 4$ , then  $\mathfrak A$  will take 34966 steps to prove that  $U_4 = V_4$  in  $\Pi_4$ .

The statement of the Main Lemma is now obtained by combining Proposition [17](#page-13-3) and Lemma [18.](#page-13-2) This completes the proof of the Main Lemma.  $□$ 

#### <span id="page-13-0"></span>**3. Some remarks on** Π*<sup>N</sup>*

We make some general remarks on the monoids  $\Pi_N$ . First, we note that it is very tempting to conjecture that the Dehn function of  $\Pi_N$  *is* exponential, and not just bounded below by an exponential function. Proving this, however, seems somewhat intricate. Baumslag–Solitar groups  $BS(k, \ell)$  with  $|k| \neq |\ell|$  are well-known to have exponential Dehn function; this is proved in two steps. First, Gersten [\[14,](#page-17-9) Theorem B] gave a series of null-homotopic words whose van Kampen diagrams require a very large number of cells to fill. Thus the Dehn function is at least exponential. Second, one uses the fact that such Baumslag–Solitar groups are asynchronously automatic [\[5,](#page-17-10) Corollary E1] and any group with this property has at most exponential Dehn function, see [\[13\]](#page-17-11). We have, in essence (using word transformations rather than diagrams), effected the first step for our "Baumslag-Solitar"-esque monoids  $\Pi_N$ . However, the second seems more elusive, as it is not clear that the  $\Pi_N$  are automatic (see below), nor does this take into account the fact that automaticity is a less powerful property for semigroups than groups. We will, at least, prove that the Dehn function of  $\Pi_N$  is always recursive.

Note, first, that the *group* defined by the same presentation as Π*<sup>N</sup>* is easily recognised as one of the famous characters of combinatorial group theory:

$$
Gp \langle a, b | baa(ba)^{N} = a \rangle = Gp \langle a, b | baa(ba)^{N-1}b = 1 \rangle
$$
  
\n
$$
\cong Gp \langle a, b | bab^{N-1}ba^{-1} = 1 \rangle
$$
  
\n
$$
= Gp \langle a, b | a^{-1}ba = b^{-N} \rangle = BS(1, -N),
$$

a metabelian Baumslag–Solitar group, where the indicated isomorphism comes from applying the free group automorphism induced by  $a \mapsto a$  and  $b \mapsto ba^{-1}$ . All metabelian Baumslag–Solitar groups are residually finite, and hence have decidable word problem, providing an alternative route to using Magnus' theorem in this case. It may therefore be tempting to conjecture that Π*<sup>N</sup>* is also residually finite for every *N*. This, however, is not at all the case. In general, it is an open problem to classify which one-relation monoids are residually finite; however, in the monadic case Mon $\langle a, b \mid bUa = a \rangle$ , a full classification due to Bouwsma exists, but seems relatively unknown (e.g. it is not included in the reference list of [\[25\]](#page-18-2)).

**Theorem (Bouwsma, 1993).** *The monoid defined by* Mon $\langle a, b | bUa = a \rangle$  *is residually finite if and only if*  $U = b^k$  *for some k*  $\geq 0$ *.* 

That is, the only residually finite 2-generated monadic one-relation monoids are those of the form Mon $\langle a,b\mid b^ka=a\rangle$ . The result was never published, except in Bouwsma's 1993 Ph.D. thesis [\[8,](#page-17-12) Corollary 2.5] (supervised by G. Lallement). From the above, we immediately conclude that Π*<sup>N</sup>* is *not* residually finite for any *N*. We must therefore turn to an alternative approach to solving the word problem in  $\Pi_N$ . To do this, we turn to a remarkable result by Guba [\[18\]](#page-17-3) and the decidability of the rational subset membership problem in the metabelian Baumslag–Solitar groups BS(1,*N*). We refer the reader to the survey [\[22\]](#page-18-8) for details and definitions regarding the rational subset membership problem in groups.

<span id="page-14-0"></span>**Theorem 19.** *For every*  $N \ge 2$ *, the word problem in*  $\Pi_N$  *is decidable. In particular, the Dehn function of*  $\Pi_N$  *is recursive.* 

**Proof.** In proving this, we will defer to an article and method by Guba [\[18,](#page-17-3) Theorem 2.1], and refer the reader to this article for an introduction to the notation, which is rather cumbersome to define succinctly here. The core idea of the proof is that the word problem for any monadic one-relation monoid Mon $\langle a, b \mid bUa = a \rangle$  can be reduced to the membership problem in a fixed submonoid of some one-relator group  $G = Gp \ B \mid w = 1$ , where Guba gives a procedure to effectively compute *B* and *w* from *bUa*. We will use this procedure to show that, in the case of  $\Pi_N$ , the group *G* is a solvable Baumslag–Solitar group, in which the submonoid membership problem is decidable. We now give the details.

The submonoid of Π*<sup>N</sup>* generated by the suffixes of the defining word, i.e. the *monoid of ends*, is denoted  $S(\Pi_N)$ . It is clear that this is generated by *a* and *ba*. It follows immediately from the proof of [\[18,](#page-17-3) Corollary 3.1] that

$$
S(\Pi_N) \cong \text{Mon}\left\langle x, y \, \middle| \, yxy^N = x \right\rangle
$$

with the isomorphism  $x \rightarrow a$  and  $y \rightarrow ba$ . This is a cycle-free presentation, so  $S(\Pi_N)$  embeds in the one-relator group with the same presentation via the identity map, which is obviously BS(1,−*N*). In fact, to see this embedding is very simple, as every suffix of *baa*(*ba*) *<sup>N</sup>* is left divisible by *ba*. To see this, it suffices to show that *a* is left divisible by *ba*, as every suffix of *baa*(*ba*) *N*

begins either with  $ba$  or with  $a$ . But  $ba \cdot a(ba)^N = a$ , so obviously this holds. Hence, the graph of ends of  $\Pi_N$  has only a single component (cf. [\[18,](#page-17-3) p. 1145]), and hence  $\overline{G}(\Pi_N) = BS(1, -N)$ .

The word problem for  $\Pi_N$  reduces to its left divisibility problem. By Oganesian's result [\[26,](#page-18-4) Theorem 1], the left divisibility problem in  $\Pi_N$  reduces to the left divisibility problem in  $S(\Pi_N)$ . The left divisibility problem in *S*(Π*<sup>N</sup>* ) can be reduced to the submonoid membership problem in  $\overline{G}(\Pi_N) = BS(1,-N)$ , see [\[18,](#page-17-3) Corollary 2.1] for details. But the submonoid membership problem, indeed the rational subset membership problem, is decidable for any  $BS(1, N)$  with  $N \ge 2$  by [\[9,](#page-17-13) Theorem 3.3]. It is well-known that  $BS(1, N)$  and  $BS(1, -N)$  are commensurable (see e.g. [\[12,](#page-17-14) Lemma 6.1]), and as decidability of the rational subset membership problem is inherited by taking subgroups (obviously) and by taking finite extensions (by Grunschlag [\[16\]](#page-17-15)), we conclude that the rational subset membership problem, and hence also the submonoid membership problem, is decidable in BS(1, -*N*). This solves the word problem in  $\Pi_N$ .

**Remark 20.** The non-Russian-reading reader interested in Oganesian's remarkable work on the connection between left cycle-free monoids Π and their associated semigroup of ends *S*(Π) may first be inclined to consult the English translation of [\[26\]](#page-18-4). Unfortunately, this translation is rather poor, and can make for confusing reading. $^3$  $^3$  Instead, we advise the reader to first consult Guba's overview of Oganesian's work in the case of a single relation, found in [\[18\]](#page-17-3).

**Remark 21.** One can prove, using automata-theoretic methods, that  $\mathfrak{A}$  in fact always terminates for  $\Pi_N$  whenever  $N \geq 1$ , see [\[8,](#page-17-12) Theorem 4.3]. This provides an alternative proof of Theorem [19,](#page-14-0) but as the Ph.D. thesis [\[8\]](#page-17-12) is not easily accessible, we have opted for the above proof. Note that as A always terminates, it follows, using an encoding due to Guba (see [\[25,](#page-18-2) § 6.4] for details) that the Collatz-like function  $f_N: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$  defined by:

$$
f_N(m,n) = \begin{cases} \left(\left\lfloor \frac{m}{2} \right\rfloor, \left\lfloor \frac{n}{2} \right\rfloor \right) & \text{if } m \equiv n \mod 2, \\ \left(\frac{m}{2}, 2^{2N+1}n + \frac{1}{3} \left( 2^{2N+1} + 1 \right) \right) & \text{if } m \neq n \text{ and } m \equiv 0 \mod 2, \\ (n,m) & \text{if } m \neq n \text{ and } m \equiv 1 \mod 2. \end{cases}
$$

always terminates for any input pair  $(m, n) \in \mathbb{N} \times \mathbb{N}$  and any  $N \ge 2$ , where termination is meant in the sense that the sequence

$$
(m,n)\to f_N(m,n)\to f_N^2(m,n)\to\ldots
$$

eventually results in  $f_N^{\ell}(m, n) = (0, k)$  or  $(k, 0)$  for some  $k, \ell \in \mathbb{N}$ .

#### 3.1. *Superexponential Dehn functions*

It would be interesting to see how quickly the Dehn function of a one-relator monoid can grow, even if exhibiting a non-recursive growth currently seems somewhat out of reach. A good starting point seems to be the following:

<span id="page-15-1"></span>**Question 22.** *Does there exist a one-relation monoid* Mon $\langle a, b \mid bUa = a \rangle$  *whose Dehn function is not bounded above by any finite tower of exponentials?*

The exponential growth found as a lower bound for the Dehn function of  $\Pi_N$  in this article is, of course, connected to the exponential Dehn function of the Baumslag–Solitar group BS(1,−*N*). One way of resolving Question [22](#page-15-1) would be connected with the following well-known result. Gersten [\[14\]](#page-17-9) proved that the one-relator group

$$
BG = Gp \langle a, b | [a, bab^{-1}] = a^{-1} \rangle
$$

<span id="page-15-0"></span> $3$ For two brief examples, on p. 89 (of the translation), the system (2) is said to have "no cycles" instead of the correct "no left cycles"; on p. 92, one reads "it is *impossible* to find out whether *X* is divisible by *d*", but it should read "it is *possible*". Further examples are not hard to find.

introduced by Baumslag [\[6\]](#page-17-16), has a Dehn function which is not bounded above by any finite tower of exponentials. This group, however, is not a positive one-relator group, as it is easily observed to not be residually solvable (indeed, it is clear that *a* lies in every term of the derived series), but every positive one-relator group is residually solvable [\[7\]](#page-17-17). We therefore pose the following, purely group-theoretic, question:

<span id="page-16-0"></span>**Question 23.** *Does there exist a positive one-relator group whose Dehn function is not bounded above by any finite tower of exponentials?*

While a positive answer to Question [\(23\)](#page-16-0) would not have a direct implication for Question [22](#page-15-1) (or vice versa), it would seem to have a strong indication towards a positive answer.

#### 3.2. *Finite complete rewriting systems and automaticity*

In [\[11\]](#page-17-5), Cain & Maltcev claimed that every one-relation monoid with a presentation of the form

$$
\operatorname{Mon}\left\langle a,b\right|b^{\alpha}a^{\beta}b^{\gamma}a^{\delta}b^{\epsilon}a^{\varphi}=a\right\rangle,
$$

i.e. a monadic one-relation monoid with defining relation  $bUa = a$  in which  $bUa$  has relative length 6, admits a finite complete rewriting system. This claim is repeated (by the author of the present article) in [\[25,](#page-18-2) p. 339]. Cain & Maltcev further claim that using these rewriting systems, one may observe that every such monoid has at most quadratic Dehn function. By the results in this present article, this cannot, however, be correct; taking  $N = 2$ , the monoid  $\Pi_2$  is defined by

$$
\Pi_2 = \text{Mon}\langle a, b \mid baababa = a \rangle,
$$

but by Theorem [1](#page-3-1) its Dehn function is at least exponential. The source of the error is that their claimed finite complete rewriting system for  $\Pi_2$  is not complete. This leads us to pose the following question.

<span id="page-16-1"></span>**Question 24.** *Does*  $\Pi_N$  *admit a finite complete rewriting system for all*  $N \geq 2$ ?

Of course, an affirmative answer to Question [24](#page-16-1) would give an alternative proof of decidability of the word problem in Π*<sup>N</sup>* (i.e. Theorem [19\)](#page-14-0). Finally, we note a connection with automaticity and the fellow traveller property, and ask the following natural question (we refer the reader to [\[19\]](#page-17-18) for definitions and more details):

#### <span id="page-16-2"></span>**Question 25.** *Are the monoids*  $\Pi_N$  *(bi)automatic?*

By [\[30,](#page-18-9) Theorem 4.1], any left cancellative automatic monoid whose automatic structure satisfies the fellow traveller property has an at most quadratic Dehn function. An affirmative answer to Question [25](#page-16-2) would thus, in view of Theorem [1,](#page-3-1) give a rather simple family of left cancellative automatic monoids without the fellow traveller property.

**Remark 26.** We wish to make a final remark regarding a well-known result due to Ivanov, Margolis & Meakin [\[20\]](#page-18-10). This asserts (as a special case) that the word problem for any monoid Mon $\langle a, b \mid bUa = a \rangle$  reduces to the word problem for the special one-relation inverse monoid Inv $\langle a, b \mid a^{-1}bUa = 1 \rangle$ . In particular, the word problem for Π<sub>*N*</sub> reduces to the word problem for  $I_N = Inv(a, b \mid a^{-1}baa(ba)^N = 1)$ . Based on the results above, we conjecture that the Dehn function for  $I_N$  is also (at least) exponential, and indeed that the word problem for  $I_N$  is decidable.

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#### **Declaration of interests**

The authors do not work for, advise, own shares in, or receive funds from any organization that could benefit from this article, and have declared no affiliations other than their research organizations.

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