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# On the role of the Jeffreys' sheltering mechanism in sustaining extreme water waves

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#### **Abstract**

The effect of the wind on sustaining extreme water waves is investigated experimentally and numerically. A series of experiments conducted in the Large Air–Sea Interactions Facility (LASIF) showed that a wind blowing over a strongly nonlinear short wave group due to the linear focusing of a modulated wave train may increase the life time of the extreme wave event. The experiments suggested that the air flow separation that occurs on the leeward side of the steep crests may sustain longer the maximum of modulation of the focusing–defocusing cycle. Based on a Boundary-Integral Equation Method and a pressure distribution over the steep crests given by the Jeffreys' sheltering theory, similar numerical simulations have confirmed the experimental results. *To cite this article: J.-P. Giovanangeli et al.*, *C. R. Mecanique 334 (2006)*.

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#### Résumé

Rôle du mécanisme d'abri proposé par Jeffreys sur le maintien de vagues extrêmes. L'effet du vent sur le maintien de vagues extrêmes est étudié expérimentalement et numériquement. Une série d'expériences conduites dans la grande soufflerie de simulation des échanges air—mer (LASIF) a montré qu'un vent soufflant au-dessus d'un groupe court de vagues, généré par la focalisation linéaire d'un train d'ondes modulé augmente la durée de vie de l'événement vague extrême. Les expériences ont suggéré que le décollement de l'écoulement qui se produit sur la face sous le vent des crêtes élevées peut maintenir plus longtemps le maximum de modulation du cycle de focalisation—défocalisation. Des simulations numériques similaires, basée sur la méthode des équations intégrales de frontière et une distribution de pression au-dessus des vagues les plus hautes donnée par la théorie de Jeffreys, ont confirmé les résultats expérimentaux. *Pour citer cet article : J.-P. Giovanangeli et al., C. R. Mecanique 334 (2006).* 

Keywords: Fluid mechanics; Extreme water waves; Jeffreys' mechanism; Numerical simulations

Mots-clés: Mécanique des fluides; Vagues extrêmes; Théorie de Jeffreys; Simulations numériques

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### 1. Introduction

Freak, rogue, or giant waves correspond to extreme waves surprisingly appearing on the sea surface (a wave from nowhere) which produce serious damages to navigation and off-shore industry. Over the last two decades dozens of super-carriers-cargo ships over 200 m long have been lost at sea. Up to now there is no definitive consensus about a unique definition of freak wave. Sometimes, the definition of the freak waves includes that such waves are too high, too asymmetric and too steep. More popular now is the amplitude criterion of a freak wave: its height should exceed twice the significant height. There is a number of physical mechanisms producing of freak waves. Extreme wave events can be due to refraction (presence of variable currents or bottom topography), dispersion (frequency modulation), wave instability (Benjamin–Feir instability), soliton interactions, etc., that may focus the wave energy into a small area. For more details on these different mechanisms see the review on freak waves by Kharif and Pelinovsky [1].

The main objective of this study is to better understand the physics of the huge wave occurrence in the presence of wind. To our knowledge, the present experimental and numerical study is the first one to consider the direct effect of wind on rogue wave formation. Herein, we use the spatio-temporal focusing phenomenon to generate freak wave events. This mechanism which corresponds to the concentration of wave energy in a small area is due to the dispersive nature of water waves. If initially short wave packets are located in front of long wave packets having larger group velocities, then during the stage of evolution, the long waves will overtake the short waves. A large-amplitude wave can occur at some fixed time because of the superposition of all the waves merging at the same location. Afterward, the long waves will be in front of the short waves, and the amplitude of the wave train will decrease.

## 2. Experimental study

The experiments have been conducted in the Large Air–Sea Interactions Facility (LASIF) of IRPHE at Marseille Luminy (Fig. 1). A complete description of the facility is given in Favre and Coantic [2]. The water surface elevations  $\eta(x,t)$  have been determined by means of three capacitive wave gauges of 0.3 mm outer diameter. One of these three wave probes was located at the fixed fetch of 1 m from the upstream beach. The two others were installed on a trolley, in order to determine the water surface elevation at different fetches all along the facility. The typical sensitivity of the wave probes was of order 0.6 V/cm.

The initial wave train was generated by means of a computer-controlled wave maker submerged under the upstream beach.

Fig. 2 presents the time series of  $\eta(x,t)$  at different fetches x for U=0 m/s, where U is the wind velocity. The initial wave train had a frequency varying from 1.3 to 0.8 Hz in 10 s. The repeatability of the initial wave train with and without wind was verified. For clarity each of time-series is recursively increased by 10 cm. As predicted by the linear theory of deep-water waves without wind, dispersion relation leads short waves to propagate slower than long waves. Then the waves focus at a precise fetch leading to the occurrence of a large amplitude freak wave (focusing). Downstream the focusing point, the amplitude of the group decreases rapidly (defocusing).

Fig. 3 presents the same time series of  $\eta(x,t)$  at different fetches x, for a wind speed U=6 m/s and for the same initial wave train. Some evident differences appear between Figs. 2 and 3 in the space–time evolution of the focusing wave group. It appears that the amplitude of the largest wave inside the group seems to be maintained as the group propagates downstream.

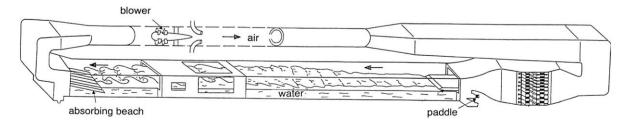


Fig. 1. A schematic view of Large Air-Sea Interactions Facility.

Fig. 1. Vue schématique de la grande soufflerie de simulation des échanges air-mer.

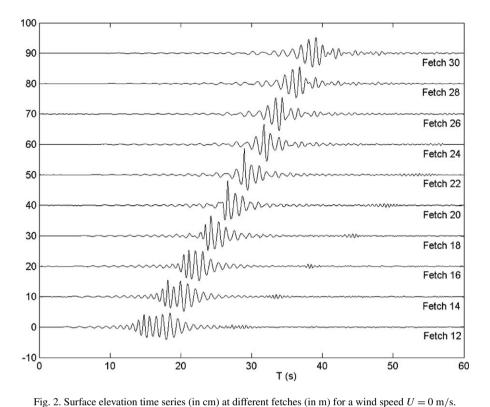


Fig. 2. Serie temporelle de l'élévation de surface à différentes distances (en m) du batteur pour une vitesse de vent U = 0 m/s.

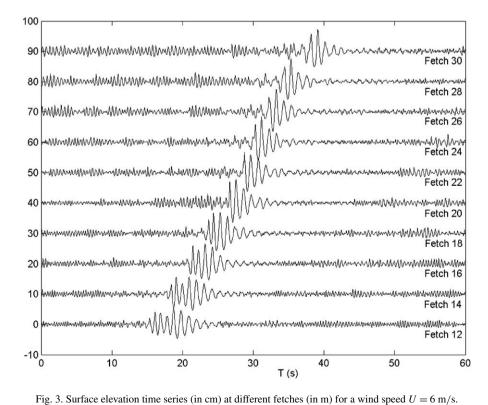


Fig. 3. Série temporelle de l'élévation de surface à différentes distances (en m) du batteur pour une vitesse de vent U = 6 m/s.

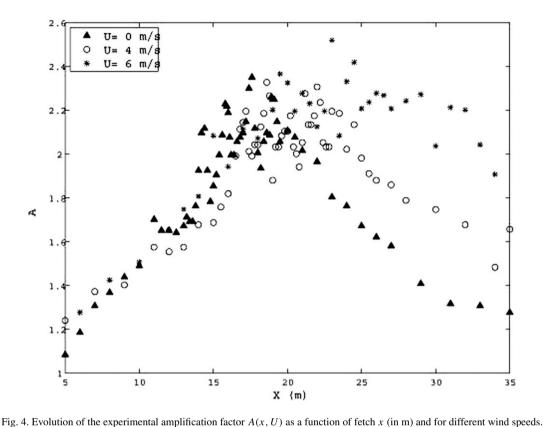


Fig. 4. Evolution of the experimental amplification experimental A(x, U) en fonction de la distance au batteur x (en m) pour différentes vitesses de vent.

For each value of the wind velocity, the amplification factor A(x, U) of the group between fetch x and fetch 1 m can be defined as:

$$A(x, U) = \frac{H_{\text{max}}(x, U)}{H_{\text{ref}}} \tag{1}$$

where  $H_{\text{max}}(x, U)$  is the maximal height between two consecutive crest and through in the group and  $H_{\text{ref}}$  is the height of the quasi uniform wave train generated at fetch 1 m. Here  $H_{\text{ref}} = 6.13$  cm.

Fig. 4 presents the evolution of A(x, U) as a function of fetch x and for different values of the wind speed U equal to 0, 4 and 6 m/s. This figure shows that the effect of wind is twofold: (i) a weak increase of the amplification factor; and (ii) a downstream shift of the focal point are observed. Moreover, contrarily to the case without wind, an asymmetry appears between the focusing and the defocusing stage. This shows that the effect of wind on the freak wave mechanism is to increase its amplitude and its life time. It transforms the short group containing the freak wave into a long-lived short group, by delaying the defocusing stage.

To explain the observations, Touboul et al. [3] suggest that the Jeffreys' sheltering mechanism induced by an air flow separation process on the leeward face of the highest waves can be responsible of the significant increase of energy transfer from wind to the water wave group.

## 3. Numerical study

To model the direct effect of wind on water waves, two mechanisms can be considered: the Miles' mechanism, and the Jeffreys' sheltering mechanism. The Miles' mechanism, based on the concept of hydrodynamic instability, is a quasi laminar model of transfer of energy to a surface wave from a turbulent shear flow. The water waves are amplified through a resonant interaction of waves with the wave-induced pressure fluctuations. The Jeffreys' sheltering mechanism assumes that the enhancement of the energy transfer between air flow and waves is due to an air flow separation occurring on the leeward side of very steep wave crests [4]. To see what is the dominant phenomenon

prevailing in our experiments, one can calculate the ratio of the characteristic time scale of the transfer of energy from wind to waves for monochromatic wave trains of phase speed c.

$$\frac{T_{\text{Jeffreys}}}{T_{\text{Miles}}} = S \frac{\kappa^2}{\beta} \frac{(U - c)^2}{U_*^2} \tag{2}$$

The sheltering coefficient, S = 0.5, is calculated from experimental data and  $\kappa$  is the von Karman constant. The friction velocity is  $U_* = U\sqrt{C_d}$  where the drag coefficient  $C_d$  was determined experimentally equal to 0.004 and U the mean wind velocity. The energy transfer parameter  $\beta$  which is a function of the wave age can be computed from Fig. 1 of [5].

The freak wave generated experimentally presents a peak at 1 Hz. Thus, it is found that the Miles' characteristic time scale is roughly three times the Jeffreys' characteristic time scale. Hence, we can consider that Jeffreys' mechanism is more relevant than the Miles' mechanism to describe the fast air-sea interaction process observed in the present experiments. Under the assumption of the air flow separation, Jeffreys [4] suggested that the atmospheric pressure at the interface is given by

$$p = \rho_a S(U - c)^2 \frac{\partial \eta}{\partial x} \tag{3}$$

where  $\rho_a$  is atmospheric density and  $\eta(x,t)$  is the interface elevation.

The problem is solved by assuming the fluid to be inviscid, incompressible, and the motion irrotational. Hence, the velocity field is given by  $\vec{u} = \nabla \phi$  where the velocity potential  $\phi(x, z, t)$  satisfies the Laplace's equation

$$\Delta \phi = 0 \tag{4}$$

Eq. (4) is solved within a domain bounded by the fluid interface and solid boundaries of the numerical wave tank, subject to boundary conditions defined below.

The impermeability condition can be written

$$\nabla \phi \cdot \vec{n} = \vec{V} \cdot \vec{n} \quad \text{on } \partial \Omega_B$$
 (5)

where  $\partial \Omega_B$  is the solid boundaries,  $\vec{V}$  is the velocity of these solid boundaries, set equal to zero on the walls of the wave tank, and equal to the velocity of the paddle at any point of the wave maker and  $\vec{n}$  is the unit normal vector to the boundaries.

A Lagrangian description of the interface is used

$$\frac{\mathrm{D}x}{\mathrm{D}t} = \frac{\partial\phi}{\partial x} \tag{6a}$$

$$\frac{\mathrm{D}z}{\mathrm{D}t} = \frac{\partial\phi}{\partial z} \tag{6b}$$

where  $\frac{D}{Dt} = \frac{\partial}{\partial t} + \nabla \phi \cdot \nabla$ . The dynamic condition states that the pressure at the interface,  $z = \eta(x, t)$ , is equal to the atmospheric pressure

$$\frac{\mathrm{D}\phi}{\mathrm{D}t} = \frac{1}{2}(\nabla\phi)^2 - gz - p \tag{7}$$

where p(x,t) is given by Eq. (3). Air flow separation occurring above very steep waves, a critical wave slope is introduced beyond which Eq. (3) is applied, otherwise the pressure vanishes. This threshold is close to the maximal local slope of Stokes waves.

The equations are solved using a Boundary Integral Equation Method (BIEM) and a mixed Euler-Lagrange (MEL) time marching scheme. The Laplace's equation is solved by use of the Green's second identity. To avoid numerical instability the grid spacing  $\Delta x$  and time increment  $\Delta t$  have been chosen to satisfy the Courant relation derived from the linearized surface conditions. The discrete set of points is such that the accuracy of the computations is  $O(10^{-3})$ .

A 2D numerical wave tank simulating the Large Air-Sea Interaction Facility has been considered. The tank has a length of 40 m, and a depth of 1 m. A focusing wave train is generated by a piston wave maker, leading to the formation of a rogue wave followed by a defocusing stage. The free surface, and the solid walls (downstream wall, bottom and wave maker) are discretised by 1300, and 700 meshes respectively, uniformly distributed. The time integration is performed by using a RK4 scheme, with a constant time step  $\Delta t = 10^{-2}$  s.

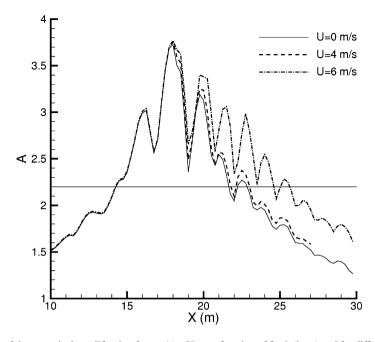


Fig. 5. Evolution of the numerical amplification factor A(x, U) as a function of fetch (in m) and for different wind speeds.

Fig. 5. Evolution du facteur d'amplification numérique A(x, U) en fonction de la distance au batteur (en m) pour différentes vitesses de vent.

The numerical simulations have been run for several values of the critical wave slope, and wind velocity. Fig. 5 shows the spatial evolution of the amplification factor computed numerically by using Eq. (1). These curves plotted for three values of the wind velocity, U = 0, 4 and 6 m/s present the same behavior than those in Fig. 4 and emphasize again the asymmetry found in the experiments. One can notice that the effect of the wind is not sufficient to increase the amplification of the freak wave. A very weak increase of the amplification factor is observed in presence of wind. The increase of the amplification due to the wind is significantly larger in the experiments. However, one can observe a significant asymmetry between the focusing and defocusing stages of the wave train. This asymmetry results in an increase of the life time of the freak wave event. Furthermore, a comparison between Figs. 4 and 5 shows that the numerical maxima of the amplification factor are larger than those obtained experimentally. This can be due in part to spilling breaking events which were observed in the experiments, resulting in dissipation of energy, and in saturation in the growth of the amplification factor. The comparison between experimental and numerical results should remain qualitative since the initial conditions used in the experiments and simulations are different. The fact that no downstream shifting of the focusing point is observed in the numerical simulations is due to the absence of current in the model.

# 4. Conclusion

The direct effect of the wind on a freak wave event generated by means of a dispersive spatio-temporal mechanism has been investigated experimentally and numerically. Both experiments and numerical simulations have shown that, in presence of wind an asymmetry in the amplification curve occurs. This asymmetry results in an enhancement of the life time of the freak wave event.

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