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# An overview of metasurfaces for thin antenna applications

## *Application des métasurfaces aux antennes à faible épaisseur*

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**Abstract.** In recent years, metasurfaces have become a rapidly growing domain of research in several fields of engineering and applied physics due to their ability to manipulate both phase and amplitude of electromagnetic fields. These artificial 2D-materials, usually composed of metallic elements printed on dielectric substrates, have the advantages of being low profile, lightweight as well as easy to fabricate and integrate with standard circuit technologies. In this context, this paper reviews the latest progress in metasurface antenna design, where metasurfaces are used to miniaturize the profile, increase the bandwidth, and control the radiation pattern in the near- and far-field regions.

**Résumé.** Ces dernières années, la thématique des métasurfaces est devenue un sujet de recherche en pleine expansion dans plusieurs domaines de l'ingénierie et de la physique appliquée, en raison de leur capacité à manipuler à la fois la phase et l'amplitude des champs électromagnétiques. Ces matériaux artificiels bidimensionnels, généralement composés d'éléments métalliques imprimés sur des substrats diélectriques, ont l'avantage d'être de très faible épaisseur, légers et faciles à fabriquer et à intégrer avec les circuits imprimés. Cet article passe en revue les dernières avancées dans la conception d'antennes à métasurface, où les métasurfaces sont utilisées pour minimiser l'épaisseur, augmenter la bande passante et contrôler le diagramme de rayonnement en champ proche et en champ lointain.

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**Keywords.** Metasurface, Metasurface antennas, Artificial surfaces, Impedance surface, Fabry–Perot cavity, Leaky-wave antennas, Glide symmetry.

**Mots-clés.** Métasurface, Antennes à métasurface, Surfaces artificielles, Surface d'impédance, Cavité de Fabry–Pérot, Antennes à fuite, Symétrie de glissement.

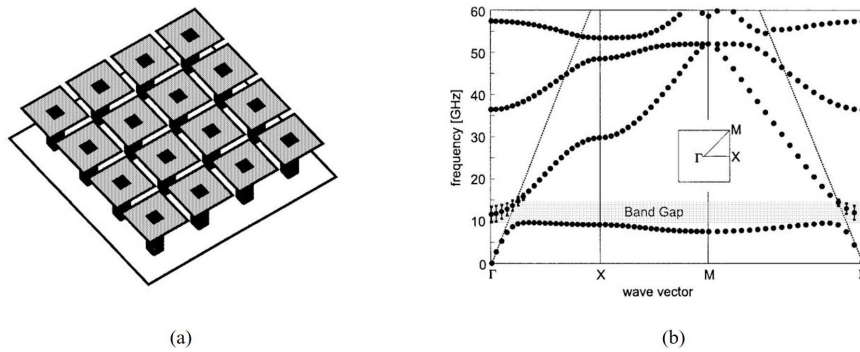
## 1. Introduction

In the last years, metamaterials have become an appealing subject of research in applied physics and electrical engineering. They are synthetic materials that present unusual properties that cannot be found in nature such as double negative materials or negative index materials. Metasurfaces can be considered as the equivalent of metamaterials in 2D structures [1]. These synthetic surfaces are composed of periodic sub-wavelength elements. The special properties of metasurfaces, due to the electromagnetic scattering from the subwavelength elements, are controlled by the dimension and the specific shape of these scatterers. The properties of these surfaces are described in terms of surface impedances (or admittances) or dimensionless susceptibility tensors (analogous to the constitutive parameters for volumetric metamaterials). Using the first approach, the metasurface is described by the surface impedance tensor  $\underline{\underline{Z}}_s$  relating, for a particular wavevector  $\mathbf{k}^{sw}$ , the tangential electric ( $\mathbf{E}_t$ ) and magnetic ( $\mathbf{H}_t$ ) fields at the surface boundary  $S$ :

$$\mathbf{E}_t(\rho')|_{\rho' \in S} = \underline{\underline{Z}}_s(\mathbf{k}^{sw}) \cdot \hat{\mathbf{n}} \times \mathbf{H}_t(\rho')|_{\rho' \in S} = \underline{\underline{Z}}_s \cdot \mathbf{J}(\rho') \quad (1)$$

where  $\hat{\mathbf{n}}$  is the unit vector normal to  $S$ ,  $\rho'$  is a point on the metasurface and  $\mathbf{J}(\rho') = \hat{\mathbf{n}} \times \mathbf{H}_t(\rho')|_{\rho' \in S}$  is the equivalent surface current density. For simple element geometries as circular or squared patch, TM and TE polarizations are decoupled (diagonal impedance tensor). These structures are known as *scalar impedance metasurfaces*, while geometries leading to full impedance matrices are known as *tensorial impedance metasurfaces*. The first implementations made use of simple printed geometries as dipoles for capacitive surfaces or rectangular slots for inductive ones [2] leading to diagonal impedance tensors. Later, with the availability of rigorous numerical simulation tools for periodic structures and the development of general impedance extraction methods [3, 4] more complicated geometries have been used to obtain full impedance tensors. It is important to stress the fact that only large metasurfaces (containing a large number of elements) could be homogenized with an effective impedance. In fact, under this hypothesis, each basic element will behave as inside an infinite periodic lattice. Then, using classical Floquet theory, an equivalent medium or impedance could be defined. In other words, the scattered field from the metasurface illuminated by a plane-wave is essentially a plane wave in the specular direction as for a homogeneous media. In small size metasurfaces, the edge effect becomes very strong (each basic element contribution depends on its physical position) and the scattered field under plane-wave illumination will be composed of several harmonics (plane-waves). As a result, the metasurface behaves as a passive array of elements and not as an effective medium. The analysis and the design of antennas using this latter kind of metasurfaces can only be done using numerical optimizations.

From the engineering point of view, these structures have several inherent advantages like low cost, low profile, low mass and easy fabrication/integration which are required in electrical engineering for applications from microwave to THz frequency regimes. Metasurfaces have been used mainly using three different approaches: as a passive element to improve already existing antennas; to develop new classes of antennas, and to develop new kinds of feeding networks for the antenna. For these reasons, different kind of metasurface antennas that perform better or differently than classical antennas were designed in the last years. As the interest in metasurfaces is rapidly expanding, several review articles or books can be found in the literature, as for example in [2, 5–8]. Here, we focus our attention on reviewing the recent progress of metasurface-based



**Figure 1.** AMC based on a 2-D array of metallic patches with vertical vias proposed in [9]. Brillouin diagram showing a band gap preventing surface waves to propagate along the metasurface. ©1999 IEEE. Reprinted, with permission, from [9].

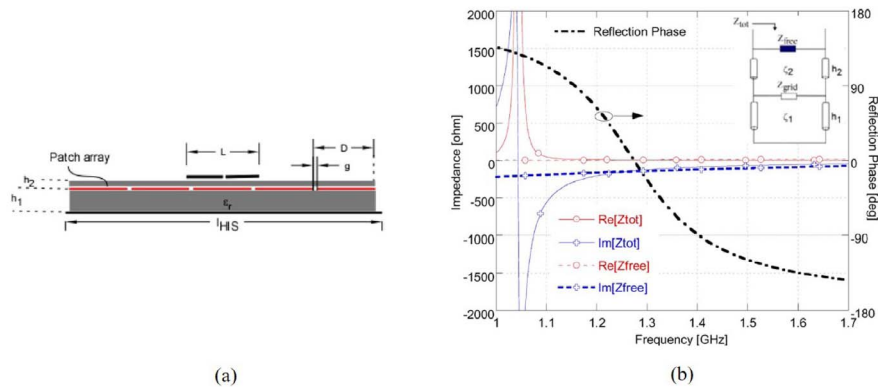
antennas during the past few years presenting a compact profile and that cannot be included in well-known classical antenna theory as reflectarray, transmitarray, or Frequency Selective Surfaces (FSS).

The paper is organized as follows: Section 2 reviews the principle and the application of high-surface-impedance metasurfaces in antenna design. Adding a metasurface close to a classical planar antenna is possible to enhance some specific antenna parameters as bandwidth or gain. Section 3 discusses metasurface applications in Fabry–Perot cavities and 2-D leaky wave antennas. In this context, the metasurface is used as a semi-transparent wall to design resonant radiating cavities having some desired property. Section 4 describes recent developments in holographic antenna and aperture field wave-front engineering. The use of modulated metasurfaces allows the conversion of surface-waves into radiating waves having the desired direction and polarization leading to thin versable radiation diagram antennas. Section 5 highlights recent research work on parallel-plate metasurfaces to design planar lenses or control the dispersion properties in antenna structures. The use of multiple shifted metasurface layers (high order symmetries) allows the bandwidth enhancement of the beamforming network used in thin antennas. Conclusions are drawn in the final section.

## 2. High-impedance-surface antennas

Probably the most immediate way to enhance antenna properties by means of a metasurface is placing the antenna in the vicinity of the metasurface in order to enhance its performance. For example, metasurfaces can act as flat lenses if placed on the top of an antenna, thus modifying its radiation features [10]. A more common approach is the replacement of the perfect electric conductor (PEC) of the ground slab in a printed antenna with a high-impedance surface (HIS) approximating an artificial magnetic conductor (AMC) [9] (see Figures 1, 2). In other terms, the AMC enforces a dual boundary condition (null total *magnetic* tangential field) with respect to a PEC (null total *electric* tangential field). The AMC has then an ideally *infinite* surface impedance, while a PEC has a *null* surface impedance, which explains the term HIS commonly used in the literature for surfaces approximating AMC.

The different values of the surface impedances have an impact on both the reflective (plane-wave incidence) and the dispersive (surface-wave propagation) features of these surfaces. These two properties allow for complementary explanations of the performance of printed antennas close to HIS.



**Figure 2.** (a) Printed antenna on an AMC. (b) Electric-field reflection coefficient of the AMC, showing a 0 phase at the resonant frequency around 1.25 GHz, and input impedance of a short dipole close and parallel to the metasurface. ©2011 IEEE. Reprinted, with permission, from [11].

Regarding its reflective behaviour, the AMC reflects plane waves with an electric-field reflection coefficient equal to 1, while the PEC has an electric-field reflection coefficient equal to  $-1$ . This is equivalent to a different sign of the images of currents placed near to the surface created by the two types of conductors: a tangential electric current is short circuited by a PEC, but not by a HIS.

For this reason, a HIS at the place of a PEC can lead to low-profile, larger bandwidth, and more compact designs. A radiating element close to a PEC should be at a distance of approximately  $\lambda/4$  from the PEC (corresponding to the thickness of the dielectric slab,  $\lambda$  being the wavelength in the dielectric). A much thinner slab would short circuit the printed element, since the image of a planar electric current with respect to a PEC is opposed to the real current and cancels it out, thus reducing the gain of the antenna. Dense dielectrics can reduce this thickness, but will also reduce the bandwidth. An HIS removes this thickness limitation: the image of the planar electric current with respect to an AMC adds up to the real element without deteriorating the antenna gain and the input matching. For this reason, much thinner substrates can be used (but the thickness of the HIS itself should also be taken into account in the final design). Furthermore, the bandwidth limitation related to the frequency dispersion introduced by the electric thickness of the slab is overcome. Of course, the HIS synthesis relies on a resonant behaviour of the surface impedance, and the bandwidth can be limited by the practical HIS implementation. In [12] a circuit model including both the capacitance and the inductance of the metasurface is used to derive in closed form the fractional operational bandwidth of a HIS. In [11], a transverse-resonance method [13] is applied to take into account the spatial dispersion and the anisotropy of the surface, which should be considered when optimizing the full device. Degradation of the radiation pattern also plays a role in limiting the bandwidth of the complete device as discussed in [14]. Among other parameters, front-to-back radiation is reported to be enhanced when considering truncated structures [15]. A more general reactive surface condition has also been proposed, whose reactance can be optimized according to the device [16] in order to achieve a large bandwidth and antenna miniaturization.

The HIS can be then described by its electric-field reflection coefficient close to 1 when the surface is illuminated by a plane wave, usually with normal incidence. Of course, the printed antenna will be placed in proximity of the surface, so that the use of a far-field quantity for the design could be somehow questionable. However, starting from HIS designed under plane-wave

illumination, an optimization of the complete device leads to the expected enhanced results.

A different interpretation of HIS, related to near-field quantities, is based on the dispersion behavior of these surfaces. As shown in [9, 17], HIS have usually an electromagnetic bandgap (EBG) behavior in the frequency band close to the impedance resonance. This means that surface waves cannot propagate along the HIS, and explains why HIS are also used to minimize surface-wave losses [18, 19] and inter-element coupling among elements in printed arrays [20, 21]. If surface-wave blockage is of interest, the EBG surface can also be printed on the same interface as the radiating element on the same grounded slab [22]. An in-depth treatment of these applications is presented in [23]. Other kinds of artificial surfaces can be used in order to enhance surface-wave contributions [11], thus obtaining a stronger excitation of the radiating element and a coherent radiation from the truncated edges of the device.

Different surface implementation methods have been proposed in the last two decades, based on patches with central vias [9, 24], or on purely planar realizations [18, 19, 25–27]. Via-less solutions may have weaker EBG behaviour and may be less robust with respect to the incidence angle, but are easier to fabricate [28]. However, via-less metasurfaces can be made more effective if placed in an embedded configuration [29].

Recently, different geometrical lattices have been proposed to realize the HIS, which could optimize the coupling with the antenna. Specifically, circular lattices have been investigated in [30–32] and have been found to be more effective in proximity of curvilinear radiating elements (circular slots, helicoidal antennas). In squared lattices, an interleaved texture enlarges the operational bandwidth and offers more angular and polarization stability [33]. If a multilayered configuration is allowed, a miniaturization of the cell size can be achieved through glide-symmetric configurations [34].

Applications to dual-band antennas have also been studied by using higher-order resonances of metasurfaces. In [35] fractal motives are used at first, and a more general genetic algorithm approach is also proposed. Different solutions have been designed more recently based on slotted patches [36] or angular-defined textures [37].

More complex implementations enable the tunability of the HIS in order to modify the central frequency of operation and to compensate for different environments (in [38] different kinds of human tissues are matched for on-body applications by reconfiguring the metasurface).

### 3. Fabry–Perot cavity antennas and other 2-d leaky wave antennas

The single refraction process that determines the aperture field in flat lenses or, more generally, transmitarrays (TAs), which locally involves a single uniform plane wave and a uniform homogenized interface, becomes a *multiple* refraction process in Fabry–Perot Cavity Antennas (FPCAs), structurally similar to TAs but based on highly reflecting screens, usually indicated in this context as Partially Reflecting Surfaces (PRSs). Such multiple refraction process increases the illuminated area of the aperture plane and hence the directivity of the primary pattern produced by the feeder, which is typically a simple, non-directive source like, e.g., a printed dipole, a slot on the ground plane, or a vertical coaxial probe.

Such a ray-optics radiation model was indeed adopted since the seminal paper of 1956 by von Trentini [39], where the very first FPCA was proposed, based on patterned metal PRSs, as well as in many of the more recent works on the subject [40–42]. Alternatively, the directive properties of FPCAs can be related to their resonant behavior when operated in reception under plane-wave illumination [43]. A third and very fruitful radiation model is based on recognizing that the extended illumination of the aperture plane is due to the excitation of dominant and weakly attenuated *leaky waves* that propagate radially along the FPCA [44–48], now seen as a partially open parallel-plate waveguide, in the form of cylindrical waves [49].

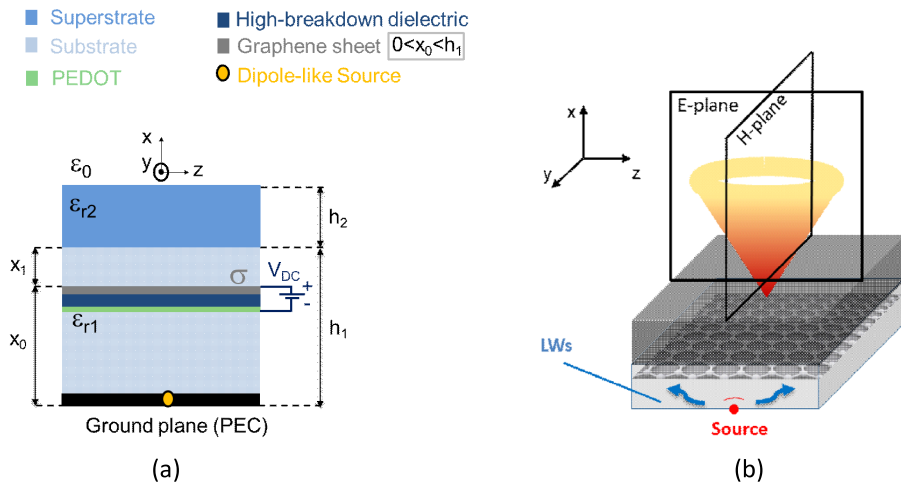
Metasurfaces have played an important role in the recent developments of FPCAs, providing different means of improving their overall antenna performance. A first example is the use of Artificial Magnetic Conductors (AMCs), like the HIS described in Section 2, as ground planes; this allows for *reducing the thickness* of the cavity, which is typically on the order of  $\lambda/2$  for FPCAs with a standard uniform metal ground plane, to values on the order of a  $\lambda/4$  [50, 51]; using more general metasurface ground planes, that exhibit a reflection phase between  $-\pi$  and 0, the thickness can be further reduced to  $\lambda/6$  [52], or even  $\lambda/16$  [53]. By employing an AMC-like metasurface also as a PRS further dramatic reductions in thickness can be achieved, down to  $\lambda/64$  [54].

Other advanced designs employ metasurfaces with the aim of increasing the inherent small fractional pattern bandwidth of FPCAs. One approach is based on the observation, first made in [40], that *wideband* directive radiation can be obtained if the PRS has a reflection coefficient whose phase is a linearly increasing function of frequency. Such a non-Foster behavior is impossible to achieve using a single thin, passive, lossless PRS placed above an ordinary dielectric slab; therefore, more complex PRS structures have been proposed, e.g., based on two- or three-layer PRSs made from multiple dielectric slabs covered by metal screens and separated by air gaps [55–57]; on a single dielectric slab with periodic metal screens printed on both sides [58, 59]; on Electromagnetic-BandGap (EBG) structures with defect layers, either metallized [60] or all-dielectric [61, 62]. A leaky-wave analysis of the broadband response of FPCAs based on a thick multilayer PRS with a double metallized side was proposed in [63] and, for general FPCAs with thick PRS, in [64].

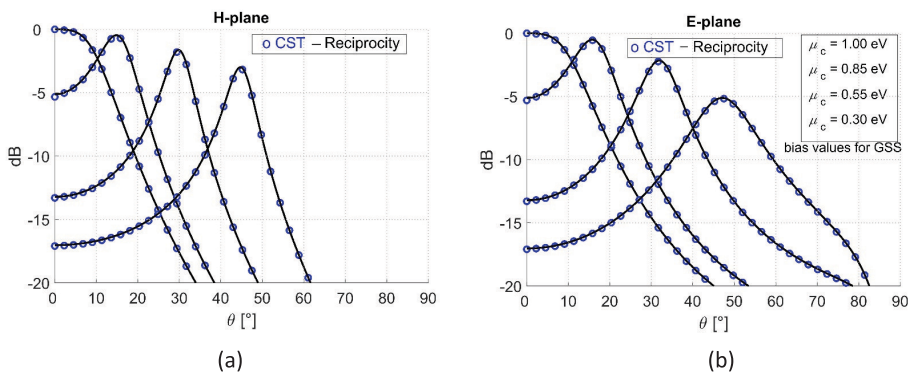
Whereas most FPCAs are operated to radiate a narrow pencil beam at broadside, by increasing the operating frequency their leaky-wave nature allows for obtaining also *conical scanned patterns*. However, the degree of omnidirectionality of such patterns gradually decreases with the beam angle, due to the different dispersion features of the two cylindrical leaky waves, one TM and one TE with respect to the broadside direction, excited along the antenna. In [65] a simple metal strip grating is considered as a PRS, whose particular *spatially dispersive* nature allows for a single cylindrical leaky wave to propagate with the same radial wavenumber in all azimuthal directions; such wave is TM with respect to the strip axis, hence hybrid with respect to the broadside direction, and produces scanned patterns with improved omnidirectionality and high polarization purity. Alternatively, multilayer FPCAs with metal patch PRSs have been designed to support a pair of TM and TE leaky waves with *equalized wavenumbers* at a single frequency, whose independent excitation allows for designing narrow-band FPCAs with dual or even reconfigurable polarization [66].

The inherently dispersive nature of the leaky waves supported by FPCAs is responsible for their typical frequency scanning feature, i.e., the variation of the radiated beam angle with frequency. Since this may be undesirable in many applications, various designs have been proposed for achieving pattern-reconfigurable FPCAs at a *fixed frequency*. One of the first examples is that proposed in [67], based on a mechanically tunable impedance ground plane. More practical electronically tunable PRSs have been extensively investigated by many authors, typically including varactor diodes in the PRS structure [68–72]. In [73] beam steering is instead achieved through varactor-based phase-agile reflection cells placed on the antenna ground plane.

A different approach to electronic reconfigurability is based on the use of *tunable materials*, whose permittivity can be changed by applying suitable electrostatic bias fields. In [75], for instance, a tunable 2-D LWA was proposed, where a thin ferroelectric layer was inserted directly below the slot-type metal PRS inside the antenna cavity. In [76] a multistack PRS was instead considered, made of alternating layers of highly birefringent nematic liquid crystal and high-permittivity dielectric. The use of *graphene* has also been considered for achieving reconfigurable 2-D LWA operation since, as is well known, the conductivity of graphene can be tuned via electric-field effect by means of a suitable electrostatic bias. This has prompted in the last few years



**Figure 3.** Graphene-based FPCA in a substrate-superstrate configuration. (a) Transverse view of the multilayer, including the graphene biasing scheme. (b) 3-D view of the conical pattern. More information can be found in [74]. ©2017 IEEE. Reprinted, with permission, from [74].

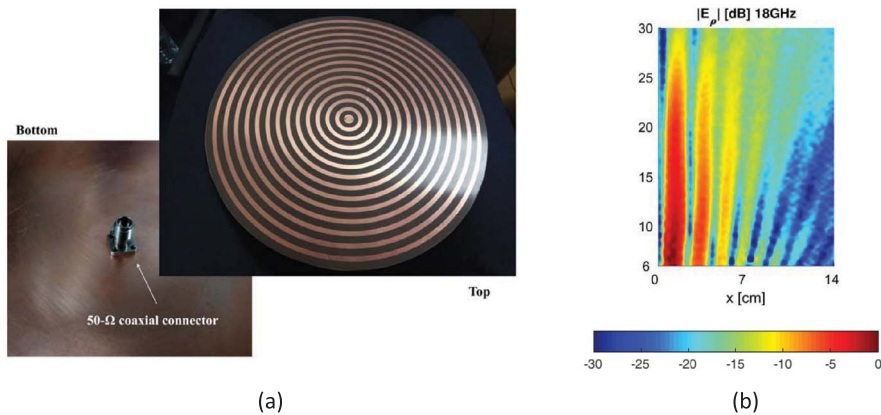


**Figure 4.** Radiation patterns in the principal planes for the Graphene-based FPCA in Figure 3: scanning process at a fixed frequency by varying the graphene chemical potential  $\mu_c$  via voltage bias in (a) the H-plane and (b) the E-plane. More information can be found in [74]. ©2017 IEEE. Reprinted, with permission, from [74].

a number of investigations on different kinds of graphene reconfigurable antennas. Recently, examples of graphene-based reconfigurable 2-D LWAs have also appeared, operating in the THz range and based on patterned graphene HISs [77] or unpatterned graphene sheets above a grounded slab [78] or in a substrate-superstrate configuration [74, 79] (see the structure in Figure 3 and the relevant radiation patterns in the principal planes in Figure 4).

In addition to producing directive far-field patterns, FPCAs can also generate near-field distributions with *nondiffracting features*, such as Bessel beams, exploiting the radiating features of backward cylindrical leaky waves [80, 81], on the basis of the approach originally proposed in [82] and based on standing-wave aperture distributions. Bessel beams can also be produced using *traveling-wave* aperture distributions [83], which can be synthesized, e.g., through radial-line slot antennas [84–86]. Alternatively, they can be synthesized by using 2-D LWAs not belonging to the





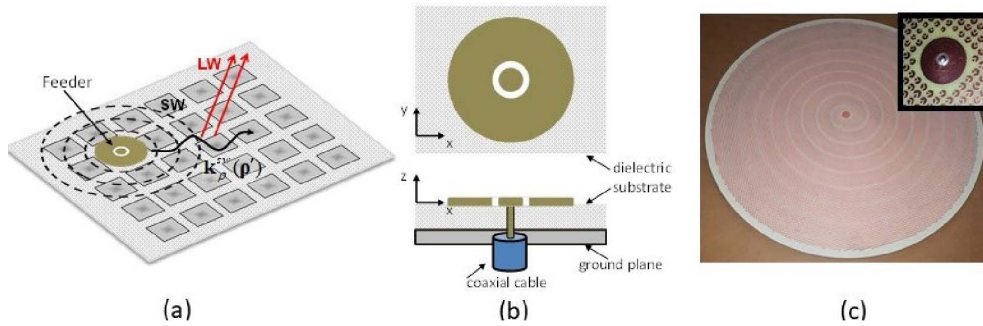
**Figure 5.** (a) Bull-eye microstrip antenna for microwave Bessel-beam generation via backward cylindrical leaky waves. (b) Measured radial electric near field at  $f = 18$  GHz in a longitudinal plane, showing the desired Bessel-like pattern inside the non-diffracting range. More information can be found in [88]. ©2018 IEEE. Reprinted, with permission, from [88].

class of FPCAs, based on radially periodic structures that cannot be homogenized (hence lack translational invariance) and support *backward* cylindrical leaky waves [87–89] (see Figure 5); similar structures also offer the possibility of *focusing* the radiation in the near field around a prescribed focal point [90,91]. These so-called “*bull-eye*” configurations were first proposed in [92] in the form of concentric microstrip rings for far-field operation in the microwave range; they were subsequently extensively studied by various groups, both at microwaves [93, 94] and, in variants based on metal corrugated structures, at millimeter-wave [95] and terahertz frequencies [96].

#### 4. Holographic antennas

The present section reviews the research on using metasurfaces to transform guided waves into waves propagating in free space for the antenna design. This approach was firstly introduced by Sievenpiper’s group at the microwave regime using the holographic concept to design the impedance surfaces [97]. Then, this concept has been used and extended by several other groups adding more physical insight [98–108]. The general geometry is shown in Figure 6a. A planar feeder is illuminating a metasurface composed by subwavelength metallic elements printed over a grounded dielectric slab. If the metasurface is spatially homogenous the structure is able to support eigen guided modes (TM modes for the scalar case or hybrid TM–TE mode for the tensorial one) with propagation constant  $k_\rho^{sw} > k_0$ . Since the spacing between adjacent elements is subwavelength, it is possible, using a slow variation of the impedance, to modify the wavevector of a guided wave adiabatically. Some techniques have been developed in order to study the propagation of the SW on such inhomogeneous metasurfaces [102–104].

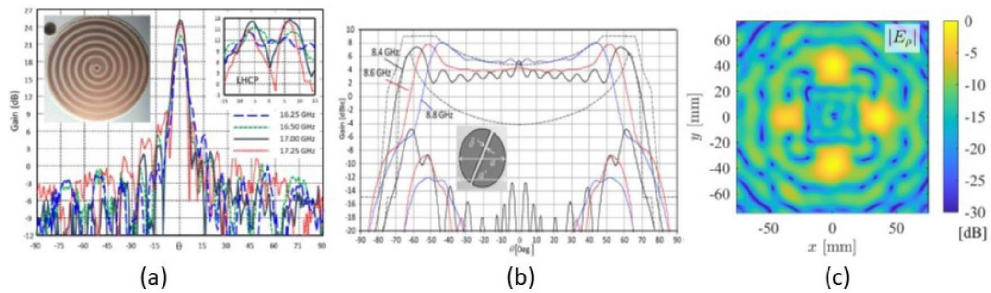
In the scalar case the design is addressed considering as local tangent problem a sinusoidally modulated impedance of the form  $Z(x) = jX_s[1 + M\cos(2\pi x/p)]$ , where  $X_s$  represents the average reactance value,  $p$  the period, and  $M < 1$  is the modulation index. The field over the metasurface can be expressed as the sum of Floquet modes. However, as demonstrated in [98, 100, 108], to the first order with respect to the small parameter  $M$ , only 3 modes contribute significantly to the field. If  $k_\rho^{sw} - 2\pi/p < k_0$  one term radiates in free-space in the direction  $\theta = \arcsin((k_\rho^{sw} - 2\pi/p)/k_0)$  with an amplitude proportional to  $M$ . The antenna is synthesized using an inhomogeneous modulation of the impedance (Figure 6c) obtained by varying the  $M$  and



**Figure 6.** (a) General geometry. A propagating SW is transformed into a LW via impedance modulation. (b) Coaxial Feeder geometry. (c) A metasurface antenna geometry working at 12 GHz designed at Sorbonne Université.

$p$  parameters of the modulation law. The entire metasurface is seen as an equivalent aperture surface magnetic current distribution whose phase and amplitude depend on the local modulation parameters, while the direction is dictated by the source. Using standard aperture antenna theory it is possible to optimize the parameters to obtain the desired radiation pattern. However, the range of different aperture field distributions achievable is limited by the inability to control the direction of the equivalent aperture surface current [100]. The most commonly used incident wave in the literature is the cylindrical SW generated by a coaxial probe (Figure 6b) placed at the center of the metasurface (equivalent magnetic current oriented along  $\phi$ ). Single beam circularly polarized antennas have been developed and experimentally validated (Figure 6a) using a spiral dependence of the impedance for the broadside direction [98] while an elongated spiral distribution is needed for tilted beams [100]. Linearly polarized beams have been achieved using some azimuthal phase discontinuity [100, 109] in order to compensate in the opposite direction of symmetric current elements with respect to the origin. Multiple modulations have been used to generate beams at different frequencies [110]. Scalar metasurfaces have been also used to design near-field antennas as a Bessel beam [100]. From the scalar nature of the metasurface follows that the TM and TE polarization of the field could be designed separately. This aspect has been used to design polarization-insensitive antennas [111], dual-circularly polarized antenna [112], polarization reconfigurable antennas [113] and to generate different beams using multiple sources [114].

Tensorial metasurface antennas can be used to produce complex radiation patterns taking advantage of the ability to control the current orientation. Energy conservation and reciprocity imply that impedance tensor must be anti-Hermitian. Thus, physical impedances are described only by 3 real parameters. The antenna design is addressed considering as local tangent problem a modulated impedance tensor which elements have the form  $Z_i(x) = jX_s^i[1 + M_i \cos(2\pi x/p_i)]$ , where each component can have different period. As for the scalar case, the field above the metasurface can be seen as the sum of TM and TE Floquet modes. However, several terms now contribute to the radiated field as shown in [108]. In the first paper on the topic [97], the impedance tensor obtained from the holography principle was not anti-Hermitian, thus the impedance was synthesized using only the anti-Hermitian part of such tensor. This operation leads to non-sinusoidal modulations that can excite undesired radiating Floquet's modes [108]. Later, a different approach was successfully introduced by another group [103], where the SW-field is considered as quasi-TM mode, thus the design is performed using two independent tensor parameters. A third approach based on a local holography principle was introduced in [104], where the whole impedance parameters were used in order to implement a general aperture field distribution. More recently, a numerical optimization method based on the electric field integral



**Figure 7.** (a) Circularly polarized beam generated by a spiral scalar impedance metasurface. ©2011 IEEE. Reprinted, with permission, from [122]. (b) Isoflux antenna generated by tensorial metasurface. ©2012 IEEE. Reprinted, with permission, from [118]. (c) Near-field shaping and confinement using tensorial metasurface. ©2019 IEEE. Reprinted, with permission, from [121].

equation (EFIE) was successfully used in order to generate numerical results [115].

Single beam antennas have been experimentally validated in [116, 117] (Figure 7a). Numerical results of shaped beam configurations have been presented in [103]. More complex radiation pattern as isoflux [118] or flat-top [104] have been successfully designed (Figure 7b), while multi-beam configurations have been presented in [104, 119], and experimentally validated in [120]. In addition, the near-field shaping capability of tensorial metasurface has been experimentally demonstrated in [121] where the energy has been confined in 4 beams (Figure 7c).

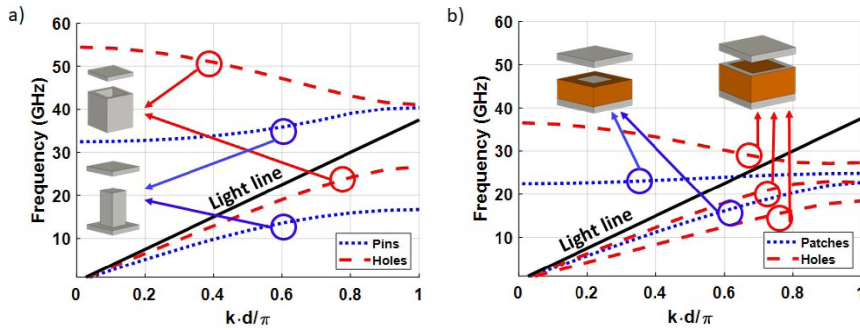
## 5. Parallel plate metasurfaces for antennas

Often, metasurfaces are not directly used in radiation, but rather that to control the propagation of confined waves. Commonly, these metasurfaces are embedded between parallel plates to avoid any leakage. Once the desired distributions of both phase and amplitude are tailored, it is possible to produce high performance antennas [123]. The unit cells inside of the parallel plate must be adequately designed and distributed in order to produce the required equivalent refractive indexes (isotropic or anisotropic) and impedances [124, 125] that produce the desired phase and amplitude at the end of the metasurface. This type of metasurfaces can be classified as metallic and dielectric.

### 5.1. Fully-metallic metasurfaces

Fully-metallic metasurfaces are used to produce low-loss electromagnetic devices that can handle high power. Dielectric losses are typically high in the millimetre bands, above 30 GHz. Therefore, for high-frequency applications, such as 5G, fully-metallic solutions are preferred [126]. Fully-metallic configurations are also desired in radar systems and defense applications, where the systems must typically handle elevated amount of power [127].

There are two main types of metallic-configurations: bed-of-nails [128, 129] and holey structures [130]. These configurations are illustrated in Figure 8(a). Holey structures are more robust and cost-effective than pins. However, pin-type metasurfaces can achieve higher equivalent refractive indexes, and they do not require of thin air-gaps between layers [131]. The dispersion properties of these two type of structures are illustrated Figure 8(a).



**Figure 8.** Dispersion diagrams of (a) pin-type and holey metallic metasurfaces, (b) patch- and holey-type dielectric metasurfaces.

### 5.2. Dielectric metasurfaces

For lower frequencies, dielectric metasurfaces are a preferred solution, since they are more cost-effective. Here again, there are two types of dielectric metasurfaces: patch- [132] and holey-type [133]. Patch-type metasurfaces are quite independent of the height between parallel plates. However, holey-type metasurfaces require thin plate gaps to produce high refractive indexes [134]. In general, holey-type structures provide lower losses than patch-type, since the waves will propagate mainly in the air. These two configurations and their dispersion diagrams are illustrated in Figure 8(b).

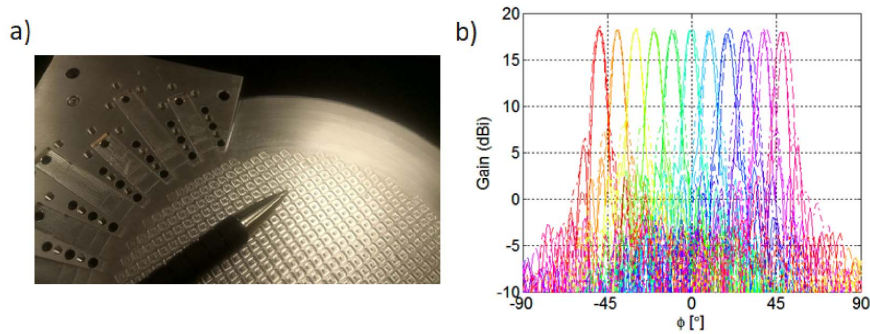
### 5.3. Lens designs

All these configurations have employed to produce a number of lenses, such as the Maxwell fish-eye lens [135] and its generalized version [136]. However, the most popular lens for antenna designs is the Luneburg lens. A Luneburg lens antenna typically needs a transformation from parallel plate to free-space. There are two methods to produce an efficient radiation: leaky-waves [137] or flares [138].

Among these two, the most used technique is the flare, since leaky-waves are dispersive, meaning that the angle of radiation changes with the frequency [139]. In the case of patch-type metasurfaces, it is difficult to reduce the reflections at the end of the structure since most of the fields are confined in the dielectric slab [140]. This problem does not exist in fully-metallic structures [131] and dielectric holey-type [134]. However, in the case of holey-type structures (metallic or dielectric), the flare may be long to achieve low-level of reflections. This is due to the fact that the air-gap between the metasurface and the ground plane must thin to achieve the required equivalent refractive index [141].

### 5.4. Glide-symmetric metasurfaces

Glide symmetry is a new degree of freedom that has been recently proposed to improve the properties of metasurfaces, for example, to increase the bandwidth and attenuation of stopbands created by periodic structures [142–144]. One periodic structure possesses glide symmetry if it is invariant after a translation and a mirroring [145, 146]. By adding glide symmetry to a metasurface, it is possible to increase its bandwidth of operation, i.e. to reduce its dispersion [147]. Additionally, glide symmetry can be used to increase the equivalent refractive index of periodic structures [131, 141], their anisotropy [148] and their magnetic response [149]. These



**Figure 9.** Glide-symmetric metasurface antenna in Ka-band: (a) Photo of the antenna, (b) Radiation patterns at 28 GHz. More information can be found in [141]. ©2018 IEEE. Reprinted, with permission, from [141].

features are beneficial to produce lens antennas. An example of a Luneburg lens antenna made of glide-symmetric metasurfaces is illustrated in Figure 9. This antenna operates in Ka-band and it designed for 5G communications. The antenna is able to produce a extreme angles of radiation with low scan-losses and high efficiency.

## 6. Conclusions

Metasurfaces have revolutionized the design of electromagnetic devices through tailoring sub-wavelength structures to shape the electromagnetic field. In this paper, we have reviewed the recent development in metasurface antenna design by introducing the fundamental concepts and presenting the actual state of the art of the physical realizations. Most of the presented examples operate at microwave but the same concept can be used up to visible light.

Starting from high impedance surface antennas, we have discussed how placing a planar antenna in the vicinity of a suitable metasurface could enhance its performances. We introduced how similar approaches could be used to improve the performances of Fabry–Perot cavity antennas and other 2-D leaky wave antennas. Furthermore, we discussed how the wave-front shaping ability of the metasurfaces can be used to design holographic aperture metasurface antennas (based on the conversion of a surface-wave into a radiated one) or to design planar lenses or control the dispersion properties in antenna structures.

With the level of advancements made already, there is a great prospect for the future. In recent years, research has been mainly conducted with the focus to improve the antenna performances using passive metasurfaces. Some active solutions have been presented (using control elements such as varactors, etc. . . ), leading to bulky and expensive devices (complexity and cost proportional to the number of unit cells). The challenge for the next years will be the development of low-cost fully tunable or reconfigurable metasurfaces. This aspect will dramatically improve both antenna and optical beam-forming applications and open up new possibilities for electromagnetic devices.

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